

# Initial Verification and Validation Assessment for VERA

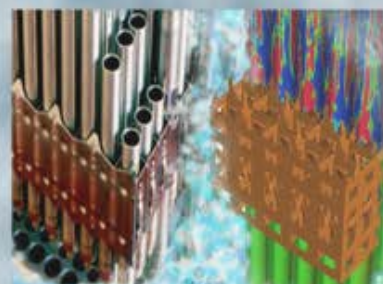
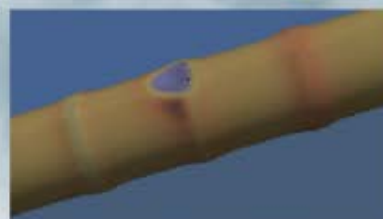
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## REVISION LOG

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## EXECUTIVE SUMMARY

The Virtual Environment for Reactor Applications (VERA) code suite is assessed in terms of capability and credibility against the Consortium for Advanced Simulation of Light Water Reactors (CASL) Verification and Validation Plan (presented herein) in the context of three selected challenge problems: CRUD-Induced Power Shift (CIPS), Departure from Nucleate Boiling (DNB), and Pellet-Clad Interaction (PCI). Capability refers to evidence of required functionality for capturing phenomena of interest while credibility refers to the evidence that provides confidence in the calculated results. For this assessment, each challenge problem defines a set of phenomenological requirements against which the VERA software is assessed. This approach, in turn, enables the focused assessment of only those capabilities relevant to the challenge problem. The evaluation of VERA against the challenge problem requirements represents a capability assessment. The mechanism for assessment is the Sandia-developed Predictive Capability Maturity Model (PCMM) that, for this assessment, evaluates VERA on 8 major criteria: (1) Representation and Geometric Fidelity, (2) Physics and Material Model Fidelity, (3) Software Quality Assurance and Engineering, (4) Code Verification, (5) Solution Verification, (6) Separate Effects Model Validation, (7) Integral Effects Model Validation, and (8) Uncertainty Quantification. For each attribute, a maturity score from zero to three is assigned in the context of each challenge problem. The evaluation of these eight elements constitutes the credibility assessment for VERA.

This evaluation concludes that the neutronics and sub-channel thermal-hydraulics capability of VERA has good capability and credibility and this capability is used for CIPS, DNB, and PCI. The evaluation of VERA presented here culminates in the identification of various capability and credibility gaps which are intended to be used to help prioritize future CASL investment. High level conclusions can be drawn from a review of these gaps. First capability gaps remain in all VERA codes. Next, it is observed that evidence of uncertainty quantification is lacking for all codes and challenge problems. Similarly, code and solution verification are very sparse. Finally, MAMBA is significantly less mature than the other VERA codes and this impacts CIPS predictive maturity profoundly. The assessment presented here is fundamentally evidence based in nature and the authors propose working closely with the code teams and challenge problem integrators to develop capability and credibility evidence to fill gaps moving forward.

This preliminary V&V assessment defines a proposed structure for the V&V assessment of VERA including its component codes (MPACT, CTF, BISON, MAMBA, etc.) as well as the CASL challenge problems (CIPS, PCI, and DNB). The structure and assessment will be reviewed, refined and updated to arrive at a formal structure to provide a V&V assessment capability to track CASL's progress verification and validation and to prioritize investment for the future years.



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## ACRONYMS

<b>BFBT</b>	BWR Full-size Fine-mesh Bundle Tests	<b>NRC</b>	Nuclear Regulatory Commission
<b>BOA</b>	Boron Analysis – EPRI/Westinghouse coolant chemistry code	<b>NSRR</b>	Nuclear Safety Research Reactor
<b>CASL</b>	Consortium for the Advanced Simulation of Light-Water Reactors	<b>OECD</b>	Organization for Economic Cooperation and Development
<b>CASL</b>	Consortium for the Advanced Simulation of LWRs	<b>ORNL</b>	Oak Ridge National Laboratory
<b>CFD</b>	Computational Fluid Dynamics	<b>PCI</b>	Pellet-Clad Interaction
<b>CHF</b>	Critical Heat Flux	<b>PCMM</b>	Predictive Capability Maturity Model
<b>CILC</b>	CRUD Induced Localized Corrosion	<b>PIRT</b>	Phenomena Identification and Ranking Table
<b>CIPS</b>	CRUD Induced Power Shift	<b>PNNL</b>	Pacific Northwest National Laboratory
<b>CIPS</b>	CRUD Induced Power Offset	<b>PSBT</b>	PWR Sub-channel and Bundle Test
<b>CP</b>	Challenge Problem	<b>PWR</b>	Pressurized Water Reactor
<b>CRUD</b>	Chalk River Unidentified Deposits	<b>RIA</b>	Reactivity Insertion Accident
<b>CSAU</b>	Code Scaling, Applicability, and Uncertainty	<b>SLB</b>	Steam Line Break
<b>CTF</b>	Modernized and improved version of the legacy sub-channel thermal-hydraulics code, COBRA-TF	<b>SNL</b>	Sandia National Laboratories
<b>DNB</b>	Departure from Nucleate Boiling	<b>SQA</b>	Software Quality Assurance
<b>DOE</b>	Department of Energy	<b>TH</b>	Thermal-Hydraulics
<b>DOE-NE</b>	Department of Energy Office of Nuclear Energy	<b>THM</b>	Thermal-Hydraulics Methods
<b>EPRI</b>	Electric Power Research Institute	<b>TK</b>	Takahama
<b>FMC</b>	Fuel Materials and Chemistry (CASL Focus Area)	<b>UQ</b>	Uncertainty Quantification
<b>IFPE</b>	International Fuel Performance Experiments	<b>V&amp;V</b>	Verification and Validation
<b>JFNK</b>	Jacobian-Free Newton Krylov	<b>VERA</b>	Virtual Environment for Reactor Applications
<b>LANL</b>	Los Alamos National Laboratory	<b>VMA</b>	Validation and Modeling Applications
<b>LWR</b>	Light Water Reactor	<b>VUQ</b>	Validation and Uncertainty Quantification
<b>MET</b>	Multiple Effect Test	<b>VVUQ</b>	Verification, Validation and Uncertainty Quantification
<b>MPACT</b>	Michigan Parallel Characteristics Transport (computer code)	<b>WALT</b>	Westinghouse Advanced Loop Tester
		<b>WEC</b>	Westinghouse

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# 1 INTRODUCTION

The Consortium for the Advanced Simulation of Light Water Reactors (CASL) is developing computational modeling and simulation capabilities that target operational and safety challenges for the current fleet of operating reactors. This verification and validation (V&V) assessment provides a basis to support that goal and to generally provide useful information for improving predictive code capability and quality.

The CASL, a U.S. Department of Energy (DOE) innovation hub, is charged with developing computational modeling and simulation capability for light water moderated, commercial nuclear power reactors. The Virtual Environment for Reactor Applications (VERA) [1] includes a collection of tools for the simulation of neutronics, thermal-hydraulics, chemistry, and fuel performance (solid mechanics and heat transfer) in an integrated and coupled computational environment. These tools are generally designed to be employed in a high performance computing environment and are highly parallelized. Computational fluid dynamics also plays an important role, though not within VERA. The current, main CASL toolset includes the following software modules:

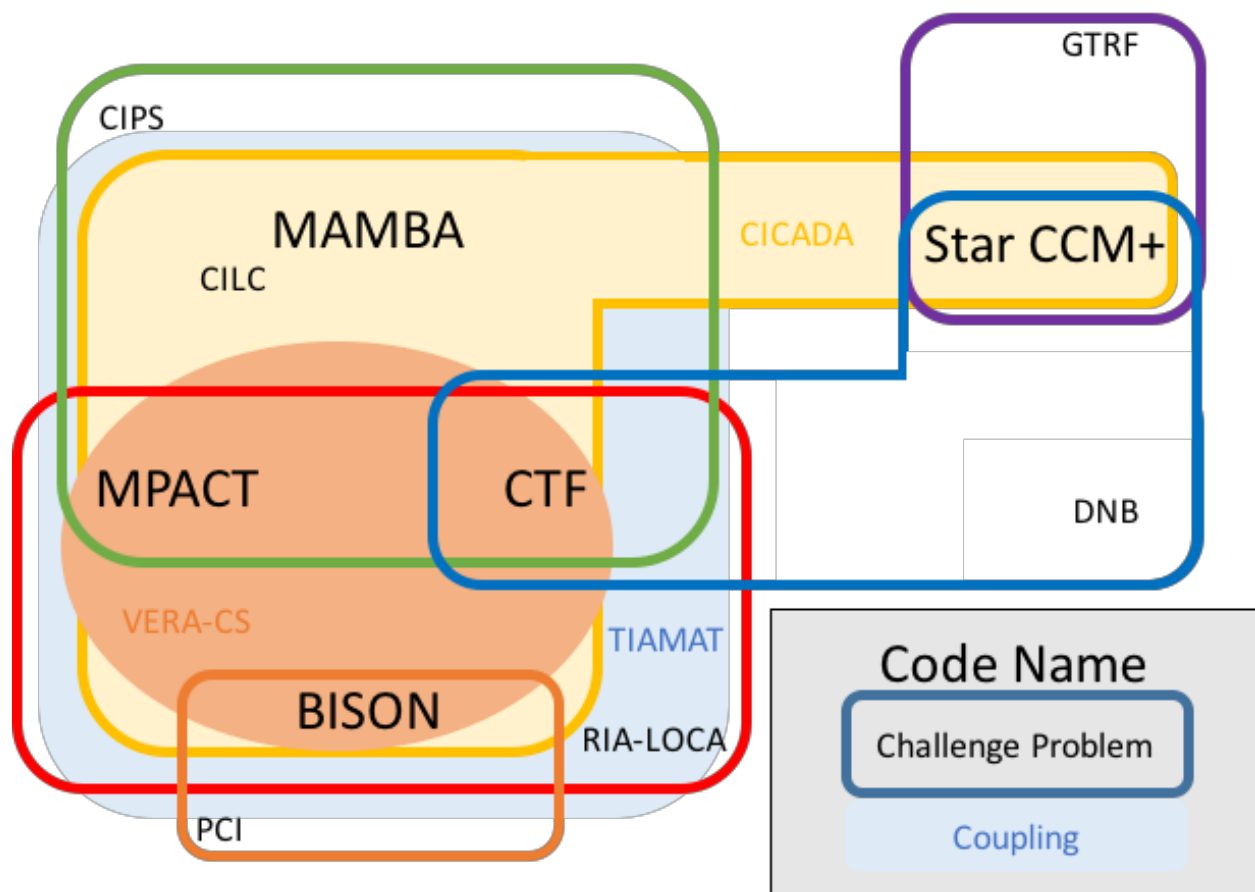
- VERA:
  - MPACT- Neutron Transport [29 30]
  - CTF-Thermal-Hydraulics [31-33]
  - MAMBA-Chemistry [35 36]
  - BISON-Fuel Performance [34]
- Star CCM+-Computational Fluid Dynamics [37]

In addition to the main software tools, several other software utilities are used to pass data between the main codes and to solve multi-physics equations. These are principally capability within CTF to couple with MPACT and with MAMBA, TIAMAT which couples MPACT and BISON and CICADA which couples Star CCM+ with MAMBA. TIAMAT combines functionality from the Data Transfer Toolkit (DTK) and Physics Integration Kernels (PIKE) to couple MPACT, CTF, MAMBA, and BISON. CICADA also uses DTK to pass data between Star CCM+ and MAMBA and also includes functionality to aggregate high resolution CFD data to lower resolution meshes. It is worth noting that while the main software modules receive the greatest attention, the coupling utilities TIAMAT and CICADA are critical for the solution of most CASL challenge problems.

Much of the work in the second phase of CASL has been organized around a handful of challenge problems (CPs) [11 12]. These challenge problems have been identified by the nuclear industry as important to the safe and reliable operation of the current nuclear reactors. Each CP has unique set of phenomena that may span multiple traditional disciplines. Currently, there are seven active challenge problems:

- CRUD Induced Power Shift (CIPS)
- CRUD Induced Localized Corrosion (CILC)
- Pellet-Cladding Interactions (PCI)
- Grid to Rod Fretting (GTRF)
- Departure from Nucleate Boiling (DNB)
- Loss of Coolant Accident (LOCA)
- Reactivity Insertion Accident (RIA)

A subset of the physics modules is used to provide simulation results for each challenge problem. Figure 1 provides a schematic representation of the VERA code suite and the intersection with CASL CP space.



**Figure 1. Intersection of CASL codes and challenge problems highlighting the configurable nature of coupling and data transfer**

For the purposes of this V&V assessment three CPs: CIPS, PCI, and DNB will serve as the primary application, and a brief, introductory description of these three is presented here. For more information, the reader is referred to the various CP charters and implementation plans [12, 17, 20, 21, 23, 24].

The Chalk River unidentified deposits related (CRUD-related) CPs (CIPS and CILC) [15 16 17] involve the deposition of certain corrosion products from the reactor coolant system upon the cladding of the fuel assemblies within the reactor core and the subsequent adsorption of boron from the reactor coolant within the CRUD. The primary challenge for CRUD simulation lies in the prediction of CRUD chemical mass and deposition characteristics. MPACT, CTF, and MAMBA are the primary software modules utilized for the CRUD challenge problems. An important aspect of the CRUD-related challenge problems relates to the “source term” for nickel and iron. It is believed that the steam generators and the other pressure boundary systems are the primary sources of Nickel and Iron, and presently the CASL codes do not include a system code capable of computing the concentration of these elements in the coolant, where they then participate in CRUD-forming reactions.

The PCI CP [23 24] involves predicting mechanical cladding deformation associated with fuel pin pressurization associated with fission gas production and the physical contact between swelling fuel pellets and the cladding. Fission energy is primarily deposited as heat in the fuel pellets. The heat is then conducted radially outward from the center of the fuel pellets, through the gap between the fuel pellet and the clad inner surface, and through the clad itself. The heat is then transferred by convection to the coolant and then away to the rest of the reactor system using CTF. While conceptually simple, the complexity for this CP arises from the numerous feedback mechanisms that influence all phases of the phenomenology. For example, as the temperature of the fuel rises, the reactivity is reduced (via Doppler broadening), thus reducing the neutron flux, and, as the temperature rise and the fuel ages, the pellet swells thus reducing the gap distance and increasing the thermal conductivity between the fuel and cladding. Furthermore, fuel often experiences material inelasticity either through plastic flow or through discrete cracking. The primary code for computing PCI effects is BISON; however, MPACT and CTF do provide input to BISON relating to the power generation and the heat transfer at the outer clad surface.

The DNB CP [20-22] is fundamentally safety-related and involves the prediction of increased boiling, leading to fuel dryout, during hypothetical accident conditions. For pressurized water reactor (PWR) operating conditions with increasing clad temperature, boiling begins as nucleate or subcooled boiling where very localized liquid-to-gas transitions occur on the surface of the clad. This continues up to the point of critical heat flux between the clad surface and the coolant. Once the critical heat flux is exceeded, the heat transfer efficiency from the clad surface to the coolant drastically decreases and fuel temperatures begin to rise. This rise in fuel and cladding temperature has implications for fuel integrity during an accident. Within CASL, MPACT, CTF, and Star CCM+ are the primary codes utilized for making predictions. CTF is particularly well-suited for this CP owing to its history as a design basis accident code for loss of coolant accidents [32].

## 1.1 Document Organization

The rest of this document includes five sections and a conclusion. The first section describes the CASL V&V Strategy. The next three sections evaluate each of the challenge problems (CIPS, DNB and PCI) against the V&V strategy. There is a certain intentional repetition for these three sections such that each could be taken as a stand-alone document for each CP.

Following the evaluation of the three selected CPs, a section is devoted to discussion and overall gap identification. Since there is significant overlap in the codes utilized for the three CPs, it is likely areas for improvement for one CP will also be identified for another. Finally, conclusions will be provided.

An appendix describing the evidence used for this evaluation is provided for more depth and context for the CP assessments.



## 2 VERIFICATION AND VALIDATION PLAN FOR VERA

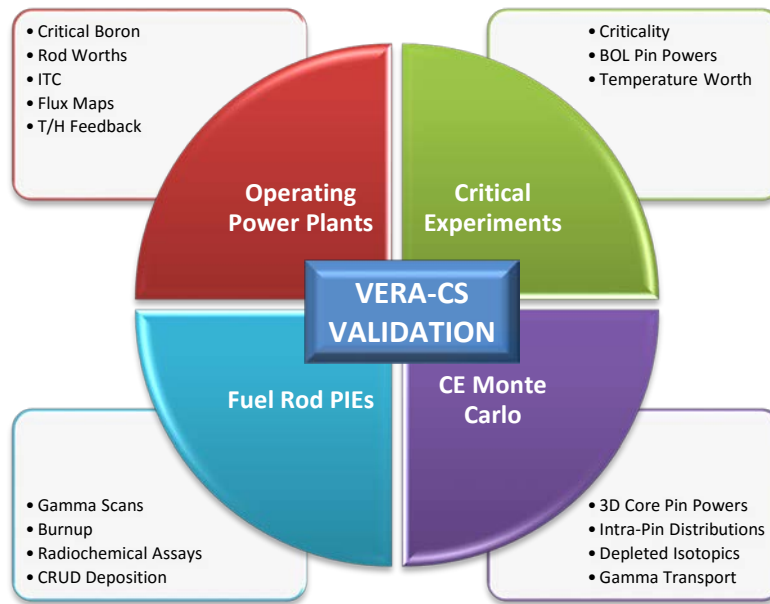
The CASL V&V strategy has evolved since the early phases of the program [1], yet several fundamental aspects have remained unchanged. This V&V approach for CASL includes an assessment of required functionality and predictive capability and a mapping of these requirements to various codes, as well as an assessment of predictive capability maturity for the codes. **A new approach the present assessment is the logical separation of capability and credibility assessment.** Capability captures the codes ability to represent the required physical phenomena for predicting a given quantity of interest (QoI) while credibility involves the body of evidence that supports the believability of the predicted QoI. As mentioned previously, CASL [11] has incorporated a number of CPs, and these, along with a series of progression problems in Phase I, have driven the requirements. Credibility captures the suitable evidence that simulation predictions are trustworthy for an intended application and is fundamentally empirical in nature. Credibility assessment involves the aggregation of evidence and the evaluation thereof. The next subsections will develop and describe the CASL V&V strategy for the remainder of the second phase of CASL.

### 2.1 Overview of the CASL V&V Process

Due to the multiphysics and multi-code nature of the challenge problems in CASL, V&V of component codes alone is not sufficient; it must extend to coupled codes. This form of V&V for coupled codes is relatively new and is continuing to increase in interest. The “correct” way to verify and validate coupled software is still a research topic, however an approach will be presented here that is based on current best practices and understanding of CASL researchers.

#### 2.1.1 Background

A comprehensive validation plan, focused on nominal core simulation, was proposed for VERA in 2014 [42], and this section will briefly summarize some aspects of that validation plan to include the validation matrix proposed for VERA. The four principal validation components identified in the plan are shown in Figure 2, which was reproduced from [42].



**Figure 2. Components of VERA Neutronics Validation [42]**

As noted in the report, each source of data is complementary and includes:

- Measured data from experiments with *small critical nuclear reactors*. This includes critical conditions, fuel rod fission rate distributions, control rod or burnable poison worths, and isothermal temperature coefficients.
- *Measured isotopics* in fuel after being irradiated in a nuclear power plant. This includes gamma scans of  $^{137}\text{Cs}$  activity, burnup based on  $^{148}\text{Nd}$  concentrations, and full radiochemical assays (RCA) of the major actinides and fission products.
- Calculated quantities on fine scales from *continuous energy (CE) Monte Carlo methods*. This includes 3D core pin-by-pin fission rates at operating conditions, intra-pin distributions of fission and capture rates, reactivity and pin power distributions of depleted fuel, and support for other capabilities such as gamma transport and thick radial core support structure effects, for which there is currently no known measurements to benchmark against.
- Measured data from *operating nuclear power plants*. This includes critical soluble boron concentrations, beginning-of-cycle (BOC) physics parameters such as control rod worths and temperature coefficients, and measured fission rate responses from in-core instrumentation.

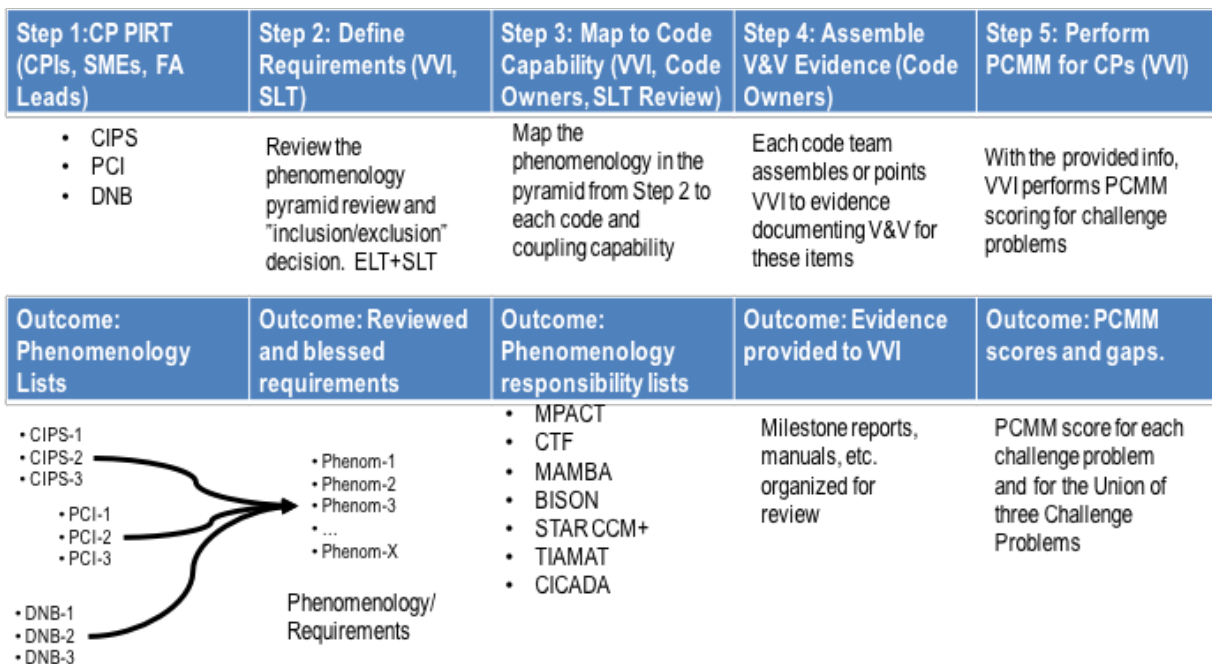
The first three of these areas are considered “single physics” neutronics and have been included in the MPACT Validation plan [29]. During the past few years, significant progress has also been made acquiring operating plant data, and this is the area that is now considered the purview of the multi-physics VERA validation for PWR core follow. Measurement data from operating nuclear power plants provides valuable data for multi-physics code validation and several CASL

stakeholders who own and/or operate PWR power plants have made plant data available for validation of VERA.

## 2.2 Challenge Problem Driven Phenomenology Based Assessment

A novel approach for coupled multi-physics V&V has been developed and will be applied for this assessment as described here. Since the CASL CPs have driven the capability development for the second phase of CASL, and accordingly, this V&V assessment will be organized around the CPs. Figure 3 summarizes the five step V&V strategy that will be utilized for the remainder of the second phase of CASL. The five steps of this V&V approach include:

1. CP Phenomenon Identification and Ranking Table (PIRT),
2. Define V&V Requirements,
3. Map Requirements to Codes,
4. Assemble V&V Evidence, and
5. Perform Predictive Capability Maturity Model (PCMM) assessment.



**Figure 3. Challenge Problem Driven Phenomenology Based Assessment Strategy for CASL Code Maturity**

The novelty of this approach involves the assessment of maturity of a collection of codes in the context of an application. If a code is developed for a single application the link between required capability and functionality is straightforward. For CASL CPs and codes, this is not the case (individual codes are used for multiple CPs) so there is significant utility in evaluating only the code capability that is used for the CP application and not the entire capability set which may be present. **The outcome of this approach is an assessment of the predictive capability maturity for an application that has significant practical value.** The predictive capability maturity very

likely varies among the CASL CPs, and this approach permits flexible evaluation of each, even though many of the same codes are used.

The first step in the proposed methodology, the CP PIRT step, leverages the classical PIRT methodology [8] for the identification of important phenomena associated with the problem of interest. The identified phenomena are ranked on the basis of importance, knowledge, and code adequacy, which gives insight into the significance for each. Importance of a phenomenon is defined as how much this phenomenon influences the accuracy of the prediction. An example of this, would be understanding how much fuel cracking affects heat transfer. Knowledge level of a phenomenon assesses how well current models of the phenomenon agree with the observed phenomenon. Code adequacy assesses if the current code capability reflects the current model. For example, the appropriate phenomenological model may be too computationally expensive to practically use in a code; so, additional approximations are made (e.g., using neutron diffusion approximation instead of CE Monte Carlo transport). As suggested by Figure 3, multiple CPs will be considered in this strategy and the union of phenomena from these challenge problems will be considered in the assessment. This ranking, along with an assessment of cost of implementation, can be used to set funding and development priorities. The PIRT assessment directly informs the evaluation of capability since it is this step that links the required phenomenology with the code components designed to represent the phenomenology.

The second step in the V&V strategy involves mapping the phenomena identified and ranked in the first step into code requirements. This step can be considered analogous to a transition from qualitative to quantitative. For example, if the effect of CRUD deposition on cladding temperature is identified as an important phenomenon, then the associated requirement would be that the code must be able to compute CRUD deposition with a specified accuracy, precision, and range of validity. A backlog of code requirements is established by examining the cost of implementation and importance pay-off for each of the phenomena.

The third step involves mapping the code requirements from the second step to specific codes in the VERA suite. This step involves assigning responsibility for each phenomenon to the appropriate code. Each code development team examines their resources (i.e., developer time, computing hardware, etc.) and decides how much of the code requirement backlog they can address.

The fourth step involves accumulating V&V evidence to support the PCMM assessment in the fifth step. Evidence includes user and theory manuals for the various codes as well as documentation of V&V activities such as verification test problems (e.g., observing the correct order of convergence for the numerical discretization schemes used in the codes) or comparison to validation data and uncertainty or sensitivity studies. The sole basis that assessments about the predictive credibility rely upon is this evidence. There is some subjectivity in assessing this evidence, and the authors acknowledge that there may be some disagreement about the numerical scores.

The fifth step in the V&V strategy simply involves the assessment of the available evidence to the PCMM [5] categories. Given the relative importance of the PCMM framework to this strategy, the following subsection describes maturity based software assessment and the modified PCMM

approach utilized for CASL. The PCMM evaluation is tightly linked to credibility assessment and this will be developed in the next subsection.

## 2.3 Predictive Capability Maturity Model

Assessing the quality of predictions made using scientific computer codes is a complex and multifaceted topic that is also relatively new compared to the technical fields for which the codes are written. This problem has become more challenging as scientific software has become more capable and includes more physical phenomena. Within CASL, prediction capability has been assessed using the Predictive Capability Maturity Model (PCMM) [5], and this model will be used for the present assessment, with a few modifications to the original framework. These modifications include the separation of software quality assurance (SQA) and software quality engineering (SQE) from the code verification category and the separation of separate effects testing (SET) validation from integral effects testing (IET) validation. The purpose of separate effect and integral effects testing validation is analogous to performing unit test and integration tests during code verification. Both of these strategies involve understanding the hierarchy involved in both areas.

Within CASL, there has been a relatively high level of effort and rigor expended on SQA/SQE practices while less effort has been expended on the more mathematical code verification activities such as demonstrating the expected order of convergence. Separating SQA/SQE from code verification will permit a more precise assessment and communication of expectations and achievements for each aspect. Furthermore [6] recognizes SQA/SQE and numerical algorithm verification as separate types of activities, yet they are both intended to minimize or eliminate unexpected bugs, errors, blunders, and mistakes from corrupting predictions. Similarly, for validation, the separation of IET validation from SET validation permits more resolution in the assessment and a clearer identification of expectation and accomplishments. Figure 4 shows the modified PCMM matrix that will be used in this assessment.



	<b>Maturity Level 0</b>	<b>Maturity Level 1</b>	<b>Maturity Level 2</b>	<b>Maturity Level 3</b>
	Low Consequence and Impact; Scoping or R&D Studies	Moderate Consequence and Impact; Design Support	High Consequence and Impact; Qualification Support	Highest Consequence and Impact; Decision Making, Certification, or Licensing
<b>Representation and Geometric Fidelity</b>	<ul style="list-style-type: none"> <li>Judgement only</li> <li>Little or no representation or geometric fidelity for the system or boundary conditions</li> </ul>	<ul style="list-style-type: none"> <li>Significant simplification or stylization of the system and boundary conditions</li> <li>Geometry or representation of major components is defined</li> </ul>	<ul style="list-style-type: none"> <li>Limited simplification or stylization of major components and boundary conditions</li> <li>Geometry or representation is well defined for major components and some minor components</li> <li>Some peer review conducted</li> </ul>	<ul style="list-style-type: none"> <li>Essentially no simplification or stylization of components in the system and boundary conditions</li> <li>Geometry or representation of all components is at the detail of "as-built"</li> <li>Independent peer review conducted</li> </ul>
<b>Physics and Material Model Fidelity</b>	<ul style="list-style-type: none"> <li>Judgment only</li> <li>Model forms are either unknown or fully empirical</li> <li>Few, if any, physics informed models</li> <li>No coupling of models</li> </ul>	<ul style="list-style-type: none"> <li>Some models are physics based and are calibrated using data from related systems</li> <li>Minimal or ad hoc coupling of models</li> </ul>	<ul style="list-style-type: none"> <li>Physics-based models for all important processes</li> <li>Significant calibration needed using separate effects tests (SETs) and integral effects tests (IETs)</li> <li>One-way coupling of models</li> <li>Some peer review conducted</li> </ul>	<ul style="list-style-type: none"> <li>All models are physics based</li> <li>Minimal need for calibration using SETs and IETs</li> <li>Sound physical basis for extrapolation and coupling of models</li> <li>Full, two-way coupling of models</li> <li>Independent peer review conducted</li> </ul>
<b>Software Quality Assurance and Engineering</b>	<ul style="list-style-type: none"> <li>No SQA/SQE formality</li> <li>Codes and or scripts not tested beyond the task or application for which the software is used</li> <li>No version control in place</li> </ul>	<ul style="list-style-type: none"> <li>Some SQA/SQE formality</li> <li>Some unit and or regression testing evidence</li> <li>Some system of version control</li> </ul>	<ul style="list-style-type: none"> <li>Demonstrable SQA/SQE plan in place</li> <li>A significant majority of the code is unit and regression tested</li> <li>Rigorous version control</li> <li>Testing on multiple hardware platforms</li> </ul>	<ul style="list-style-type: none"> <li>Rigorous SOE/SQA program in place with strong evidence of adherence</li> <li>Unit and regression testing evidence for all lines of code</li> <li>Rigorous version control</li> <li>Testing for all anticipated hardware platforms</li> </ul>
<b>Code Verification</b>	<ul style="list-style-type: none"> <li>Judgment only</li> <li>Minimal testing of any software elements</li> </ul>	<ul style="list-style-type: none"> <li>Some comparison of major algorithms made with benchmarks</li> <li>Little or no peer review</li> </ul>	<ul style="list-style-type: none"> <li>Some algorithms are tested to determine the observed order of numerical convergence</li> <li>Some features &amp; capabilities (F&amp;Cs) are tested with benchmark solutions</li> <li>Some peer review conducted</li> </ul>	<ul style="list-style-type: none"> <li>All important algorithms are tested to determine the observed order of numerical convergence</li> <li>All important F&amp;Cs are tested with rigorous benchmark solutions</li> <li>Independent peer review conducted</li> </ul>
<b>Solution Verification</b>	<ul style="list-style-type: none"> <li>Judgment only</li> <li>Numerical errors have an unknown or large effect on simulation results</li> </ul>	<ul style="list-style-type: none"> <li>Numerical effects on relevant SROs are qualitatively estimated</li> <li>Input/output (I/O) verified only by the analysts</li> </ul>	<ul style="list-style-type: none"> <li>Numerical effects are quantitatively estimated to be small on some SROs</li> <li>I/O independently verified</li> <li>Some peer review conducted</li> </ul>	<ul style="list-style-type: none"> <li>Numerical effects are determined to be small on all important SROs</li> <li>Important simulations are independently reproduced</li> <li>Independent peer review conducted</li> </ul>
<b>Separate Effects Model Validation</b>	<ul style="list-style-type: none"> <li>Judgment only</li> <li>Few, if any, comparisons with relevant laboratory measurements</li> </ul>	<ul style="list-style-type: none"> <li>Quantitative assessment of accuracy of SROs not directly relevant to the application of interest</li> <li>Large or unknown experimental uncertainties</li> </ul>	<ul style="list-style-type: none"> <li>Quantitative assessment of predictive accuracy for some key SROs from SETs</li> <li>Experimental uncertainties are well characterized for most SETs</li> <li>Some peer review conducted</li> </ul>	<ul style="list-style-type: none"> <li>Quantitative assessment of predictive accuracy for all important SROs from SETs at conditions/geometries directly relevant to the application</li> <li>Experimental uncertainties are well characterized for all SETs</li> <li>Independent peer review conducted</li> </ul>
<b>Integral Effects Model Validation</b>	<ul style="list-style-type: none"> <li>Judgment only</li> <li>Few, if any, comparisons with measurements from similar systems</li> </ul>	<ul style="list-style-type: none"> <li>Quantitative assessment of accuracy of SROs not directly relevant to the application of interest</li> <li>Large or unknown experimental uncertainties</li> <li>Alteory and epistemic (A&amp;E) uncertainties propagated, but without distinction</li> <li>Informal sensitivity studies conducted</li> <li>Many strong UQ/SA assumptions made</li> </ul>	<ul style="list-style-type: none"> <li>Quantitative assessment of predictive accuracy for some key SROs from IETs</li> <li>Experimental uncertainties are poorly known for IETs</li> <li>Some peer review conducted</li> </ul>	<ul style="list-style-type: none"> <li>Quantitative assessment of predictive accuracy for all important SROs from IETs conditions/geometries directly relevant to the application</li> <li>Experimental uncertainties are well characterized for all IETs</li> <li>Independent peer review conducted</li> </ul>
<b>Uncertainty Quantification and Sensitivity Analysis</b>	<ul style="list-style-type: none"> <li>Judgment only</li> <li>Only deterministic analyses are conducted</li> <li>Uncertainties and sensitivities are not addressed</li> </ul>	<ul style="list-style-type: none"> <li>Uncertainties and sensitivities are not addressed</li> </ul>	<ul style="list-style-type: none"> <li>Uncertainties and sensitivities are not addressed</li> </ul>	<ul style="list-style-type: none"> <li>Uncertainties and sensitivities are not addressed</li> </ul>

Figure 4.

PCMM Matrix to be used in the current V&amp;V assessment

The following subsections will provide a brief description of each code quality attribute and will be based largely on the original PCMM description [5]. For more complete descriptions of the code maturity aspects, the reader is referred to [5-7].

### 2.3.1 Representation and Geometric Fidelity

The representation and geometric fidelity aspect of code maturity considers the ability of the code to capture and characterize physical information from the real system being modeled. The ability to resolve important geometric features is required for the application of detailed boundary conditions. Conversely, many codes make use of simplified geometry to facilitate improved computational speed. It is believed that full geometric fidelity improves predictive capability by eliminating simplifications based on developer or analyst judgement.

The four tiers of maturity for Representation and Geometric Fidelity are:

- (1). Geometric fidelity based on analyst judgement only; Many geometric simplifications; Little or no geometric fidelity to the system; Limited application of boundary conditions
- (2). Significant simplification of geometric features of the system being analyzed; Most of the major geometric features are specifically represented
- (3). Limited simplification of geometric features and boundary conditions; Well defined geometric representation for all major system features; Some representation of minor system features; Some peer review of geometric fidelity conducted
- (4). Essentially no simplification of geometry or boundary conditions within the system; Geometric representation can be considered “as-built” for the system being analyzed; Independent peer review of geometric fidelity to the system conducted

### 2.3.2 Physics and Material Model Fidelity

Physics and material model fidelity refers to the degree to which mathematical models within the code are physics-based as opposed to empirical and applicable the physics and material models are to the intended application (i.e., CP). In addition to the level of model physicality, this attribute of code maturity also incorporates the level of calibration required for mathematical models within the code. It is worth noting that calibrated, empirical models can be very powerful engineering tools, but the predictive capability of these models is limited to the state space of the calibration data. Predictions within the calibrated space are useful, while predictions made outside this space are highly questionable. For this reason, high predictive maturity requires physics-based models that rely less on calibration of model parameters.

The four tiers of maturity for Physics and Material Model Fidelity are:

- (1). Physics and material model fidelity based on analyst judgement only; Model forms are unknown or fully empirical; Few, if any, physics informed models; No coupling of models

- (2). Some models are physics-based and are calibrated using data from related systems; Minimal or ad hoc coupling of models
- (3). Physics-based models for all important processes; Significant calibration needed using separate effects tests (SETs) and integral effects tests (IETs); One-way coupling of models; Some peer review conducted
- (4). All models are physics based; Minimal need for calibration using SETs and IETs; Sound physical basis for extrapolation and coupling of models; Full, two-way coupling of models; Independent peer review conducted

### 2.3.3 Software Quality Assurance

As mentioned previously, the original PCMM presentation in [5] included activities related to SQA/SQE under the category of Code Verification since the objective of both SQA/SQE and mathematical techniques such as demonstrating the order of convergence are intended to minimize code corruption due to bugs, and other mistakes. Other research has suggested that these are two different types of activities [6,7]. The authors believe that this is a key distinction. Specifically, for scientific simulation codes, unit and regression testing is necessary but not sufficient to identify all potential errors where other more rigorous techniques can. Furthermore, based on the findings of previous assessments and through informal interactions among CASL researchers, there is presently a relatively strong SQA/SQE culture and conversely, there is very little investment in other code testing (comparison to highly accurate solutions, demonstrating the theoretical order of convergence, etc.). For these reasons SQA/SQE will be assessed separately from other code verification.

The four tiers of maturity for Software Quality Assurance are:

- (1). No SQA/SQE formality; Codes and or scripts not tested beyond the task or application for which the software is used; No version control in place
- (2). Some SQA/SQE formality; Some unit and or regression testing evidence; Some system of version control
- (3). Demonstrable SQA/SQE plan in place; A significant majority of the code is unit and regression tested; Rigorous version control; Testing on multiple hardware platforms
- (4). Rigorous SQA/SQE program in place with strong evidence of adherence; Unit and regression testing evidence for all lines of code; Rigorous version control; Testing for all anticipated hardware platforms
- (5). Code Verification

Following from the discussion in Section 2.3.3, Code Verification involves the mathematically rigorous techniques used to identify code bugs and errors and to identify “correct” but deficient numerical algorithms. The most powerful and comprehensive technique in this area is determining the theoretical order of convergence. By demonstrating that the code converges to a highly accurate solution at the expected rate (order of convergence), the physics models, the numerical



solution schemes are tested. The authors of this document direct the interested reader to the following reference [28]. Code Verification is fundamentally empirical in that the code must be shown demonstrate performance. It is worth noting that there can be significant challenges to obtaining analytic solutions, but the method of manufactured solutions (MMS), described in the reference, provides a straight forward approach to developing analytic solutions. For multiphysical phenomena with disparate discretization schemes, obtaining these highly accurate solutions is a more challenging exercise and could be considered a research effort in itself.

The four tiers of maturity for Code Verification are:

- (1). Judgment only; Minimal testing of any software elements
- (2). Some comparison of major algorithms made with benchmarks; Little or no peer review
- (3). Some algorithms are tested to determine the observed order of numerical convergence; Some features & capabilities (F&C) are tested with benchmark solutions; Some peer review conducted
- (4). All important algorithms are tested to determine the observed order of numerical convergence; All important F&Cs are tested with rigorous benchmark solutions; Independent peer review conducted

### 2.3.4 Solution Verification

Solution verification involves estimating the magnitude error in the numerical solution for the intended application (i.e., CP) for the computed responses of interest. The primary sources of solution error are spatial discretization error (i.e., not having enough mesh), time integration error (i.e., taking too big of a time step), and numerical solver tolerances (i.e., not having a small enough tolerance for the linear solver). The purpose of code verification is to provide confidence that the physics equations were correctly encoded into software. The purpose of solution verification is to ensure that there is sufficient resolution (spatial, temporal, and numerical) in the problem of interest to provide accurate solutions for system response quantities (SRQs). Another simple way to look at this is that code verification is an activity done by developers writing the code, and solution verification is an activity performed by analysts using the code. Richardson extrapolation is the most well-known method for solution verification of the spatial discretization and involves performing identical calculations on multiple domains each with differing levels of mesh refinement. Once the calculations are performed, the error from spatial discretization can be assessed and a suitable level of refinement chosen. However, the use of goal-oriented mesh adaptivity with accurate error estimators is another potential method of determining spatial discretization error. Solution Verification is important and independent from Code Verification since error-free models and numerical solution approaches can produce unsuitable results if sufficient refinement is not provided to resolve physical phenomena of interest. The authors direct the interested read to the following reference [7].

The four tiers of maturity for Solution Verification are:

- (1). Judgment only; Numerical errors have an unknown or large effect on simulation results
- (2). Numerical effects on relevant SRQs are qualitatively estimated; Input/output (I/O) verified only by the analysts
- (3). Numerical effects are quantitatively estimated to be small on some SRQs; I/O independently verified; Some peer review conducted
- (4). Numerical effects are determined to be small on all important SRQs; Important simulations are independently reproduced; Independent peer review conducted

### 2.3.5 Separate Effects Validation

Separate effects validation involves comparing computed responses to analogous experimentally measured responses in tightly-controlled and carefully-constructed experiments that minimize confounding factors. Separate effects validation tests are generally conducted in a laboratory setting and are instrumented with computational model inputs in mind such that clear exposure to model response is ensured. The objective of this type of validation is to test the individual physics models that make up a larger simulation code over a range of model state space that is relevant and encompasses the expected predictive range. An important aspect of all validation is the numerical quantification of the model response to the measured response, yet defining appropriate thresholds for acceptability can be challenging. Similarly, assessing the uncertainty or variability in the experimental data is also important. Separate effects validation differs from integral effects validation in that the former purposefully excludes phenomena to eliminate confusing feedback arising from multiple, interacting physical phenomena while the latter purposefully includes more phenomena to evaluate these interactions.

The four tiers of maturity for Separate Effects Validation are:

- (1). Judgment only; Few, if any, comparisons with relevant laboratory measurements
- (2). Quantitative assessment of accuracy of SRQs not directly relevant to the application of interest; Large or unknown experimental uncertainties
- (3). Quantitative assessment of predictive accuracy for some key SRQs from SETs; Experimental uncertainties are well characterized for most SETs; Some peer review conducted
- (4). Quantitative assessment of predictive accuracy for all important SRQs from SETs at conditions/geometries directly relevant to the application; Experimental uncertainties are well characterized for all SETs; Independent peer review conducted

### 2.3.6 Integral Effects Validation

Integral effects validation involves the comparison of code generated system response to analogous measured system experimental response. The system can be an entire engineered

system or a subsystem thereof. The goal of integral effects validation is to evaluate the ability of the code to predict system responses that include interaction between multiple separate effects. As a practical matter it can be difficult to obtain well instrumented integral effects validation tests for large or very complex systems such as commercial nuclear power reactors. As with separate effects validation, numerical quantification of code response accuracy is important for validation as is characterization of experimental uncertainty for the integral effects experimental data.

The four tiers of maturity for Integral Effects Validation are:

- (1). Judgment only; Few, if any, comparisons with relevant laboratory measurements
- (2). Quantitative assessment of accuracy of SRQs not directly relevant to the application of interest; Large or unknown experimental uncertainties
- (3). Quantitative assessment of predictive accuracy for some key SRQs from IETs; Experimental uncertainties are well characterized for most IETs; Some peer review conducted
- (4). Quantitative assessment of predictive accuracy for all important SRQs from IETs at conditions/geometries directly relevant to the application; Experimental uncertainties are well characterized for all IETs; Independent peer review conducted

### 2.3.7 Uncertainty Quantification

Uncertainty quantification for predictive software involves the estimation and propagation of uncertainties in the various inputs, models, and solution approaches to help bound and provide context to the otherwise deterministic predictions generated from simulation codes. Practically, this concept is incredibly important for decision making since the code prediction can be accompanied with a confidence interval. This is particularly important for the nuclear industry and the US Nuclear Regulatory Commission as described in [3]. There exists a useful separation of uncertainty types: aleatory or randomness based uncertainty and epistemic or lack-of-knowledge uncertainty. For a given physical system, there will always be some level of aleatory uncertainty associated with randomness of material properties or chaotic physical phenomena. On the other hand, epistemic uncertainty can be reduced through improved physical modeling. Thus, if a UQ study is performed with an accompanying sensitivity analysis, then one may decide whether to devote resources to reducing the uncertainty for the epistemic uncertainties. Uncertainty quantification for large models and systems can be extremely challenging owing to the large volume of data, the propagation the uncertainty through the system, and the adequate sampling of the input space (sometimes referred to as “the curse of dimensionality”). Sensitivity studies, where various model parameters are perturbed and the overall code response is observed, are a less rigorous if done alone, but often useful approach to augment UQ. Two references that the authors would point the interested reader to are [13 14].

The four tiers of maturity for Uncertainty Quantification are:

- (1). Judgment only; Only deterministic analyses are conducted; Uncertainties and sensitivities are not addressed
- (2). Aleatory and epistemic (A&E) uncertainties propagated, but without distinction; Informal sensitivity studies conducted; Many strong UQ/SA assumptions made
- (3). A&E uncertainties segregated, propagated and identified in SRQs; Quantitative sensitivity analyses conducted for most parameters; Numerical propagation errors are estimated and their effect known; Some strong assumptions made;
- (4). A&E uncertainties comprehensively treated and properly interpreted; Comprehensive sensitivity analyses conducted for parameters and models; Numerical propagation errors are demonstrated to be small; No significant UQ/SA assumptions made.

### 3 CRUD-INDUCED POWER SHIFT

As mentioned previously, the CIPS challenge problem seeks to significantly increase the industry predicative capability for the deposition of CRUD within the reactor core and the associated top to bottom shift in power distribution. Within CASL, the CIPS challenge problem involves MPACT, CTF, BISON and MAMBA. The conceptual, physics-based understanding of computational modeling for CIPS can be described in a series of steps. First, the simulation must compute a neutron flux that produces energy from fission (deposited in the fuel and the coolant). Boron in CRUD, fuel temperature, moderator density, and moderator temperature are all feedback mechanisms. Next, the computation must conduct the energy in the fuel radially out from the center, across the gap, through the clad, and finally through the CRUD into the coolant. The fuel is changing with burn-up and the gap is shrinking. Subsequently, the code must remove the heat from the clad to the coolant and advect it out of the core. Finally, the simulation must predict how CRUD is exchanged between the fuel pin surface and the coolant (boiling and non-boiling) and how Boron deposited in and on the CRUD.

#### 3.1 CIPS PIRT

Expert elicitation via the PIRT process has been utilized to identify important phenomena for modeling CIPS. There are three primary quantities of interest (QoIs) for the CIPS Challenge Problem:

- Total Boron Mass (Scalar)
- Boron Mass Distribution (Vector)
- Axial Offset (Scalar)

It is worth noting that the first QoI can be computed trivially from the second and that the Axial Offset implicitly depends on the second QoI as well.

##### 3.1.1 Phenomena Considered

The phenomena considered for the CIPS Challenge Problem are presented in Table 1 through Table 4 below. Along with each phenomenon, a short description is included to facilitate understanding. Additionally, the phenomena are grouped, for convenience, into four physics areas: Thermal-Hydraulics, Fuel Behavior, Neutronics, and Chemistry.

**Table 1. Phenomenology considered in the for the CIPS Challenge Problem related to Thermal-Hydraulics**

Phenomenon	Description
<i>Steaming Rate</i>	The rate at which steam is being produced through boiling on the clad surface. The overall rate of crud growth depends significantly on the boiling (and hence steaming) rate.
<i>Subcooled Boiling on a clean metal surface</i>	Also known as “Nucleate Boiling.” Boiling that occurs when the rod surface is temperature exceeds the saturation temperature when the bulk coolant is in subcooled conditions and when the heat flux from the rod is lower than the critical heat flux
<i>Subcooled Boiling In CRUD</i>	Subcooled boiling occurring in and on the CRUD layer on the surface of the rod
<i>Bulk Coolant Temperature</i>	Interpreted as the channel center temperature and generally cooler than the coolant temperature at the surface of the rod
<i>Heat Flux</i>	The rate of heat energy transfer through the surface of the clad into the coolant.
<i>Wall Roughness</i>	The surface texture of the cladding which influences nucleation sites for boiling and pressure loss along the length of the channel. This roughness changes as CRUD deposits. As CRUD deposits, the roughness changes.
<i>Single Phase Heat Transfer</i>	Single phase heat transfer is the transfer of heat from the fuel cladding to the coolant which is in single phase conditions (e.g., no boiling).
<i>Nickel and Iron Mass Balance</i>	The overall primary system balance, in terms of mass, of iron and nickel being released by corrosion of the steam generators and piping and taken up primarily on the fuel rods. The mass balance of these compounds, which are key to crud formation is used to provide the their overall concentration in the coolant system.
<i>Boron Mass Balance</i>	The overall primary system balance, in terms of mass, of boron being injected and removed from the system to control reactivity and being taken up and released by CRUD. The mass balance of boron is needed to calculate the overall concentration of boron in the coolant system.
<i>CRUD Erosion</i>	The removal of CRUD buildup due to the shear forces associated with moving fluid. This is distinct from the removal of CRUD due to differential thermal expansion entering shutdown
<i>Initial CRUD Thickness (Mass)</i>	The initial amount of CRUD on the fuel rods at the beginning of the simulation, typically the CRUD that is retained on the fuel after a reactor shutdown for refueling.
<i>Initial Coolant Nickel and Boron Concentration</i>	Dissolved and particulate Iron and Nickel species in the coolant at the beginning of the simulation, typically at the startup of the reactor after a shutdown for refueling.
<i>CRUD Source Term from Steam Generators and other Surfaces</i>	The rate of Iron an Nickel being released through dissolution and particulates from the steam generator tube surfaces and other metal surfaces in the primary coolant loop.
<i>CRUD Induced Change in Boiling Efficiency</i>	The physical changes that impact boiling on the surface of the fuel pin including change in nucleation sites and change in heat transfer from the clad to the coolant
<i>CRUD Induced Change In Flow Area</i>	The reduction in the coolant flow area that results as crud builds up, the channel.

Phenomenon	Description
<i>CRUD Induced Change in Friction Pressure Drop</i>	The increase in pressure drop resulting from an increase in surface roughness resulting from crud deposition on fuel rods.
<i>Change in Thermal Hydraulic Equation of State due to Change in Chemical Concentrations</i>	The equation of state for the coolant is affected by dissolved species, particularly in the liquid to gas transition regime. This phenomena is believed to be most pronounced near the surface of the clad and within the pores and chimneys of the CRUD.
<i>Change in Local Heat Flux to the Coolant from the Fuel due to CRUD Buildup</i>	The CRUD buildup changes the heat flux to the coolant as a result of different heat transfer efficiency
<i>Heat Flux Distribution in CRUD</i>	For thicker CRUD deposits, the heat flux must be distributed between convection, forced convection, and evaporation.

**Table 2. Phenomenology considered in the for the CIPS Challenge Problem related to Fuel Modeling**

Phenomenon	Description
Local Changes in Rod Power due to Burn-Up	As the operating cycle progresses, fuel is burned non-uniformly and a distribution of power in the rods is observed
Fuel Thermal Conductivity Changes as a Function of Burn-Up	As the operating cycle progresses, fuel burn-up results in differing isotopes and species in the fuel as well as cracking that results in changes in the fuel thermal conductivity
Changes in Effective CRUD Conductivity due to Internal Fluid Flow and Boiling	As the CRUD deposits fluid moves through the solidifying CRUD and boiling is likely to occur. This results in porosity and reduced heat transfer through the CRUD.
CRUD Removal due to Transient Power Changes. Mechanical Effects of Rod Contraction when Rod is Cooler	Differential thermal expansion between the clad and CRUD result in mechanical stresses when the system temperature changes. With sufficient change in temperature, the CRUD can fracture and dislodge from the clad surface
Fission Product Gas	As the operating cycle progresses, certain gaseous fission products are produced or form gasses that build up and pressurize the fuel rod
Pellet Swelling	During the operating cycle, the fuel pellets tend to swell from the accumulation of fission gas at grain boundaries in the fuel pellets
Contact Between the pellet and the clad	The fuel pellets can contact the clad material either through eccentricity in the pellet position or thorough swelling of the pellet or both



**Table 3. Phenomenology considered in the for the CIPS Challenge Problem related to Neutronics**

Phenomenon	Description
<i>Local Boron Density Increases Absorption</i>	As Boron accumulates in the CRUD, the neutron absorption tends to locally increase
<i>Moderator Displaced by CRUD and Replaced with an Absorber</i>	As the CRUD deposits and builds up on the surface of the clad, a volume of coolant is displaced. Since the coolant serves as a moderator and the CRUD products tend to absorb neutrons there is a reinforcing effect in reducing local reactivity
<i>Xenon impact on Steady State and Transients</i>	The fission product gasses include Xe-135, which has a very large neutron cross section that has a large impact on reactivity. Its 9.2 hour half-life results in potential impacts during slow transients when it can buildup and decay.
<i>Geometry Changes due to Swelling, Cracks, Redistribution, Sintering, and Gaps</i>	As the operating cycle progresses, the fuel pellets certainly change geometrically through movement, cracking, and swelling. These geometric changes may directly impact the reactivity or impact fuel temperatures, which indirectly impact reactivity.
<i>Cross section changes</i>	Cross sections used in the neutrons simulations are dependent upon the local temperatures, which change during operation. The changes in nuclide compositions, must also be considered
<i>Fission product production</i>	Fission products associated with fuel burn-up impact the neutronics calculations
<i>Fission product decay constants</i>	As fission products decay, the various daughter products impact the reactivity differently. These decay reactions are generally approximated with mathematical decay relationships and the accuracy of the decay constants may impact the accuracy of the neutronics calculation.
<i>Simplified decay chains</i>	Fission product decay chains are often simplified to exclude less important daughter products to reduce computation resource requirements. This may introduces some level of inaccuracy.
<i>Boron Induced shift in Neutron Spectrum</i>	Boron, as an absorber, preferentially absorbs thermal neutrons thereby removing them from the overall neutron population and thus impacting the overall energy spectrum of the neutron population
<i>Boron Depletion due to Exposure to Neutron Flux in the coolant</i>	As boron-10 in the coolant absorbs neutrons it become unstable and decays into helium and lithium. As a result, the overall isotopic fraction of boron-10 in the coolant is reduced resulting in lower neutron absorption for a given boron concentration.
<i>Boron Depletion due to Exposure to Neutron Flux in the CRUD</i>	As boron-10 in the CRUD absorbs neutrons it become unstable and decays into helium and lithium. This reduces the available boron-10 for neutron absorption.
<i>Fuel Depletion Calculations being done at a Different Resolution than Neutron Flux Calculation</i>	Fuel depletion calculations are done independently of the neutronics calculations and this may introduce inaccuracy.
<i>Boron concentration in the bulk coolant is computed from a Boron search in neutronics not a conservation of boron mass equation in the thermal-hydraulics</i>	For neutronics calculations, Boron concentration is typically calculated independent of any CRUD chemistry or thermal-hydraulics considerations. This may introduce error for the CRUD problem since significant Boron is adsorbed in the CRUD.
<i>Iron and Nickel Neutron Absorption</i>	While the neutron cross section for Iron and Nickel are much lower than Boron, the relative amount of Iron and Nickel are much greater than Boron.



**Table 4. Phenomenology considered in the for the CIPS Challenge Problem related to Chemistry**

Phenomenon	Description
<i>Local changes (near the rod) in the equation of state due to higher concentrations of Nickel, Iron, and Boron</i>	In the presumably ion-rich coolant near the clad, the equation of state for the coolant should be different for the bulk coolant with lower ion concentration. This will naturally have a large effect on predictions of phase transition.
<i>Most of the chemical reaction rates are based on lower temperature and pressures</i>	Much of the laboratory data available to calibrate chemical reaction kinetics models is obtained at temperature and pressure much lower than for PWR conditions. This may introduce error in chemistry predictions.
<i>Defining the list of elements and reactions assumes that other reactions not include have a small impact</i>	CRUD chemistry is complex and not well understood and there may be error associated with excluding certain species or reactions from the modeling of CRUD chemistry.
<i>CRUD Porosity</i>	The CRUD is known to contain some porosity and the simulation should be able to capture this.
<i>CRUD Permeability</i>	The CRUD porosity has certain interconnectivity and the permeability of the CRUD affects the transport of coolant and ions in and out of the CRUD.
<i>CRUD Chimney Density</i>	CRUD is assumed to form with “chimneys” that penetrate through the CRUD layer to the cladding. The spatial density of these chimneys will influence transport in and out of the CRUD.
<i>Water pH effect on Steam Generator Corrosion</i>	The pH of the reactor coolant will impact the electrochemistry of the metallic components in the reactor thus impacting the ion concentration in the coolant.
<i>Water pH effect on CRUD Deposition</i>	The coolant pH will influence the precipitation of the various ions into solid phases and thus the initiation of CRUD.
<i>Boron Exchange in and out of the CRUD</i>	Boron ions in the CRUD may exchange with Boron ions in the coolant

### 3.1.2 CIPS PIRT Results

The CIPS PIRT results presented represent two specific PIRT exercises: a preliminary or Mini-PIRT conducted in 2014 and a Mini-PIRT update conducted in 2017. Neither the preliminary PIRT nor the update should be considered exhaustive and this acknowledged as a current shortcoming of the V&V assessment. Given increased priority and resources in the future or for any new CPs undertaken, a more comprehensive PIRT should be conducted.

The PIRT update conducted for the CIPS CP was executed in two phases. First, the phenomena identified from the previous Mini-PIRT for CIPS were organized into a survey and this survey was made available electronically to CIPS experts within CASL. It is worth noting that the survey included the ability to suggest additional phenomena for consideration. The electronic survey was completed by several CASL researchers and this is documented below in Table 5. Once the PIRT survey results were obtained, an approximately two-hour phone call was arranged to discuss the

results of the survey and to work through items that had significant disagreement among the survey responses. This proved relatively efficient since items where participants were already well converged could be passed by quickly and a majority of time spent on items with greater disagreement.

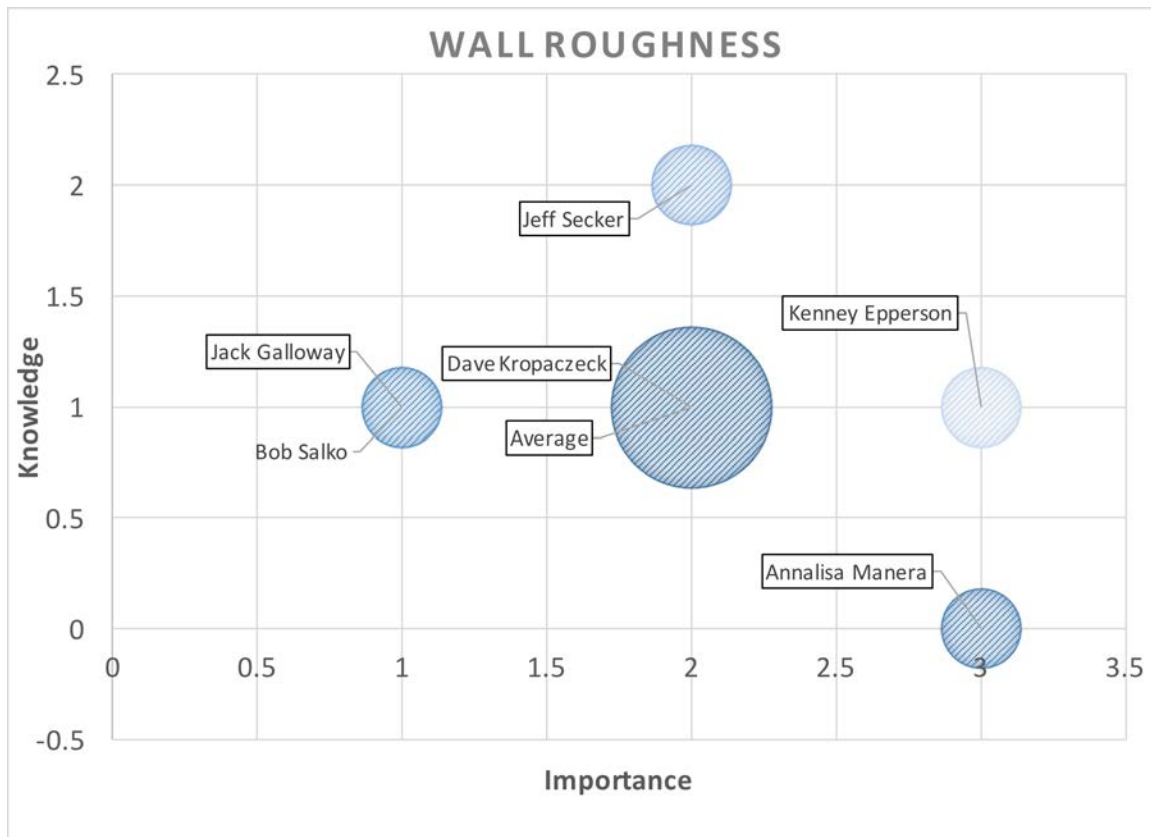
**Table 5. CIPS PIRT Survey Participants**

Date Completed	CASL Researcher	Institution
3/16/2017	Kenny Epperson	Epperson Engineering
3/20/2017	Bob Salko	ORNL
3/20/2017	Jeff Secker	Westinghouse
3/20/2017	Dave Kropaczek	NCSU
3/20/2017	Jack Galloway	LANL
3/21/2017	Annalisa Manera	University of Michigan

The CIPS PIRT update phone call was conducted on March 21, 2017 and included the following CASL researchers:

- Christopher Jones
- Jeff Secker
- Tom Downar
- Analisa Manera
- Jim Wolf
- Jess Gehin
- Dave Kropaczek
- Ben Collins
- Bob Salko

An graphical example of the PIRT Update Results can for the CIPS CP is shown in Figure 5. The responses for each participant are plotted in Cartesian space with importance and knowledge values quantified numerically from zero to three with a higher number corresponding to a higher ranking for either importance or knowledge thus creating an ordered pair. For example, the ordered pair for a phenomenon with high importance and high knowledge would be (3.0, 3.0). The average value for importance and knowledge from all survey responses is also presented. The results from the Mini-PIRT and the update are presented below in Table 6 through Table 9.



**Figure 5. Graphical presentation of CIPS PIRT update results for the phenomenon 'Wall Roughness'**

Table 6 documents the CIPS PIRT Survey results for importance and knowledge and also reproduces the importance levels obtained from the 2014 mini-PIRT.

**Table 6. PIRT results (Averaged Responses for all participants) for phenomena related to thermal-hydraulics**

Phenomenon	Importance	Knowledge	Importance	Knowledge
	PIRT Update (2017)		Mini-PIRT (2014)	
<i>Steaming Rate</i>	3.0	2.0	3.0	2.0
<i>Subcooled Boiling on a clean metal surface</i>	3.0	3.0	3.0	3.0
<i>Subcooled Boiling In CRUD</i>	3.0	1.0	3.0	1.0
<i>Bulk Coolant Temperature</i>	3.0	3.0	2.0	2.0
<i>Heat Flux</i>	3.0	2.2	3.0	3.0
<i>Wall Roughness</i>	2.0	1.0	1.0	1.0
<i>Single Phase Heat Transfer</i>	2.0	2.5	1.0	2.0
<i>Mass Balance of Nickel and Iron</i>	3.0	1.8	3.0	1.0
<i>Boron Mass Balance</i>	2.5	2.6	1.0	3.0
<i>CRUD Erosion</i>	2.2	1.3	3.0	1.0
<i>Initial CRUD Thickness (Mass)</i>	2.5	2.0	3.0	1.0
<i>Initial Coolant Nickel and Boron Concentration</i>	2.7	2.3	3.0	1.0
<i>CRUD Source Term from Steam Generators and other Surfaces</i>	3.0	1.7	3.0	1.0
<i>CRUD Induced Change in Boiling Efficiency:</i>	2.7	1.3	1.0	2.0
<i>CRUD Induced Change In Flow Area</i>	0.7	1.4	1.0	2.0
<i>CRUD Induced Change in Friction Pressure Drop</i>	1.0	1.6	1.0	1.0
<i>Change in Thermal Hydraulic Equation of State due to Chemistry</i>	1.8	1.3	1.0	1.0
<i>Change in Local Heat Flux to the Coolant from the Fuel due to CRUD Buildup</i>	1.7	1.5	3.0	1.0
<i>Heat Flux Distribution (new phenomenon)</i>	3.0	1.0	-	-

**Table 7. PIRT results (Averaged Responses for all participants) for phenomena related to fuels modeling**

Phenomenon	Importance	Knowledge	Importance	Knowledge
	PIRT Update (2017)		Mini-PIRT (2014)	
<i>Local Changes in Rod Power due to Burn-Up</i>	2.0	2.2	3.0	2.0
<i>Fuel Thermal Conductivity Changes as a Function of Burn-Up</i>	1.5	1.8	3.0	2.0
<i>Changes in Effective CRUD Conductivity due to Internal Fluid Flow and Boiling</i>	2.0	1.0	3.0	2.0
<i>CRUD Removal due to Transient Power Changes.</i>	2.0	1.0	3.0	2.0
<i>Fission Product Gas</i>	1.0	1.3	1.0	2.0
<i>Pellet Swelling</i>	1.0	1.3	3.0	2.0
<i>Contact Between the pellet and the clad</i>	1.0	1.3	3.0	2.0

**Table 8. PIRT results (Averaged Responses for all participants) for phenomena related to neutronics**

Phenomenon	Importance	Knowledge	Importance	Knowledge
	PIRT Update (2017)		Mini-PIRT (2014)	
<i>Local Boron Density Increases Absorption</i>	2.5	2.8	3.0	3.0
<i>Moderator Displaced by CRUD and Replaced with an Absorber</i>	1.6	2.0	1.0	3.0
<i>Xenon impact on Steady State Transients</i>	1.0	1.8	3.0	3.0
<i>Geometry Changes in the Pellet</i>	0.5	1.3	1.0	2.0
<i>Cross section changes</i>	2.7	2.7	3.0	2.0
<i>Fission product production</i>	1.3	1.7	2.0	2.0
<i>Fission product decay constants</i>	1.3	1.7	3.0	3.0
<i>Simplified decay chains</i>	1.0	1.0	2.0	2.0
<i>Boron Induced shift in Neutron Spectrum</i>	1.5	2.0	2.0	2.0
<i>Boron Depletion due to Exposure to Neutron Flux in the coolant</i>	2.0	2.2	1.0	1.0
<i>Boron Depletion due to Exposure to Neutron Flux in the CRUD</i>	3.0	2.0	1.0	1.0
<i>Fuel Depletion and Neutron Flux Calculation Resolution Disparity</i>	1.0	1.8	1.0	1.0
<i>Boron concentration Computation method</i>	0.8	1.6	1.0	1.0

<i>Iron and Nickel Neutron Absorption (New Phenomena)</i>	2.0	3.0	-	-
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**Table 9. PIRT Results (Averaged Responses for all participants) for phenomena related to chemistry**

Phenomenon	Importance	Knowledge	Importance	Knowledge
	PIRT Update (2017)		Mini-PIRT (2014)	
<i>Local changes (near the rod) in the equation of state</i>	2.4	1.3	3.0	3.0
<i>Chemical reaction rates are based on lower temperature and pressures</i>	2.0	1.3	2.0	2.0
<i>Overlooked Chemical Reactions/Species</i>	1.8	1.0	3.0	2.0
<i>CRUD Porosity</i>	2.8	1.8	2.0	2.0
<i>CRUD Permeability</i>	2.0	1.5	2.0	2.0
<i>CRUD Chimney Density</i>	2.6	1.6	2.0	1.0
<i>Water pH effect on Steam Generator Corrosion</i>	2.8	1.3	2.0	2.0
<i>Water pH effect on CRUD Deposition</i>	2.3	1.5	2.0	2.0
<i>Boron exchange in and out of the CRUD (New Phenomenon)</i>	3.0	1.0	-	-

Table 10 summarizes PIRT-identified phenomena and material properties of importance for CIPS prediction by eliminating unimportant items (e.g., those with PIRT importance scores < 2.0). The column “VERA Capability” shows a simplified evaluation of VERA code capability to address the respective phenomena based on the authors understanding of the PIRT discussions and the authors’ perception of the VERA capability. This assessment is necessarily subjective and is representative of the authors’ views and perception but should be discussed with other CASL researchers. The “gap” column describes the gap between the phenomenological importance for CIPS and the perceived VERA capability. This gap is “quantified” as the scalar difference between the importance and the capability with results greater than zero indicating a gap and larger numbers indicating a larger gap. Finally, the Gap “Description” column provides specificity on the nature of the perceived shortcoming. Note that this evaluation is tentative and open to review and update by subject matter experts, particularly VERA application engineers and challenge problem integrators.

**Table 10. Phenomena of importance for CIPS challenge problem**

Physics	Phenomena	Importance for CIPS	VERA capability	Gap	Gap Description
<i>Sub channel thermal hydraulics</i>	Steaming Rate	3.0	3.0		
	Subcooled Boiling on a clean metal surface	3.0	3.0		
	Subcooled Boiling In CRUD	3.0	1.0	2.0	Lack of SET data under reactor prototypic CRUD
	Bulk Coolant Temperature	3.0	3.0		
	Heat Flux	3.0	3.0		
	Wall Roughness	2.0	1.0	1.0	Lack of SET data under reactor prototypic CRUD
	Single Phase Heat Transfer	2.0	3.0		
	Mass Balance of Nickel and Iron	3.0	1.0	2.0	Uncertainty in using this input from other analysis
	Boron Mass Balance	2.5	1.0	1.5	
	CRUD Erosion	2.2	1.0	1.2	Lack of SET data under reactor prototypic conditions to assess the effect
	Initial CRUD Thickness (Mass)	2.5	1.0	1.5	Uncertainty in using this input from other analysis
	Initial Coolant Nickel and Boron Concentration	2.7	1.0	1.7	Uncertainty in using this input from other analysis
	CRUD Source Term from Steam Generators and other Surfaces	3.0	0.0	3.0	Lack of this capability in subchannel code
	CRUD Induced Change in Boiling Efficiency:	2.7	1.0	1.7	Lack of SET data under reactor prototypic conditions to assess the effect
	Heat Flux Distribution (new phenomenon)	3.0	2.0	1.0	Lack of SET data to assess the effect of geometry (spacer grids, mixing vanes)
Fuel modeling	Local Changes in Rod Power due to Burn-Up	2.0	3.0		
	Fuel Thermal Conductivity Changes as a Function of Burn-Up	1.5	3.0		
	Changes in Effective CRUD Conductivity due to Internal Fluid Flow and Boiling	2.0	1.0	1.0	Limited to conditions of WALT experiments



	CRUD Removal due to Transient Power Changes.	2.0	TBA	TBA	
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**Table 10 (continued). Phenomena of importance for CIPS challenge problem**

Physics	Phenomena	Importance for CIPS	VERA capability	Gap	Gap Description
Neutronics	Local Boron Density Increases Absorption	2.5	3.0		
	Moderator Displaced by CRUD and Replaced with an Absorber	1.6	2.0		
	Cross section changes	2.7	3.0		
	Boron Induced shift in Neutron Spectrum	1.5	2.0		
	Boron Depletion due to Exposure to Neutron Flux in the coolant	2.0	2.0		
	Boron Depletion due to Exposure to Neutron Flux in the CRUD	3.0	2.0		
	Iron and Nickel Neutron Absorption (New Phenomena)	2.0	2.0		
Coolant chemistry	Local changes (near the rod) in the equation of state	2.4	1.0	1.4	Need to include equation of state and properties for metastable state
	Chemical reaction rates are based on lower temperature and pressures	2.0	1.0	1.0	Uncertainty in using data in extrapolation regime
	CRUD Porosity	2.8	1.0	1.8	Lack of SET data under reactor prototypic conditions to assess the effect
	CRUD Permeability	2.0	1.0	1.0	Lack of SET data under reactor prototypic conditions to assess the effect
	CRUD Chimney Density	2.6	1.0	1.6	Lack of SET data to assess the effect
	Water pH effect on Steam Generator Corrosion	2.8	TBA	TBA	
	Water pH effect on CRUD Deposition	2.3	TBA	TBA	

## 3.2 V&V Requirements

The code requirements for CIPS are defined as the union of the aggregated PIRT phenomena (above, Table 10) and the CIPS Implementation Plan [16 17] requirements. In other words, the requirements for CIPS are the ability to model the physical phenomena in Table 10 and the additional requirements from [16 17]. A summary of the requirements in [16 17] is provided below. It is worth noting that these requirements do not include many important practical requirements such as operating system, hardware configuration, memory constraints, communication interfaces, etc. Currently this is beyond the scope of this more physics-based assessment, but a more complete list of software requirements should include these practical aspects in addition to the more capability driven ones presented here.

### **CIPS: CRUD-Induced Power Shift V&V Plan (from the CIPS implementation plan)**

- (1). Capability assessment
  - a) Benchmarking MAMBA against Westinghouse Advanced Loop Testing (WALT) loop data (updated dataset).
  - b) A quarter core calculation with coupled MPACT/CTF/MAMBA for a Cycle 1 or Cycle 2 core (none of those cores would have had CIPS)
  - c) VERA CIPS analysis to reload cores that had CIPS
    - Callaway Cycle 4 or Seabrook Cycle 5 (requires VERA models starting in Cycle 1)
- (2). Code-to-code comparison
  - a) Compare results to plant behavior, BOA 3.1 standalone
- (3). Improvements/developments needed to reduce (major) uncertainty
  - a) Develop corrosion product mass balance model.
    - Ongoing corrosion rates and corrosion release rates for Inconel Steam Generators and stainless steel piping, internals
    - Function of material, age, temperature, coolant pH, zinc addition history
    - Non-boiling deposition on core, ex-core surfaces
  - b) Requires CRUD restart file capabilities and CRUD shuffling capability

## 3.3 Mapping physical phenomena requirements to code capability

Capability of VERA code to provide adequate treatment of key phenomena identified in CIPS PIRT is summarized in the Table 11. The level H-M-L is provided to reflect a tentative evaluation of the capability. Specifically, H refers to capability that has high maturity for accurate predicting the phenomenon while L corresponds to maturity for prediction. For a few items capability exists

both in BISON and CTF. Depending on the nature of a particular CIPS analysis, it may be appropriate to use one code or another (e.g., balancing speed with fidelity).

**Table 11. Mapping CIPS challenge problem requirements to VERA codes**

Physics	Phenomena	MPAC T	BISON	CTF	MAMBA
Sub channel thermal hydraulic s	Steaming Rate			H	
	Subcooled Boiling on a clean metal surface			H	
	Subcooled Boiling In CRUD			L	
	Bulk Coolant Temperature			H	
	Heat Flux			H	
	Wall Roughness			L	
	Single Phase Heat Transfer			H	
	Mass Balance of Nickel and Iron			L	
	Boron Mass Balance			L	
	CRUD Erosion			L	
	Initial CRUD Thickness (Mass)			L	
	Initial Coolant Nickel and Boron Concentration			L	
	CRUD Source Term from Steam Generators and other Surfaces			-	
	CRUD Induced Change in Boiling Efficiency:			L	
	Heat Flux Distribution (new phenomenon)			M	
Fuel modeling	Local Changes in Rod Power due to Burn-Up		H		
	Fuel Thermal Conductivity Changes as a Function of Burn-Up		H		
	Changes in Effective CRUD Conductivity due to Internal Fluid Flow and Boiling		L		
	CRUD Removal due to Transient Power Changes.		TBA		
Neutronic s	Local Boron Density Increases Absorption	H			
	Moderator Displaced by CRUD and Replaced with an Absorber	M			
	Cross section changes	H			
	Boron Induced shift in Neutron Spectrum	M			
	Boron Depletion due to Exposure to Neutron Flux in the coolant	M			

	Boron Depletion due to Exposure to Neutron Flux in the CRUD	M			
	Iron and Nickel Neutron Absorption (New Phenomena)	M			

**Table 11 (Continued). Mapping CIPS challenge problem requirements to VERA codes**

Physics	Phenomena	MPAC T	BISON	CTF	MAMBA
Coolant chemistry	Local changes (near the rod) in the equation of state				L
	Chemical reaction rates are based on lower temperature and pressures				L
	CRUD Porosity				L
	CRUD Permeability				L
	CRUD Chimney Density				L
	Water pH effect on Steam Generator Corrosion				TBA
	Water pH effect on CRUD Deposition				TBA

### 3.4 V&V activities and evidence collection and evaluation

V&V evidence is distilled from various CASL documents and organized according to the index system as in the Appendix where low (L) level evidence corresponds to detailed, narrow statements or activities while high (H) refers to global or top-down activities or statements. Evidence is then classified by their relevance to PCMM attributes and level of significance. Table 12 summarizes this evidence. Since the original V&V activity was not portrayed in a system that would lend itself in PCMM attributes, the classification necessarily involves subjective approach, but the process is traceable and open for review, dispute, and update.

**Table 12. V&V evidence for CIPS challenge problem**

<i>PCMM attribute</i>	Significance			Gap/ Overall Evaluation
	L	M	H	

<i>RGF: Representation and Geometric Fidelity</i>	MP132 MP231 MP232	MP331 MP332 MP333 MP334 MP335 MP336 MP338 MP339 CT222 VE131 VE132 VE133		<u>Marginal [1.5]</u>
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**Table 12 (continued). V&V evidence for CIPS challenge problem**

<i>PCMM attribute</i>	Significance			Gap/ Overall Evaluation
	L	M	H	
<i>PMMF: Physics and Material Model Fidelity</i>	MP233 MP234 VE131 VE132 VE133	MP331 MP332 MP333 MP334 MP335 MP336 MP338 MP339		<u>Marginal [1.5]</u>
<i>SQA: Software Quality Assurance (including documentation)</i>	MP113 CT111 MA231	MP112 MP114 CT121 CT122 CT131 CT132 CT135	MP111 MP121 MP122 MP131 MP132	CT112 MA111 MA112  <u>Marginal [1.5] (MAMBA)</u>
<i>CVER: Code Verification</i>	MP122 MP234 CT123 MA232	MP131 MP132 CT133		MP222 CT113 CT123 MA113 VE134 <u>Need improvement [1]</u>
<i>SVER: Solution Verification</i>	MP211 MP214 CT114 CT124 MA233	MP212 MP213 MP233 MP234 CT134	MP221 MP231 MP232 MP324	MP222 CT124 MA114 MA121 VE134 <u>Need improvement [1]</u>
<i>SVAL: Separate Effects Validation</i>	MP311	MP231 MP313 CT221	MP321 MP324 MP331 MP336 MP337	MP314 CT211 MA115

			MP338 MP339	<u>Need improvement</u> <u>[1] (MAMBA)</u>
<i>IVAL: Integral Effects Validation</i>	MP311 MA122 MA123 MA124 VE112 VE121 VE122	MP312 MP313 CT212	MP322 MP323 MP332 MP333 MP334 MP335 CT222	MP314 MA115  <u>Marginal [1.5]</u>
<i>UQSA: Uncertainty Quantification &amp; Sensitivity Analysis</i>			VE135 VE136 VE137	<u>None [0]</u>

### 3.5 CIPS PCMM Assessment

The PCMM assessment for CIPS challenge problem is given in Table 13 below. It is noted that

- MPACT offers capability to perform reactor core neutronic analysis. MPACT software quality is high. The MPAC V&V plan is a 70-page document. It includes about 10 pages of discussion software quality, code verification with the method of manufactured solutions, and solution verification. The validation covers separate effects testing with criticality experiments and integral effects testing that include matching calculations with from operating nuclear power plants. Much of the CASL V&V plan for this code has already been implemented.
- CTF is a “legacy” sub-channel thermal-hydraulics code, based on two-fluid model and hence inherited both software development practice of the 1980s, and limitations of the ill-posed two-phase flow models. Significant efforts were made by the CTF users community and by CASL PHI focus area researcher to improve software quality of CTF, and its theory and V&V manuals. Nonetheless, code verification and solution verification remain limited. On the other hand, there is a significant validation database available including separate and integral effects testing from a variety of experimental facilities.
- MAMBA is a CRUD chemistry code, which has been under development and currently under restructuring. While the code offers unique capability for modeling of complex processes in CRUD chemistry, the original software development was not performed under the same SQA standards as other CASL codes. The restructuring is bringing MAMBA into alignment with other CASL software development practices.
- TIAMAT as a software component for code coupling has been introduced recently. The documentation of TIAMAT and its testing is available only in a very high level, making it difficult to evaluate. This is an area that needs attention in the future work.

**Table 13. PCMM scoring for CIPS challenge problem**

PCMM attribute	MPACT	CTF	MAMBA	TIAMAT
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<i>Representation and Geometric Fidelity</i>	3	2	1	N/A
<i>Physics and Material Model Fidelity</i>	3	2	1	N/A
<i>Software Quality Assurance</i>	2	2	0	1
<i>Code Verification</i>	1	1	0	1
<i>Solution Verification</i>	1	1	1	N/A
<i>Separate Effects Validation</i>	2	1	0	N/A
<i>Integral Effects Validation</i>	2	2	1	N/A
<i>Uncertainty Quantification</i>	0	0	0	N/A

### 3.6 Discussion and Gap Identification

Certain phenomenology gaps are identified in Table 10 and for reader convenience are repeated below in Table 14. Qualitatively, the phenomenological gaps for the CIPS problem lie in the thermal-hydraulics and CRUD modeling areas.

**Table 14. VERA Gaps for CIPS predictions**

<b>Physics</b>	<b>Phenomena</b>	<b>Importance for CIPS</b>	<b>VERA capability</b>	<b>Gap</b>	<b>Gap Description</b>
Sub channel thermal hydraulics	Subcooled Boiling In CRUD	3.0	1.0	2.0	Lack of SET data under reactor prototypic CRUD
	Wall Roughness	2.0	1.0	1.0	Lack of SET data under reactor prototypic CRUD
	Mass Balance of Nickel and Iron	3.0	1.0	2.0	Uncertainty in using this input from other analysis
	CRUD Erosion	2.2	1.0	1.2	Lack of SET data under reactor prototypic conditions to assess the effect
	Initial CRUD Thickness (Mass)	2.5	1.0	1.5	Uncertainty in using this input from other analysis
	Initial Coolant Nickel and Boron Concentration	2.7	1.0	1.7	Uncertainty in using this input from other analysis
	CRUD Source Term from Steam Generators and other Surfaces	3.0	0.0	3.0	Lack of this capability in subchannel code
	CRUD Induced Change in Boiling Efficiency:	2.7	1.0	1.7	Lack of SET data under reactor prototypic conditions to assess the effect
	Heat Flux Distribution (new phenomenon)	3.0	2.0	1.0	Lack of SET data to assess the effect of geometry (spacer grids, mixing vanes)



	Changes in Effective CRUD Conductivity due to Internal Fluid Flow and Boiling	2.0	1.0	1.0	Limited to conditions of WALT experiments
Coolant chemistry	Local changes (near the rod) in the equation of state	2.4	1.0	1.4	Need to include equation of state and properties for metastable state
	Chemical reaction rates are based on lower temperature and pressures	2.0	1.0	1.0	Uncertainty in using data in extrapolation regime
	CRUD Porosity	2.8	1.0	1.8	Lack of SET data under reactor prototypic conditions to assess the effect
	CRUD Permeability	2.0	1.0	1.0	Lack of SET data under reactor prototypic conditions to assess the effect
	CRUD Chimney Density	2.6	1.0	1.6	Lack of SET data to assess the effect

Verification for CIPS is a challenge. Certain geometry is fixed at a single control volume like a channel for CTF. However, for solution verification only sensitivity information from the temporal discretization and spatial discretization are needed. Therefore, by perturbing input quantities and measuring the impact on the CIPS quantities of interest (QoIs) and thus generate insight into the sensitivity and subsequently the error associated with discretization. This study also needs to consider convergence criteria.

A major challenge in V&V of VERA for CIPS lies in deficiency of validation data, both in quantity and quality required for assessing complex models in thermal-hydraulics (subcooled boiling), CRUD chemistry and their interactions.

MAMBA.3D is being restructured on a modern platform (used to support development and assessment of MPACT) that is expected to address weaknesses in software engineering and software quality assurance identified in the previous PCMM assessment. SQA aside, attention should be paid on code verification, solution verification and validation of MAMBA. Documentation for MAMBA, both theory manual and V&V manual are needed.

The code coupling between MPACT, CTF, and MAMBA needs to be documented in detail, including the variables that are passed, with what units, and how are they used on either side. It is very important to document the assumptions in the code coupling, like steady-state or incompressible fluid. The coupled code documentation needs to address the iterations and the convergence criteria.

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## 4 PELLET-CLAD INTERACTION

As mentioned previously, the PCI CP seeks to model the thermomechanical interaction of fuel pellets with cladding that occurs during reactor operation. The end goal of the PCI CP is an evaluation of cladding integrity in response to mechanical and thermal loading. While logically straightforward, this problem has several non-linear feedback mechanisms that make prediction challenging. Principally these challenges lie in the nonlinear constitutive behavior of the ceramic fuel itself inclusive of swelling, viscoelasticity / plasticity, fracture and chemical interactions with the cladding.

### 4.1 PCI PIRT

The stepwise conceptual description for the PCI CP begins with the computation of a neutron flux that produces energy from fission (deposited in the fuel). This heat energy is then conducted radially out from the center of the fuel, across the gap and through the clad. The fuel swells with burn-up and the gap shrinks as fission products accumulate in the crystal structure of the ceramic fuel. As the fuel decays, fission gasses are released and the pressure within the fuel rod rise, thus stressing the clad. Additionally, the contact of the pellet and the clad induces a local contact force. The heat is removed at the surface of the clad by the coolant and is advected to the Balance-of-Plant. Subsequent to the heat transfer from the fuel to the coolant, there is as safety-related interest in describing the mechanical behavior of the clad to give insight into possible clad failure.

There are two primary quantities of interest for characterizing potential clad failure:

- Spatially varying maximum cladding stress
- Spatially varying material capacity (failure threshold)

These two QoIs can be thought of as probability distributions in space. If the two QoIs can accurately be computed, then predicted failure will be defined as the intersection of the two distributions. Stated differently, when the maximum cladding stress exceeds the minimum available material capacity, then the cladding will fail.

#### 4.1.1 Phenomena Considered

The phenomena considered for the PCI CP are presented in Table 15 through Table 18 below. Along with each phenomenon, a short description is included to facilitate understanding. Additionally, the phenomena are grouped, for convenience, into four physics areas: Thermal-Hydraulics, Fuel Behavior, Neutronics, and Chemistry.

**Table 15. Phenomenology considered in the for the PCI Challenge Problem related to Thermal-Hydraulics**

Phenomenon	Description
<i>Heat Transfer Boundary Condition</i>	How the code handles transferring heat from the clad surface to the coolant
<i>Coolant Temperature</i>	The temperature of the bulk coolant. Note that there is non-negligible distribution of the coolant temperature from the surface of the clad to the center of the sub-channel.
<i>Boiling</i>	Though not typically present in PWRs, as the heat flux from the clad increases boiling can occur in some instances
<i>Clad Temperature</i>	Interpreted as the temperature of the surface of the clad in contact with the coolant
<i>Flow Induced Vibration</i>	As the coolant passes over spacer grids and mixing vanes, turbulent flow causes vibration in the fuel rods
<i>Azimuthal Variation in Temperature</i>	Spatial variation in temperature is most pronounced circumferentially around the fuel rod, particularly just downstream of the spacer grids / mixing vanes

**Table 16. Phenomenology considered in the for the PCI Challenge Problem related to Fuel Modeling**

Phenomenon	Description
Prior Irradiation Time	Since the isotopic composition of the fuel changes with irradiation, the previous irradiation may impact constitutive behavior
Power Maneuvers	Ramping power usually for load following
Cladding Creep	Time dependent deformation of the cladding in response to a constant applied load
Pellet Cracking	Fracture of the Urania fuel usually due to fission gas buildup in the crystal structure of the Urania
Pellet Swelling	Positive volume change in the Urania fuel resulting from fission gas buildup in the crystal structure
Pellet Densification	As the Urania pellets are formed from Urania powder, there is a reduction in void fraction and a corresponding increase in bulk density
Operating History (Power Profile)	The time varying power level experienced by the fuel rods. This includes power maneuvers and normal startup and shutdown.
Fission Gas Release (Internal Pressure in the Fuel Rod)	Fission gas produced by the fuel propagates to the surface of the pellet and is captured in the clad. There is an associated pressure rise associated with this gas buildup.

**Table 17. Phenomenology considered in the for the PCI Challenge Problem related to Fuel Modeling (Continued)**

Phenomenon	Description
Gap Model	The multiple phenomena associated with modeling heat transfer, closure, and mechanical contact across and between the fuel pellet and the clad
Pellet Thermal Expansion Caused by Power Increase	Thermal expansion of the fuel pellet associated with increased temperature caused by increased power
Thermal Creep In the Pellet and Clad	Time dependent deformation of the fuel or clad in response to a constant applied load at elevated temperature
Friction Between Pellet and Clad	Resistance to translation between the pellet and clad when the two are in contact
Chemical Interactions in the Clad	Certain fission products interact with the cladding material. These interactions may result in secondary phenomena such as stress-corrosion cracking.
Microstructure Impacts on Stress Driven Cracking	The cladding crystal texture may be relatively more susceptible to cracking owing to synergistic chemical effects
Corrosion	Zirconium is generally very corrosion resistant, however in certain situations such as the presence of fission gases, clad corrosion may be non-negligible
Hydrides	Zirconium and hydrogen can combine to form Zirconium Hydride
Material Properties for Time Varying Heterogeneous Fuel Pellet	The constitutive properties of the fuel vary with irradiation time and the subsequent decay of daughter products
Thermal Expansion	Simple thermal expansion of the fuel and clad
Thermal Conductivity	Heat conduction of the fuel components including fuel, gap, and cladding

**Table 18. Phenomenology considered in the for the PCI Challenge Problem related to Neutronics**

Phenomenon	Description
Energy Deposition (Fission Rate as a Function of Space and Time)	The spatially and temporally varying rate of energy deposition which directly relates to heat in the fuel
Fast Flux (As a Function of Space and Time)	The spatially and temporally varying distribution of fast flux neutrons from fission
Gamma Heating	Temperature rise in the reactor associate with gamma interaction Typically most significant in the non-fueled areas
Isotopics Impact on Fuel Performance Model	Fission products from the reaction of Urania influence the overall core behavior through secondary reactions and additional neutrons
Xenon Impact on Local Power Transients Impacts Stress	Xenon plays a unique role in absorbing neutrons thus slowing the reaction rate but is also associated with stress corrosion cracking
Change in Pellet and Clad Geometry	Fuel pellets can relocate during operation and fuel rods are known to “bow” slightly in response to thermal expansion. This geometric rearrangement effects reactivity.

**Table 19. Phenomenology considered in the for the PCI Challenge Problem related to Chemistry**

Phenomenon	Description
Water Clad Corrosion Rate	The rate of corrosion in the cladding in the aqueous coolant environment. This is effected by pH and general chemistry
Fuel Pellet Chemistry	Certain chemical species interact with the Zircaloy cladding in a deleterious fashion. The fuel chemistry can therefore impact clad performance.

#### 4.1.2 PCI PIRT Results

The PCI PIRT results presented represent two specific PIRT exercises: a preliminary or Mini-PIRT conducted in 2014 and a Mini-PIRT update conducted in 2017. Neither the preliminary PIRT nor the update should be considered exhaustive and this acknowledged as a current shortcoming of the V&V assessment. Given increased priority and resources in the future or for any new CPs undertaken, a more comprehensive PIRT should be conducted.

The PIRT update conducted for the PCI CP was executed in two phases. First, the phenomena identified from the previous Mini-PIRT for PCI were organized into a survey and this survey was made available electronically to CIPS experts within CASL. It is worth noting that the survey included the ability to suggest additional phenomena for consideration. The electronic survey was completed by several CASL researchers and this is documented below in Table 19. Once the PIRT survey results were obtained, an approximately two-hour phone call was arranged to discuss the results of the survey and to work through items that had significant disagreement among the survey responses. This proved relatively efficient since items where participants were already well converged could be passed by quickly and a majority of time spent on items with greater disagreement.

**Table 20. PCI PIRT Survey Participants**

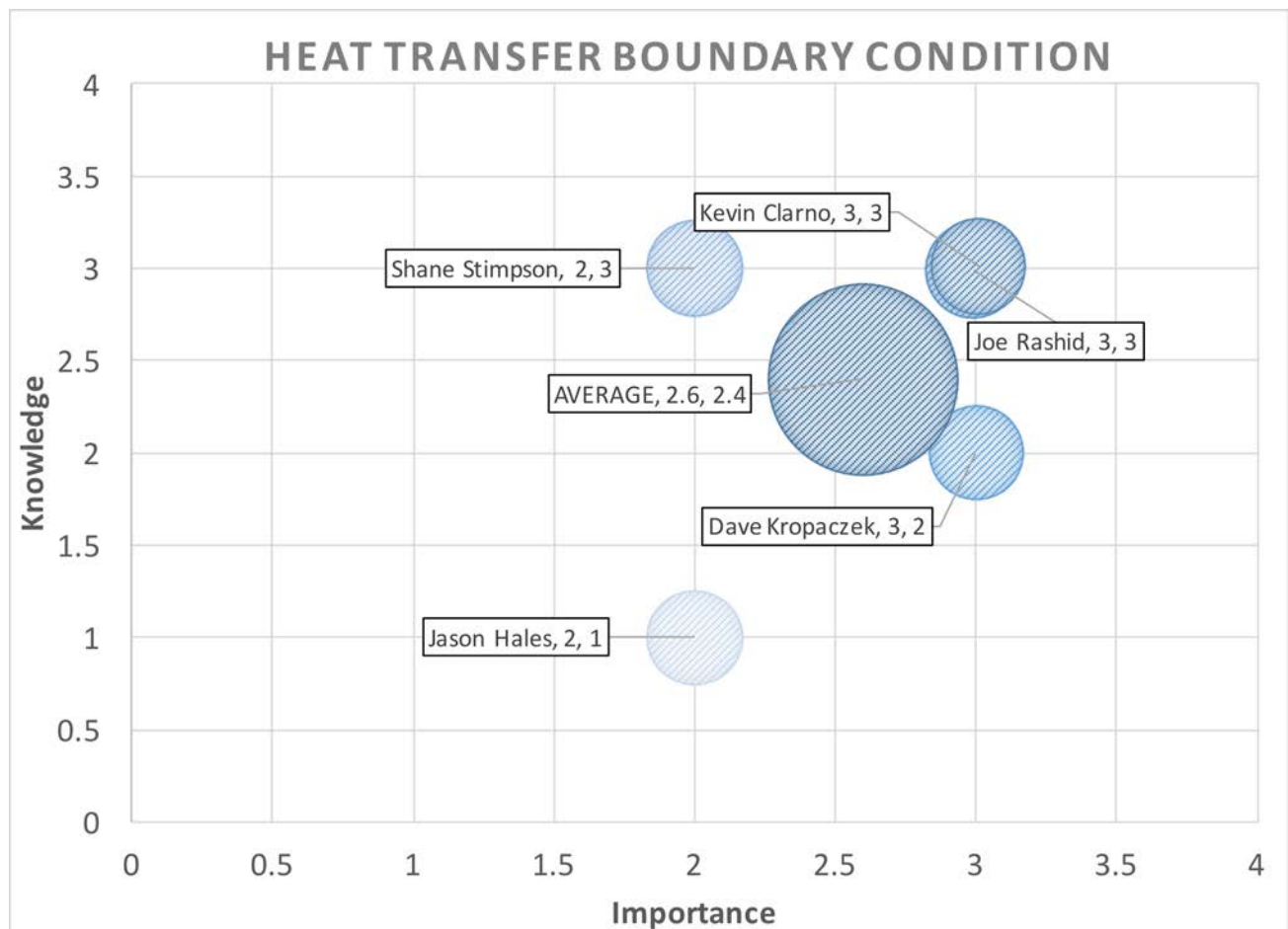
Date Completed	CASL Researcher	Institution
3/16/2017	Jason Hales	Idaho National Laboratory
3/21/2017	Tom Downar	University of Michigan
3/21/2017	Shane Stimpson	ORNL
3/21/2017	Dave Kropaczek	NCSU
3/21/2017	Joe Rashid	ANATECH-SI
3/22/2017	Kevin Clarno	ORNL

The CIPS PIRT update phone call was conducted on March 22, 2017 and included the following CASL researchers:

- Christopher Jones
- Paul Kersting

- Shane Stimpson
- Jim Wolf
- Kevin Clarno
- Joe Rashid
- Eric Mader
- Bob Salko
- Tom Downar
- Dave Kropaczek

A graphical example of the PIRT Update Results can for the PCI CP is shown in Figure 6. The responses for each participant are plotted in Cartesian space with importance and knowledge values quantified numerically from zero to three with a higher number corresponding to a higher ranking for either importance or knowledge thus creating an ordered pair. For example, the ordered pair for a phenomenon with high importance and high knowledge would be (3.0, 3.0). The average value for importance and knowledge from all survey responses is also presented.



**Figure 6. Graphical presentation of PCI PIRT update results for the phenomenon 'Heat Transfer Boundary Condition'**



Table 20 below documents the PCI PIRT Survey results for importance and knowledge and also reproduces the importance levels obtained from the 2014 mini-PIRT.

**Table 21. PCI PIRT results (Averaged Responses for all participants) including the 2017 PIRT Update and the 2014 Mini-PIRT**

Phenomena	Importance	Knowledge	Importance	Knowledge
	PIRT Update (2017)		Mini-PIRT (2014)	
Heat Transfer Boundary Condition	2.6	2.4	3.0	2.0
Coolant Temperature	2.6	2.8	3.0	2.0
Boiling	1.8	1.8	1.0	2.0
Clad Temperature	3.0	2.4	3.0	2.0
Flow Induced Vibration	0.6	1.2	1.0	1.0
Azimuthal Variation in Temperature	1.6	2.0	2.0	2.0
Prior Irradiation Time	2.7	2.5	3.0	3.0
Power Maneuvers	3.0	2.5	3.0	3.0
Cladding Creep	2.8	2.2	3.0	2.0
Pellet Cracking	2.8	2.0	3.0	2.0
Pellet Swelling	2.8	2.6	3.0	2.0
Pellet Densification	2.4	2.6	3.0	2.0
Operating History (Power Profile)	2.5	2.8	3.0	3.0
Fission Gas Release (Internal Pressure in the Fuel Rod)	2.2	1.8	3.0	2.0
Gap Model	2.5	2.0	3.0	2.0
Pellet Thermal Expansion Caused by Power Increase	3.0	2.4	3.0	3.0
Thermal Creep In the Pellet and Clad	2.6	2.0	3.0	2.0
Friction Between Pellet and Clad	2.6	1.8	2.0	2.0
Chemical Interactions in the Clad	2.4	1.8	2.0	2.0
Microstructure Impacts on Stress Driven Cracking	2.4	1.2	3.0	1.0
Corrosion	2.0	1.8	1.0	2.0
Hydrides	1.8	1.4	1.0	1.0
Material Properties for Time Varying Heterogeneous Fuel Pellet	2.4	2.2	2.0	2.0
Thermal Expansion	2.8	2.8	2.0	2.0
Thermal Conductivity	2.5	2.3	2.0	2.0
Energy Deposition (Fission Rate as a Function of Space and Time)	2.2	2.3	3.0	3.0

**Table 20 (continued). PCI PIRT results (Averaged Responses for all participants) including the 2017 PIRT Update and the 2014 Mini-PIRT**

Phenomena	Importance	Knowledge	Importance	Knowledge
	PIRT Update (2017)		Mini-PIRT (2014)	
Fast Flux (As a Function of Space and Time)	2.0	2.3	3.0	3.0
Gamma Heating	1.0	1.7	2.0	2.0
Isotopics Impact on Fuel Performance Model	1.2	2.3	2.0	2.0
Xenon Impact on Local Power Transients Impacts Stress	2.7	2.0	2.0	2.0
Change in Pellet and Clad Geometry	1.5	1.7	1.0	1.0
Water Clad Corrosion Rate (Current Model Emperical Future Model Lower Length Scale)	1.4	1.6	2.0	2.0
Fuel Pellet Chemistry (Current Model Emperical Future Model Lower Length Scale)	1.8	1.6	3.0	2.0

Table 21 summarizes PIRT-identified phenomena and material properties of importance for PCI prediction by eliminating unimportant items (e.g., those with PIRT importance scores < 2.0). The column “VERA Capability” shows a simplified evaluation of VERA code capability to address the respective phenomena based on the authors understanding of the PIRT discussions and the authors’ perception of the VERA capability. This assessment is necessarily subjective and is representative of the authors’ views and perception but should be discussed with other CASL researchers. The “gap” column describes the gap between the phenomenological importance for PCI and the perceived VERA capability. This gap is “quantified” as the scalar difference between the importance and the capability with results greater than zero indicating a gap and larger numbers indicating a larger gap. Finally, the Gap “Description” column provides specificity on the nature of the perceived shortcoming. Note that this evaluation is tentative and open to review and update by subject matter experts, particularly VERA application engineers and challenge problem integrators.

**Table 22. Phenomena of importance for the PCI CP**

Physics	Phenomena	Importance for PCI	VERA capability	Gap	Gap Description
Sub channel thermal hydraulics	Heat Transfer Boundary Condition	2.6	3.0		
	Coolant Temperature	2.6	3.0		
	Boiling	1.8	2.0		
	Clad Temperature	3.0	3.0		
Fuel modeling	Prior Irradiation Time	2.7	3.0		
	Power Maneuvers	3.0	3.0		
	Cladding Creep	2.8	1.0	1.8	Lack of SET data to assess the effect
	Pellet Cracking	2.8	1.0	1.8	Lack of SET data to assess the effect
	Pellet Swelling	2.8	1.0	1.8	Lack of SET data to assess the effect
	Pellet Densification	2.4	1.0	1.4	Lack of SET data to assess the effect
	Operating History (Power Profile)	2.5	3.0		
	Fission Gas Release (Internal Pressure in the Fuel Rod)	2.2	2.0		
	Gap Model	2.5	2.0	0.5	Lack of SET data to assess the model's components
	Pellet Thermal Expansion Caused by Power Increase	3.0	3.0		
	Thermal Creep In the Pellet and Clad	2.6	1.0	1.6	Lack of SET data to assess the effect
	Friction Between Pellet and Clad	2.6	1.0	1.6	Lack of SET data to assess the effect
	Chemical Interactions in the Clad	2.4	2.0		
	Microstructure Impacts on Stress Driven Cracking	2.4	1.0	1.4	Lack of SET data to assess the effect
	Corrosion	2.0	2.0		
	Material Properties for Time Varying Heterogeneous Fuel Pellet	2.4	1.0	1.4	High uncertainty in data
	Thermal Expansion	2.8	3.0		
	Thermal Conductivity	2.5	3.0		
Neutronics	Energy Deposition (Fission Rate as a Function of Space and Time)	2.2	3.0		
	Fast Flux (As a Function of Space and Time)	2.0	3.0		

	Xenon Impact on Local Power Transients Impacts Stress	2.7	TBA	TBA	
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## 4.2 V&V Requirements

The code requirements for PCI are defined as the aggregated PIRT phenomena (above, Table 21). The most current PCI CP Implementation plan does not include any V&V requirements and the phenomenological requirements are substantially similar to those presented in Table 21. [25] discusses a handful of lower-length-scale simulations and hypothesizes that these could be used to inform continuum level modeling for PCI. The authors believe that these type of upscaling techniques are still in the research realm and are excluded from the current description of requirements. As with the other CPs, it is worth noting that these requirements do not include many important practical requirements such as operating system, hardware configuration, memory constraints, communication interfaces, etc. Currently this is beyond the scope of this more physics-based assessment, but a more complete list of software requirements should include these practical aspects in addition to the more capability driven ones presented here.

## 4.3 Mapping to code capability

Capability of VERA code to provide adequate treatment of key phenomena identified in PCI PIRT is summarized in Table 22 below. The level H-M-L is provided to reflect an evaluation of the adequacy of the capability and is based on the authors' understanding of the PCI CP and informal conversation with code owners, focus area leads, and other CASL researchers. Specifically, H refers to capability that has high maturity for accurate predicting the phenomenon while L corresponds to maturity for prediction. For a few of the fuel rod related requirements, capability for modeling was identified in both BISON and in CTF. The decision to utilize the functionality in one code or the other may be made on the basis of balancing runtime efficiency with fidelity.

**Table 23. Mapping PCI challenge problem requirements to VERA capabilities**

Physics	Phenomena	MPACT	BISON	CTF
<i>Sub channel thermal hydraulics</i>	Heat Transfer Boundary Condition			H
	Coolant Temperature			H
	Boiling			M
	Clad Temperature			H
<i>Fuel modeling</i>	Prior Irradiation Time		H	
	Power Maneuvers		H	
	Cladding Creep		L	
	Pellet Cracking		L	
	Pellet Swelling		L	
	Pellet Densification		L	
	Operating History (Power Profile)		H	
	Fission Gas Release (Internal Pressure in the Fuel Rod)		M	
	Gap Model		M	
	Pellet Thermal Expansion Caused by Power Increase		H	
	Thermal Creep In the Pellet and Clad		L	
	Friction Between Pellet and Clad		L	
	Chemical Interactions in the Clad		M	
	Microstructure Impacts on Stress Driven Cracking		L	
	Corrosion		M	
	Material Properties for Time Varying Heterogeneous Fuel Pellet		L	
	Thermal Expansion		H	
	Thermal Conductivity		H	
<i>Neutronics</i>	Energy Deposition (Fission Rate as a Function of Space and Time)	H		
	Fast Flux (As a Function of Space and Time)	H		
	Xenon Impact on Local Power Transients Impacts Stress	TBA		

## 4.4 V&V activities and evidence collection and evaluation

V&V activities and evidence are distilled from various CASL documents (manuals, presentations) on VERA V&V and summarized in the Appendix section. Evidence is provided according to the index system as in the Appendix. Evidence is then classified by their relevance to PCMM attributes and level of significance. Since the original V&V activity was not portrayed in a system that would lend itself in PCMM attributes, the classification necessarily involves subjective approach. The process is, however, traceable and open for review, dispute, and update.

**Table 24. V&V evidence for PCI challenge problem**

<i>PCMM attribute</i>	<b>Significance</b>			<b>Gap/ Overall Evaluation</b>
	<b>L</b>	<b>M</b>	<b>H</b>	
<i>RGF: Representation and Geometric Fidelity</i>	MP132 MP231 MP232 VE131 VE132 VE133	MP331 MP332 MP333 MP334 CT222 BI231	MP335 MP336 MP338 MP339	Good [2.5]
<i>PMMF: Physics and Material Model Fidelity</i>	MP233 MP234 VE131 VE132 VE133	MP331 MP332 MP333 MP334 BI231	MP335 MP336 MP338 MP339	Good [2.5]
<i>SQA: Software Quality Assurance (including documentation)</i>	MP113 CT111	MP112 MP114 CT121 CT122 CT131 CT132 CT135 BI111 BI112	MP111 MP121 MP122 MP131 MP132 BI121 BI122 BI131	CT112 BI134 Good [2]
<i>CVER: Code Verification</i>	MP122 MP234 CT123 BI113	MP131 MP132 CT133 BI132		MP222 CT113 CT123 BI123 VE134 Need improvement [1]
<i>SVER: Solution Verification</i>	MP211 MP214 CT114 CT124 BI114	MP212 MP213 MP233 MP234 CT134	MP221 MP231 MP232 MP324 BI124	MP222 CT124 VE134 Need improvement [1]

**Table 23 (continued). V&V evidence for PCI challenge problem**

PCMM attribute	Significance			Gap/ Overall Evaluation
	H	M	L	
<i>SVAL: Separate Effects Validation</i>	MP311 BI211	MP231 MP313 CT221	MP321 MP324 MP331 MP336 MP337 MP338 MP339	MP314 CT211  <u>Marginal [1.5]</u>
<i>IVAL: Integral Effects Validation</i>	MP311 BI133 BI211	MP312 MP313 CT212 BI221 BI222	MP322 MP323 MP332 MP333 MP334 MP335 CT222 BI231	MP314 BI232 BI233 <u>Good [2]</u>
<i>UQSA: Uncertainty Quantification &amp; Sensitivity Analysis</i>			BI234 VE135 VE136	<u>None [0]</u>

## 4.5 PCMM Assessment

PCMM assessment for PCI challenge problem is given in the table below. It is noted that:

- The assessment for MPACT and CTF remains consistent with that for CIPS challenge problem. In fact, the PCI challenge problem requires only a subset of CTF capability for an operating reactor core thermal-hydraulics (compared to a more intricate capability required in the CIPS and DNB challenge problems). Detailed discussion of MPACT and CTF is not repeated here.
- BISON is central to PCI. Built upon MOOSE software development platform, BISON inherits a modern “best practice” in software engineering and software quality assurance. The BISON documentation is adequate.
- Although selected capability of BISON (e.g., fuel rod heat transfer) may also be used in CIPS and DNB challenge problem, the PCI challenge problem requires BISON capability in its fullest (including fuel and cladding thermo-mechanics, fission gas behaviors, and chemistry).
- BISON V&V manual and plan include extensive efforts in validation in the regime of PCI as well as under LOCA and RIA conditions.
- Verification for BISON is non-negligible but could be improved. More efforts in code and solution verification are planned.



- TIAMAT as a software component for code coupling has been introduced recently. The documentation of TIAMAT and its testing is available only in a very high level, making it difficult to evaluate. This is an area that needs attention in the future work.

**Table 25. PCMM scoring for PCI challenge problem**

PCMM attribute	MPACT	CTF	BISON	TIAMAT
<i>Representation and Geometric Fidelity</i>	3	2	2	N/A
<i>Physics and Material Model Fidelity</i>	3	2	2	N/A
<i>Software Quality Assurance</i>	2	2	2	1
<i>Code Verification</i>	1	1	1	1
<i>Solution Verification</i>	1	1	1	N/A
<i>Separate Effects Validation</i>	2	1	1	N/A
<i>Integral Effects Validation</i>	2	2	2	N/A
<i>Uncertainty Quantification</i>	0	0	0	N/A
<i>V&amp;V Manual</i>	Good	Good	Good	-

## 4.6 Discussion and Gap Identification

Certain phenomenology gaps are identified in Table 21 and for reader convenience are repeated below in Table 25. Qualitatively, the phenomenological gaps for the CIPS problem lie in the fuel rod and thermal-hydraulics modeling areas.

**Table 26. Phenomenological Gaps for PCI**

Physics	Phenomena	Importance for PCI	VERA capability	Gap	Gap Description
Fuel Modeling	Cladding Creep	2.8	1.0	1.8	Lack of SET data to assess the effect
	Pellet Cracking	2.8	1.0	1.8	Lack of SET data to assess the effect
	Pellet Swelling	2.8	1.0	1.8	Lack of SET data to assess the effect
	Pellet Densification	2.4	1.0	1.4	Lack of SET data to assess the effect
	Gap Model	2.5	2.0	0.5	Lack of SET data to assess the model's components
	Thermal Creep In the Pellet and Clad	2.6	1.0	1.6	Lack of SET data to assess the effect
	Friction Between Pellet and Clad	2.6	1.0	1.6	Lack of SET data to assess the effect
	Microstructure Impacts on Stress Driven Cracking	2.4	1.0	1.4	Lack of SET data to assess the effect
	Material Properties for Time Varying	2.4	1.0	1.4	High uncertainty in data

	Heterogeneous Fuel Pellet				
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For the PCI challenge problem, fuel performance modeling and simulation capability plays a critical role. This capability provided by BISON embodies complex multi-physics on its own right, making it a formidable challenge to verify and validate. The complexity also dictates the modeling be phenomenological (as opposed to mathematical), and this is the main reason for difficulty in both code verification and solution verification.

The BISON verification work has been initiated but both solution and code verification need to be improved. Solution verification work has begun in BISON. This needs to include all quantities of interest for the PCI challenge problem. The verification needs to include spatial discretization and temporal discretization sensitivity studies as well as sensitivity studies for all of the Jacobian-free Newton-Krylov (JFNK) solver settings.

The BISON coupling with VERA is an area that needs clarification and verification support. Documentation that defines the coupling is needed with which codes and whether the coupling is one-way or two-way coupling.

The PCI validation plan is structured to address the main physics, thermal mechanical, fission gas, and chemistry. However, separate-effect test (SET) validation is limited in BISON, largely attributed to lack of data. Observations on fuel and material behaviors in irradiated environment are typically limited to post-irradiation examination that exhibits integrated and hence convoluted effects.

A substantial body of work on Integral-Effect Test (IET) validation was performed, including OECD/NEA PCMI benchmarks. A reasonably good comparison between predicted and measured fuel centerline temperature was obtained. It is noted that this centerline temperature is rather conservative that it may hide the compensating effects of various contributing processes. Notably, large uncertainty exists in key models (e.g., relocation, fuel (swelling) and clad creep, frictional contact, gaseous swelling (at high temperature)), leading to large errors in predicted rod diameter. These topics identified through the V&V process are taken into account in the BISON capability development plan.

## 5 DEPARTURE FROM NUCLEATE BOILING

DNB as a challenge problem for CASL has been articulated in “DNB Challenge Problem Charter” [22].

DNB is central to safety performance of Light Water Reactors (LWRs). Local clad surface dry-out causes dramatic reduction in heat transfer during transients (e.g., overpower and loss of coolant flow) leading to high cladding temperatures. It is noted that current tools for thermal-hydraulics and DNB analysis do not model detailed flow patterns and mixing downstream of mixing / spacer grids. They use simplified pin models and steady-state developed DNB correlations for analysis of DNB transients, resulting in loss of DNB margin. Power uprates require improved quantification and increased margins for DNB.

There is a single quantity of interest for DNB: Departure from Nucleate Boiling Ratio defined as the ratio of the predicted critical heat flux to the local heat flux. When this ratio drops below unity, DNB is expected.

CASL has developed an improved mixing method downstream of mixing grids using CFD tools for single- and two-phase flow, as well as detailed coupled pin-resolved radiation transport models for application to DNB transients. More broadly, according to the DNB Challenge Problem Charter, the CASL focus on DNB has multiple targets. CASL aims to develop capability to predict DNB utilizing more advanced methods to reduce margin and enhance understanding, and validate tools to available mixing and DNB data. In particular, the effort to develop the capability to evaluate impact of spacer grid design features effect on DNB [22].

As mentioned previously, the DNB CP seeks to improve predictive capability for the accident related transition between nucleate or subcooled boiling through the critical heat flux into a regime where heat transfer from the cladding into the coolant is significantly impacted due to the insulating effect of the high fraction of vapor near the clad surface. This CP principally involves CTF and Star CCM+, but also requires MPACT to generate the power and subsequently the heat in the fuel. For a shutdown reactor (SCRAM), only decay heat drives the boiling. A fuel model is needed to describe heat transfer from fuel pellets to the cladding and coolant.

### 5.1 PIRT

At high level, the prediction of DNB in a reactor core involves neutronics, fuel heat transfer and coolant thermal-hydraulics. The fundamental physics involved in DNB are heat transfer from the clad surface into the coolant and the increasing boiling rate up to and past the critical heat flux. The selection of modeling approach for DNB (sub-channel thermal-hydraulics vs computational fluid dynamics) tremendously affects the quantities of interest for the DNB problem. For sub-channel thermal-hydraulics codes, the boiling is represented using an equation of state that predicts the quality and flow regime of water (film, slug, etc.) as a function of temperature, pressure, and potentially other quantities. For CFD, the boiling is modeled explicitly as discrete bubbles of steam in the bulk liquid coolant. For this approach, a great number of physical quantities are required (that will be identified below).

### 5.1.1 Phenomenology considered

The phenomenology considered for the DNB CP are identified below in Table 26.

**Table 27. Thermal-hydraulic phenomenology considered for the DNB Challenge Problem**

<i>Phenomena</i>	<i>Description</i>
<i>Nucleation Site Density</i>	The spatial density of the specific bubble nucleation sites on the surface of the heated clad
<i>Bubble Sliding Lift Diameter</i>	The critical bubble diameter when the bubble will begin to slide along the surface of the clad due to buoyancy
<i>Bubble Departure Frequency</i>	The frequency of bubbles releasing from the surface of the clad as a function of time
<i>Average Dry Area</i>	The surface area of the clad that is not wetted by liquid water
<i>Nucleation Site Interaction</i>	Information on how two nearby nucleation sites may impact the bubble production of one another
<i>Wall Heat Transfer</i>	The heat transfer between the clad surface and the coolant
<i>Bubble Induced Turbulence</i>	As bubbles form on the surface of the heated clad the flow characteristics become less laminar and more turbulent
<i>Wall Effects</i>	The several wall effects including roughness and the associated drag and pressure drop
<i>Flow Regime / Local Topology</i>	The characteristics of the flow in the channel: laminar, turbulent, bubbly, slug, etc.
<i>Drag Force</i>	The force acting on a discrete bubble from drag associated with moving through liquid water
<i>Lift Force</i>	The buoyant force acting on a discrete bubble
<i>Turbulence Dispersion Force</i>	The force associated with bubbles interacting with one another and with eddies in the liquid coolant
<i>Wall Lubrication Force</i>	For bubbly flow, gas bubbles tend to move near but slightly away from the clad surface and the wall lubrication force maintains this small separation
<i>Virtual Mass Force</i>	The component of drag associated with accelerating bubbles
<i>Bubble Transport</i>	The propagation of bubbles through the liquid in the channel
<i>Bubble Breakup and Coalescence</i>	Bubbles can interact with one another and coalesce or can break up into smaller bubbles that do not have sufficient lift force to be transported
<i>Turbulent Mixing</i>	The mixing associated with turbulence, usually near a spacer grid
<i>Crossflow</i>	The directed flow associated with mixing vanes commonly found on spacer grids
<i>Nucleate Boiling</i>	Boiling confined to the surface of the clad below the critical heat flux
<i>Two-Phase Flow</i>	A continuum description of phase change associated with boiling; a volume average of liquid and vapor
<i>Pressure Drop</i>	The change in pressure along the length of flow associated with frictional resistance
<i>Natural Circulation</i>	Convection associated with fluid moving from a region of higher density (cooler) to a region of lower density (warmer)

### Clad Surface Heat Transfer

The heat transfer between the clad surface and the coolant.

## 5.1.2 DNB PIRT Results

The table below summarizes a Mini-PIRT for VERA M&S of the reactor core during DNB-limiting accidents. This mini-PIRT considered three aspects for each phenomena: importance, code adequacy, and data availability. The PIRT update, recently conducted includes the more typical aspects of importance and knowledge.

**Table 28. Summary table for DNB Mini-PIRT conducted in 2014**

Mini-PIRT for VERA-CS Modeling and Simulation of DNB Predictions (Based on Notes of June 27, 2014 Meeting)												
Summary		Sum of Input										
Subcategory	Phenomenon	Importance				Code Adequacy				Data Availability		
		H	M	L	U	H	M	L	U	H	M	L
Subchannel	Turbulent Mixing	X				X				X		
	Crossflow	X				X					X	
	Nucleate Boiling	X					X			X		
	Two-phase flow	X				X				X		
	Pressure drop		X			X					X	
	Natural circulation		X			X				X		
Fuel Rod	Cladding surface heat transfer	X				X					X	
	Fuel pellet heat transfer	X					X				X	
	Pellet-to-cladding heat transfer	X					X					X
	Cladding heat transfer	X				X					X	
	Fuel rod growth or densification		X				X					X
	Fuel rod bowing		X				X				X	
Neutronics	Power distribution	X				X					X	
	Core power	X				X				X		
	Moderator feedback	X				X					X	
	Doppler feedback	X					X				X	
	Boron transport and feedback		X				X				X	
	Gamma heating		X					X				X
	Depletion	X				X				X		
	Decay heat	X						X			X	
Explanation of Categories	Phenomena identified by PIRT team. Additional phenomena may be added if necessary.	Importance: In this column, rank the importance of the phenomenon to the prediction of DNB in Reactor Core.  H = High M = Medium L = Low U = Not Important or Unranked				Code Adequacy: In this column, rank the adequacy of the generation I model implemented in VERA-CS to address each phenomenon.  H = High M = Medium L = Low U = No capability or Unranked				Data Availability: In this column, rank the availability of experimental or operational data to support validation and/or calibration of models associated with each phenomenon.  H = High M = Medium L = Low		

The recently completed PIRT update was conducted in two parts. First CASL researchers with perceived expertise for the DNB problem were requested to complete a survey that reviewed the previously considered phenomenology but did not provide any previous result. Additionally, an opportunity was provided to identify any missing phenomenology. Once the survey results were received an approximately two-hour teleconference was conducted to review the results of the survey and to discuss any items with particularly large variability in responses. Table 28 lists the CASL researchers that completed the DNB survey.

**Table 29. DNB PIRT Survey Participants**

Date Completed	CASL Researcher	Institution
3/16/2017	Nam Dinh	NCSU

3/18/2017

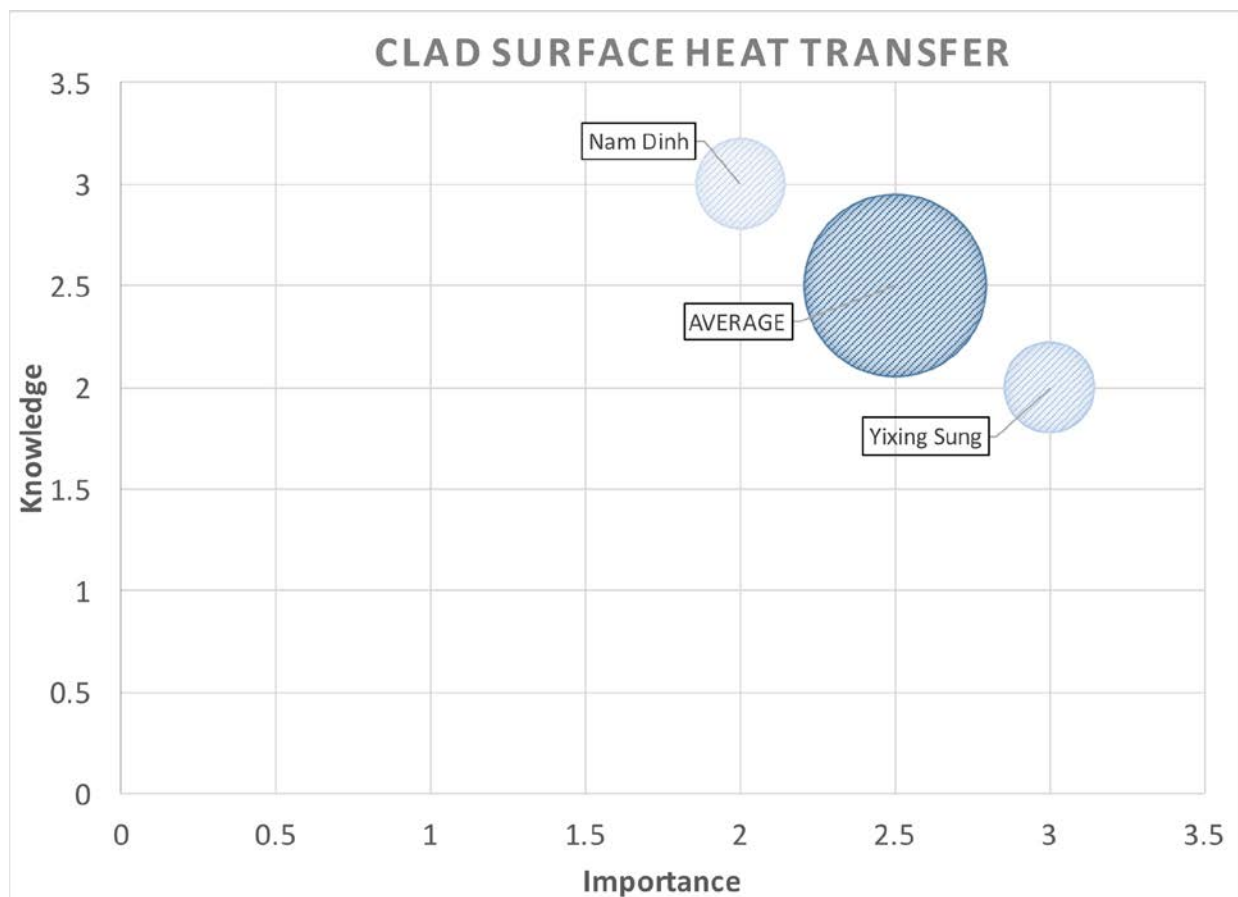
Yixing Sung

WEC

The DNB PIRT update phone call was conducted on March 24, 2017 and included the following CASL researchers:

- Christopher Jones
- Nam Dinh
- Yixing Sung
- Emilio Baglietto
- Jim Wolf
- Jess Gehin

Figure 7 shows a graphical representation of the PIRT update survey results. The survey responses for each participant are plotted in Cartesian space with importance and knowledge values quantified numerically from zero to three with a higher number corresponding to a higher ranking for either importance or knowledge thus creating an ordered pair. For example, the ordered pair for a phenomenon with high importance and high knowledge would be (3.0, 3.0). The average value for importance and knowledge from all survey responses is also presented.



**Figure 7. Graphical presentation of DNB PIRT update results for the phenomenon 'Clad Surface Heat Transfer'**

Table 29 below documents the DNB PIRT Survey results for importance and knowledge. Since the 2014 mini-PIRT includes different phenomenology, and, as noted above, a different scheme for capturing the knowledge level for each phenomenon, a direct comparison is obscured.

**Table 30. DNB PIRT Results (Averaged Responses for all participants)**

<i>Phenomena</i>	<b>Importance</b>	<b>Knowledge</b>
	<b>PIRT Update (2017)</b>	
<i>Nucleation Site Density</i>	3.0	1.0
<i>Bubble Sliding Lift Diameter</i>	2.0	0.5
<i>Bubble Departure Frequency</i>	3.0	1.5
<i>Average Dry Area</i>	3.0	1.0
<i>Nucleation Site Interaction</i>	3.0	1.0
<i>Wall Heat Transfer</i>	3.0	2.0
<i>Bubble Induced Turbulence</i>	2.0	1.5
<i>Wall Effects</i>	3.0	1.0
<i>Flow Regime / Local Topology</i>	3.0	1.0
<i>Drag Force</i>	2.0	1.5
<i>Lift Force</i>	2.0	1.5
<i>Turbulence Dispersion Force</i>	1.5	1.0
<i>Wall Lubrication Force</i>	1.5	1.0
<i>Virtual Mass Force</i>	1.5	1.5
<i>Bubble Transport</i>	2.0	1.5
<i>Bubble Breakup and Coalescence</i>	2.5	1.5
<i>Turbulent Mixing</i>	3.0	2.0
<i>Crossflow</i>	3.0	2.0
<i>Nucleate Boiling</i>	3.0	2.5
<i>Two-Phase Flow</i>	3.0	2.0
<i>Pressure Drop</i>	2.5	2.0
<i>Natural Circulation</i>	1.5	2.5
<i>Clad Surface Heat Transfer</i>	2.5	2.5

Table 30 below summarizes PIRT-identified phenomena and material properties of importance for DNB prediction by eliminating unimportant items (e.g., those with PIRT importance scores < 2.0). The column “VERA Capability” shows a simplified evaluation of VERA code capability to address the respective phenomena based on the authors understanding of the PIRT discussions and the authors’ perception of the VERA capability. This assessment is necessarily subjective and is representative of the authors’ views and perception but should be discussed with other CASL researchers. The “gap” column describes the gap between the phenomenological importance for DNB and the perceived VERA capability. This gap is “quantified” as the scalar difference between the importance and the capability with results greater than zero indicating a gap and larger numbers indicating a larger gap. Finally, the Gap “Description” column provides specificity on the nature



of the perceived shortcoming. Note that this evaluation is tentative and open to review and update by subject matter experts, particularly VERA application engineers and challenge problem integrators.

**Table 31. Physical quantities and importance ranking thereof, required for DNB**

Physics	Phenomena	Importance for DNB CP	VERA Capability	Gap	Gap Description
Neutronics	Core power	3	3	-	
	Power distribution	3	3	-	
	Moderator feedback	3	3	-	
	Doppler feedback	3	3	-	
	Boron transport and feedback	2	2	-	
	Gamma heating	2	3	-	
	Depletion	3	3	-	
	Decay heat	3	3	-	
Fuel rod	Fuel pellet heat transfer	3	3	-	
	Pellet-to-cladding heat transfer	3	3	-	
	Cladding heat transfer	3	3	-	
	Cladding surface heat transfer	2.5	2	1	Surface effect is not represented
	Fuel rod growth or densification	2	1	1	OECD benchmark results show biases
	Fuel rod bowing	2	1	1	Lack of data for assessing bowing
Sub channel thermal hydraulics	Turbulent mixing single phase flow	3	2	1	Lack of data to quantify mixing coefficients in spacer grids and mixing vanes
	two-phase flow	2	1	1	
	Cross flow	3	2	1	Lack of SET data
	Nucleate boiling	3	2	1	Model not capturing surface effect
	Two-phase flow	3	1	2	Lack of data to support transient and transition flow patterns
	Critical Heat Flux	3	2	1	Lacking predictive capability for different surfaces and fuel bundle geometry
	Natural circulation	2	2	-	
	Pressure drop	2	2	-	
	Flow regime	3	2	1	Lack of data to support transient and transition flow patterns



**Table 30 (continued). Physical quantities and importance ranking thereof, required for DNB**

Physics	Phenomena	Importance for DNB CP	VERA Capability	Gap	Gap Description
CFD (CMFD)	Two-phase dynamics	3	3	-	
	Turbulent mixing	3	3	-	
	Bubble-turbulence interactions	2	2	-	
	Bubble dynamics	2	2	-	
	Bubble break-up and coalescence	2.5	2	0.5	Lack of data at high pressure
	Nucleation site density	3	2	1	Lack of understanding of the effect of surface nanomorphology on nucleation, and inter-site interactions
	Nucleation site interaction	3	2	1	Data only recently emerged. Lack mechanistic understanding
	Wall bubble growth	2	2	-	
	Condensation (subcooled boiling)	2	2	-	
	Wall Heat Transfer	3	2	1	Lack of data for quantifying separate components
	Surface effects	3	1	2	Lack of controlled tests under reactor prototypic conditions
	Microlayer dynamics	3	1	2	Lack of high-fidelity data
	Spacer grid, MV effect	3	2	2	Lack of high-fidelity data
	Bubble transport	2	2	-	
	Lift force	2	2	-	
	Bubble departure frequency	3	2	1	Lack of data in flow boiling particularly subcooled boiling and high pressure
	Drag force	2	2	-	
	Average dry area	3	1	2	Lack of high-fidelity data

## 5.2 V&V Requirements

The code requirements for DNB are defined as the union of the aggregated PIRT phenomena (above, Table 30) and the DNB Validation Plan [20] requirements. In other words, the requirements for DNB are the ability to model the physical phenomena in Table 30 and the additional requirements from [20]. A summary of these requirements is provided below. For DNB the additional requirements relate primarily to validation. It is worth noting that these requirements do not include many important practical requirements such as operating system, hardware configuration, memory constraints, communication interfaces, etc. Currently this is beyond the scope of this more physics-based assessment, but a more complete list of software requirements should include these practical aspects in addition to the more capability driven ones presented here.

Validation of the multiphysics VERA code system will be based on code V&V of MPACT, CTF, BISON and coupled code system using experimental and test data available and accepted by the industry. A good example of the code V&V is the CTF code, which is based on the test data previously used for validating other sub-channel codes such as VIPRE-01. V&V of a coupled multiphysics code system is challenging and may require application of advanced and new VVUQ techniques. Furthermore, there is no plant or data available for code validation, since the plants are currently well protected to avoid any DNB occurrence. Any application specific validation at the present will be based on benchmark and comparison with the existing coupled code system such as the Westinghouse RAVE code system. Such code-to-code benchmarks are incorporated in each VERA application. There are also code benchmark exercises for DNB applications such as the Organization for Economic Cooperation and Development (OECD) Steam Line Break (SLB) and Reactivity Initiated Accident (RIA) code benchmark problems. It is recommended that such benchmark exercise using VERA be considered for CASL test stand development.

Although no actual plant data exists, in-pile measurements and observations of DNB are available, so relevant datasets do exist. These include Integral-Effect Tests (IETs) from the Columbia University test loop, Freon test loops, NUPEC bundle tests, and the ODN (Westinghouse) loop, and SETs (rod surface roughness tests, MIT; and flow visualization tests, Texas A&M). It is noted that most test data on turbulent mixing and DNB from small scale rod bundles (e.g., 5x5 bundle) simulating actual PWR fuel designs are proprietary to fuel vendors. Important, but limited data on void measurements are available from OECD benchmark programs (BFBT and PSBT).

Specifically, the DNB V&V plan identified:

### (1). OECD PSBT Rod Bundle Tests

Test data from the PWR Sub-channel and Bundle Test (PSBT) were made available for thermal-hydraulic modeling and benchmark through the OECD. The mixing and DNB test data for CASL VERA modeling and simulation.

### (2). Westinghouse NMV Grid Tests

5x5 rod bundle mixing and Critical Heat Flux (CHF) tests were performed on an Inconel non-mixing vane (NMV) grid design at the Columbia University's Heat Transfer Research Facility in the 1980's.

(3). Westinghouse MV Grid Tests

5x5 rod bundle mixing and CHF tests were performed on a mixing vane (MV) grid design at the Columbia University's Heat Transfer Research Facility in the 1980's.

(4). RIA Tests for DNB Evaluation

RIA transient tests were performed at the NSRR in Japan. The TK test cases used fueled segments from commercial 17x17 fuel rods taken from the Takahama-3 reactor. A total of seven test segments were used, ranging in burnup levels from 37.8 GWd/MTU to 50 GWd/MTU.

The validation data that is used by industry has been made available to CTF. To that end, the validation of the VERA (non-CFD) version of DNB is on par with the industry standard.

Special effect test data (e.g., rod surface roughness effect) exists, but they are obtained under conditions (e.g., system pressure, surface characteristics) far from the prototypic PWR reactor environment. High quality data are not available for transient DNB because the existing testing facilities are designed for steady state tests.

### 5.3 Mapping to code capability

Capability of VERA code to provide adequate treatment of key phenomena identified in DNB PIRT is summarized in the table below. The level H-M-L is provided to reflect a tentative evaluation of the capability. Specifically, H refers to capability that has high maturity for accurate predicting the phenomenon while L corresponds to maturity for prediction.

**Table 32. Mapping DNB challenge problem requirements to VERA capabilities**

Physics	Phenomena	MPAC T	BISON	CTF	Star CCM+
<i>Neutronics</i>	Core power	H			
	Power distribution	H			
	Moderator feedback	H			
	Doppler feedback	H			
	Boron transport and feedback	M			
	Gamma heating	H			
	Depletion	H			
	Decay heat	H			
<i>Fuel rod</i>	Fuel pellet heat transfer		H		
	Pellet-to-cladding heat transfer		H		
	Cladding heat transfer		H		
	Cladding surface heat transfer		M		
	Fuel rod growth or densification		L		
	Fuel rod bowing		L		
<i>Sub channel thermal hydraulics</i>	Turbulent mixing ○ single phase flow ○ two-phase flow			M L	
	Cross flow			M	
	Nucleate boiling			M	
	Two-phase flow			L	
	Critical Heat Flux			M	
	Natural circulation			M	
	Pressure drop			M	
	Flow regime			M	
<i>CFD (CMFD)</i>	Two-phase dynamics				H
	Turbulent mixing				H
	Bubble-turbulence interactions				M
	Bubble dynamics				M
	Bubble break-up and coalescence				M
	Nucleation site density				M
	Nucleation site interaction				M
	Wall bubble growth				M
	Condensation (subcooled boiling)				M
	Wall Heat Transfer				M
	Surface effects				L
	Microlayer dynamics				L
	Spacer grid, MV effect				M
	Bubble transport				M
	Lift force				M
	Bubble departure frequency				M
	Drag force				M
	Average dry area				L

## 5.4 V&V activities and evidence collection and evaluation

V&V activities and evidence are distilled from various CASL documents (manuals, presentations) on VERA V&V and summarized in the Appendix section. Evidence is provided according to the index system as in the Appendix. Evidence is then classified by their relevance to PCMM attributes and level of significance. Since the original V&V activity was not portrayed in a system that would lend itself in PCMM attributes, the classification necessarily involves subjective approach. The process is, however, traceable and open for review, dispute, and update.

**Table 33. V&V evidence for DNB challenge problem**

PCMM attribute	Significance			Gap/ Overall Evaluation
	L	M	H	
<i>RGF: Representation and Geometric Fidelity</i>	MP132 MP231 MP232	MP331 MP332 MP333 MP334 CT222	MP335 MP336 MP338 MP339 VE131 VE132 VE133	<u>Good [2.5]</u>
<i>PMMF: Physics and Material Model Fidelity</i>	MP233 MP234	MP331 MP332 MP333 MP334	MP335 MP336 MP338 MP339	<u>Good [2.5]</u>
<i>SQA: Software Quality Assurance (including documentation)</i>	MP113 CT111	MP112 MP114 CT121 CT122 CT131 CT132 CT135 ST111 ST112	MP111 MP121 MP122 MP131 MP132 ST122	CT112 <u>Good [2]</u>
<i>CVER: Code Verification</i>	MP122 MP234 CT123	MP131 MP132 CT133 ST113	ST123	MP222 CT113 CT123 VE134 <u>Need improvement [1]</u>
<i>SVER: Solution Verification</i>	MP211 MP214 CT114 CT124	MP212 MP213 MP233 MP234 CT134	MP221 MP231 MP232 MP324	MP222 CT124 VE134 <u>Need improvement [1]</u>
<i>SVAL: Separate Effects Validation</i>	MP311	MP231 MP313 CT221	MP321 MP324 MP331 MP336 MP337 MP338 MP339	MP314 CT211 <u>Marginal [1.5]</u>
<i>IVAL: Integral Effects Validation</i>	MP311	MP312 MP313 CT212	MP322 MP323 MP332 MP333 MP334 MP335 CT222	MP314 ST114 ST131 ST132 Good [2]
UQSA: Uncertainty Quantification & Sensitivity Analysis			ST133 VE135 VE136	ST124 None [0]

## 5.5 PCMM Assessment

PCMM assessment for DNB challenge problem is given in the table below. It is noted that

- The assessment for MPACT remains consistent over CIPS, PCI and DNB. A detailed discussion of MPACT is not repeated here.
- CTF is a “legacy” code, based on two-fluid model and hence inherited both software development practice of the 1980s, and limitations of the ill-posed two-phase flow models. Significant efforts were made by the CTF users community and by CASL PHI focus area researcher to improve software quality of CTF, and its theory and V&V manuals. Nonetheless, code verification and solution verification remain limited.
- STAR-CCM+ has an extensive verification and validation base. However, with respect to DNB-related physics (e.g., bubble nucleation, subcooled boiling, bubble-induced turbulence) in particular and two-phase boiling flow in general, the verification and validation are limited. This is reflected in scores for SVER, SVAL, and IVAl

**Table 34. PCMM scoring for DNB challenge problem**

<b>PCMM attribute</b>	<b>MPACT</b>	<b>CTF</b>	<b>STAR-CCM+</b>
<i>Representation and Geometric Fidelity</i>	3	2	3
<i>Physics and Material Model Fidelity</i>	3	2	2
<i>Software Quality Assurance</i>	2	2	3
<i>Code Verification</i>	1	1	2
<i>Solution Verification</i>	1	1	1
<i>Separate Effects Validation</i>	2	1	1
<i>Integral Effects Validation</i>	2	2	1
<i>Uncertainty Quantification</i>	0	0	0
<i>V&amp;V Manual</i>	Good	Good	Good

## 5.6 Discussion and Gap Identification

Certain phenomenology gaps are identified in Table 30 and for reader convenience are repeated below in Table 34. Qualitatively, the phenomenological gaps for the DNB problem lie in the fuel rod and thermal-hydraulics modeling areas.

**Table 35. Phenomenological Gaps for DNB in VERA**

Physics	Phenomena	Importance for DNB CP	VERA Capability	Gap	Gap Description
Fuel Modelling	Cladding surface heat transfer	2.5	2	1	Surface effect is not represented
	Fuel rod growth or densification	2	1	1	OECD benchmark results show biases
	Fuel rod bowing	2	1	1	Lack of data for assessing bowing
Sub channel thermal hydraulics	Turbulent mixing single phase flow	3	2	1	Lack of data to quantify mixing coefficients in spacer grids and mixing vanes
	two-phase flow	2	1	1	
	Cross flow	3	2	1	Lack of SET data
	Nucleate boiling	3	2	1	Model not capturing surface effect
	Two-phase flow	3	1	2	Lack of data to support transient and transition flow patterns
	Critical Heat Flux	3	2	1	Lacking predictive capability for different surfaces and fuel bundle geometry
	Flow regime	3	2	1	Lack of data to support transient and transition flow patterns
CFD (CMFD)	Bubble break-up and coalescence	2.5	2	0.5	Lack of data at high pressure
	Nucleation site density	3	2	1	Lack of understanding of the effect of surface nanomorphology on nucleation, and inter-site interactions
	Nucleation site interaction	3	2	1	Data only recently emerged. Lack mechanistic understanding
	Wall Heat Transfer	3	2	1	Lack of data for quantifying separate components
	Surface effects	3	1	2	Lack of controlled tests under reactor prototypic conditions
	Microlayer dynamics	3	1	2	Lack of high-fidelity data
	Spacer grid, MV effect	3	2	2	Lack of high-fidelity data
	Bubble departure frequency	3	2	1	Lack of data in flow boiling particularly subcooled boiling and high pressure
	Average dry area	3	1	2	Lack of high-fidelity data



In the CTF-based approach to DNB, the prediction of critical heat fluxes largely depends on steady state, empirical correlations, which are based on measured data in flow boiling experiments in tubes, channels, and rod bundles. It is worth noting that these correlations are not highly relevant for RIA-type DNB events that are associated with a rapid transient, though they are likely conservative. Characteristically, the CHF experiments (and flow boiling experiments in general) are performed on out-of-pile test sections, using deionized, distilled water and stainless steel or copper as heater materials. The experimental conditions thus deviate from reactor prototypic conditions (e.g., using reactor water chemistry, nuclear fuel cladding (e.g., Zircaloy), and irradiated environment), which are known to affect surface nanomorphology, and hence roughness, wettability, and nucleation energy barrier. In general, separate-effect tests (SET) validation is a weaker link in the DNB challenge problem. To date, the validation is more advanced in the single-phase flow regime (including simple and complex flow channel geometries), while limited in the two-phase (boiling) flow regime. The existing datasets have been used for fuel design improvement and DNB prevention, as well as for assessment of sub-channel codes. However, the data quality is not adequate for validating DNB simulations under the plant design conditions, and for calibration and validation of advanced mechanistic DNB and/or two-phase flow CFD models. Areas where additional data are most needed include the effect of rod surface characteristics (e.g., roughness) on DNB, turbulent mixing and void measurements in subcooled flow boiling in rod bundles.

In the CFD-based (STAR-CCM+) approach to DNB, the model involves treatment of a large number of mesoscale physical processes that allow for taking into account the potential effects of surface characteristics. However, the treatment has so far been ad hoc, due to lack of data on mesoscale processes.

Further effort is needed to validate the capability to evaluate impact of spacer grid design features effect on DNB.

DNB calculations use boundary conditions from system codes and computational fluid dynamics (CFD) codes. Detailed descriptions of these boundary conditions and key assumptions need to be documented.

Sensitivity studies on the axial nodalization need to be done for all DNB QoIs. Where applicable, time step sensitivities should be performed as well. Finally, the sensitivity to iteration convergence criteria needs to be studied.

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## 6 DISCUSSION AND OVERAL GAP IDENTIFICATION

The preceding discussion and evaluation of capability and credibility for VERA will be summarized here. Furthermore, the identified gaps will be organized, and for the credibility gaps, prioritized.

### 6.1 Capability Gaps for VERA

The capability gaps for each CP identified in Table 14, Table 25, and Table 34 are reproduced here but are organized by code, including gaps from each CP. Table 35 through Table 38 document the capability gaps for each of the CASL codes. The authors are unable to quantify the impact or cost associated with implementing this capability however the code teams are encouraged to review and discuss these findings and prioritize development according to these gaps.

**Table 36. Identified CTF Capability Gaps**

Code	Phenomena	Gap Description	Challenge Problem
CTF	Subcooled Boiling In CRUD	Lack of SET data under reactor prototypic CRUD	CIPS
	Wall Roughness	Lack of SET data under reactor prototypic CRUD	
	Mass Balance of Nickel and Iron	Uncertainty in using this input from other analysis	
	CRUD Erosion	Lack of SET data under reactor prototypic conditions to assess the effect	
	Initial CRUD Thickness (Mass)	Uncertainty in using this input from other analysis	
	Initial Coolant Nickel and Boron Concentration	Uncertainty in using this input from other analysis	
	CRUD Source Term from Steam Generators and other Surfaces	Lack of this capability in subchannel code	
	CRUD Induced Change in Boiling Efficiency:	Lack of SET data under reactor prototypic conditions to assess the effect	
	Heat Flux Distribution (new phenomenon)	Lack of SET data to assess the effect of geometry (spacer grids, mixing vanes)	
	Changes in Effective CRUD Conductivity due to Internal Fluid Flow and Boiling	Limited to conditions of WALT experiments	
	Turbulent mixing single phase flow two-phase flow	Lack of data to quantify mixing coefficients in spacer grids and mixing vanes	DNB
	Cross flow	Lack of SET data	
	Nucleate boiling	Model not capturing surface effect	
	Two-phase flow	Lack of data to support transient and transition flow patterns	
	Critical Heat Flux	Lacking predictive capability for different surfaces and fuel bundle geometry	
	Flow regime	Lack of data to support transient and transition flow patterns	

**Table 37. Identified Capability Gaps for BISON**

Code	Phenomena	Gap Description	Challenge Problem
BISON	Cladding Creep	Lack of SET data to assess the effect	PCI
	Pellet Cracking	Lack of SET data to assess the effect	
	Pellet Swelling	Lack of SET data to assess the effect	
	Pellet Densification	Lack of SET data to assess the effect	
	Gap Model	Lack of SET data to assess the model's components	
	Thermal Creep In the Pellet and Clad	Lack of SET data to assess the effect	
	Friction Between Pellet and Clad	Lack of SET data to assess the effect	
	Microstructure Impacts on Stress Driven Cracking	Lack of SET data to assess the effect	
	Material Properties for Time Varying Heterogeneous Fuel Pellet	High uncertainty in data	
	Cladding surface heat transfer	Surface effect is not represented	DNB
	Fuel rod growth or densification	OECD benchmark results show biases	
	Fuel rod bowing	Lack of data for assessing bowing	

**Table 38. Identified Capability Gaps for MAMBA**

Code	Phenomena	Gap Description	Challenge Problem
MAMBA	Local changes (near the rod) in the equation of state	Need to include equation of state and properties for metastable state	CIPS
	Chemical reaction rates are based on lower temperature and pressures	Uncertainty in using data in extrapolation regime	
	CRUD Porosity	Lack of SET data under reactor prototypic conditions to assess the effect	
	CRUD Permeability	Lack of SET data under reactor prototypic conditions to assess the effect	
	CRUD Chimney Density	Lack of SET data to assess the effect	

**Table 39. Identified Capability Gaps for Star CCM+**

Code	Phenomena	Gap Description	Challenge Problem
Star CCM+	Bubble break-up and coalescence	Lack of data at high pressure	DNB
	Nucleation site density	Lack of understanding of the effect of surface nanomorphology on nucleation, and inter-site interactions	
	Nucleation site interaction	Data only recently emerged. Lack mechanistic understanding	
	Wall Heat Transfer	Lack of data for quantifying separate components	
	Surface effects	Lack of controlled tests under reactor prototypic conditions	
	Microlayer dynamics	Lack of high-fidelity data	
	Spacer grid, MV effect	Lack of high-fidelity data	
	Bubble departure frequency	Lack of data in flow boiling particularly subcooled boiling and high pressure	
	Average dry area	Lack of high-fidelity data	

## 6.2 Credibility Gaps for VERA

PCMM provides a framework for comprehensive, systematic and continuing assessment of V&V activities for CASL VERA with respect to challenge problem's mission and requirements. The addition of SQA/SQE and separation of validation into SET and IET help address specificity of VERA development history and multi-physics/ multi-scale nature of CASL challenge problems.

The PCMM score cards were obtained for three high-priority challenge problems. The numerical results, although relative, provide a basis for constructive discussions (on V&V plan, priority, and resource allocation) between VERA stakeholders, including challenge problem integrators (applications), code development teams, code assessment team, and the CASL leadership.

**Table 40. PCMM scoring summary for CIPS, PCI, and DNB CPs**

<b>PCMM attribute</b>	<b>MPACT</b>	<b>CTF</b>	<b>BISON</b>	<b>STAR-CCM+</b>	<b>MAMBA</b>	<b>TIAMAT</b>
<i>Representation and Geometric Fidelity</i>	3	2	2	3	1	N/A
<i>Physics and Material Model Fidelity</i>	3	2	2	2	1	N/A
<i>Software Quality Assurance</i>	2	2	2	3	0	1
<i>Code Verification</i>	1	1	1	2	0	1
<i>Solution Verification</i>	1	1	1	1	1	N/A
<i>Separate Effects Validation</i>	2	1	1	1	0	N/A
<i>Integral Effects Validation</i>	2	2	2	1	1	N/A
<i>Uncertainty Quantification</i>	0	0	0	0	0	N/A
<i>V&amp;V Manual</i>	Good	Good	Good	Good	None	None

Several observations can be made from Table 39:

- Uncertainty quantification represents the largest credibility gap and transcends all codes and CPs
- Verification (code and solution) are underdeveloped for CASL codes and CPs
- MAMBA is significantly less mature than the other CASL codes
- TIAMAT lacks documentation and evidence for assessment

The V&V assessment exercise identified the need for a CASL-wide systematic documentation, dissemination and discussion of V&V activities performed in various CASL branches. This knowledge management includes CASL researchers and analysts archiving their V&V-related data from both experiments and simulations for future use and comparison, documenting expert opinions, e.g., on quality of measured data, sources and magnitude of uncertainty, implication of V&V findings for their applications of interest as well as other potential applications.

The VERA V&V plan (updated February 2017 and described in Section 2) describes a comprehensive strategy to address gaps, both in single-physics codes and in coupled code capability. Key activities related to the challenge problems under consideration are summarized in Table 40, prospective V&V evidence". Ranging from verification to plant benchmarks (IET), these activities will improve maturity in corresponding PCMM categories. These proposed activities relate primarily to credibility.

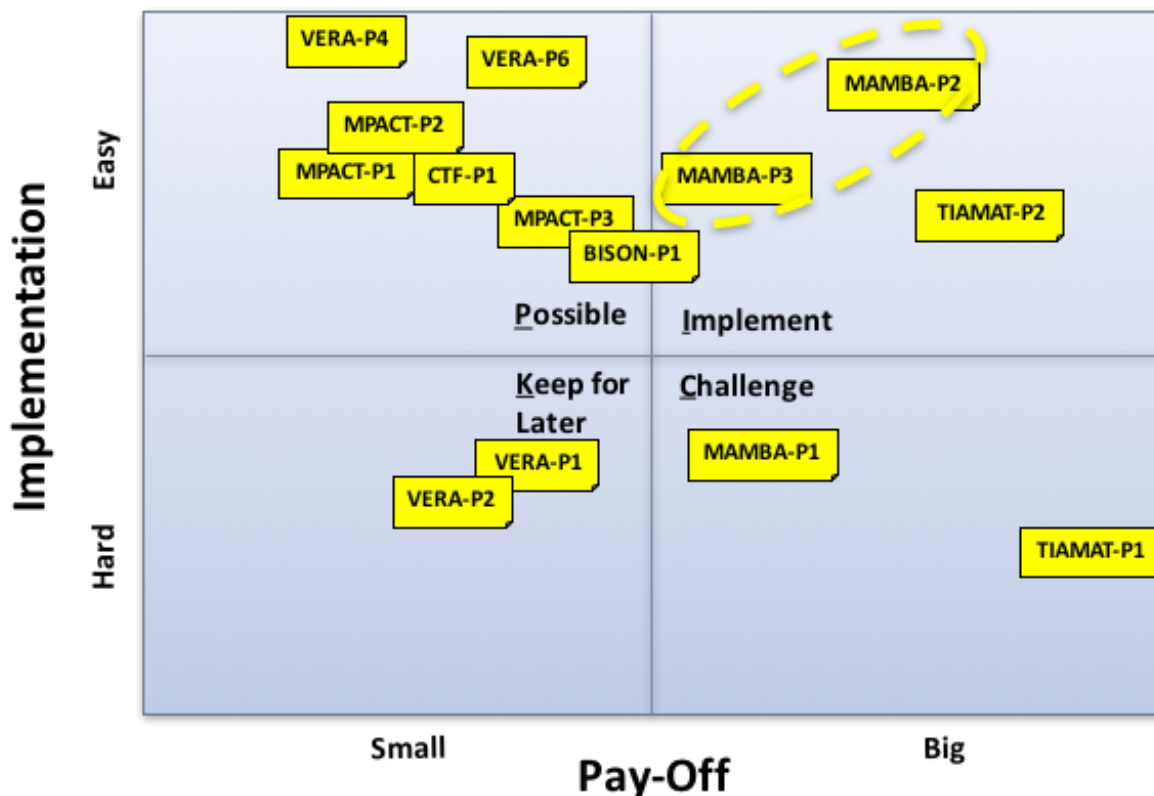
**Table 41. Currently Planned V&V Activities from [42]**

Index	VERA V&V planned activities for FY17 and FY18	CP Relevance	PCMM category
MPACT-P1	Develop and implement a plan to improve the overall testing of the ORIGIN API:	CIPS: H DNB: M PCI: H	SQE CVER
MPACT-P2	Update the MPACT V&V manual Should be modified to include only single physics results (e.g., critical experiments, fresh core start up tests, etc.) and all MPACT-CTF core follow data should be moved to the VERA manual. All results in the MPACT manual should be updated with the new 51-group library using the new automation scripts.	CIPS: H DNB: H PCI: H	SQE CVER
MPACT-P3	Develop a formal CASL MULTIGROUP XSEC LIBRARY manual.	CIPS: H DNB: H PCI: H	SQE CVER
CTF-P1	The CTF V&V manual should be modified with a specific section summarizing the ongoing code verification activities:	CIPS: H DNB: H PCI: M	SQE CVER
BISON-P1	Develop a formal Fuel Temperature Tables V&V document. Include code verification with documentation of existing tests A modest expansion of unit testing and regression testing to include uncertainty analysis of various user input options.	CIPS: H DNB: H PCI: H	SQE CVER
MAMBA-P1	Enforcing source code verification with extensive unit and regression tests during the code development.	CIPS: H DNB: 0 PCI: 0	SQE CVER
MAMBA-P2	Perform all the validation cases with the refactored MAMBA3D	CIPS: H DNB: 0 PCI: 0	SVAL IVAL
MAMBA-P3	Prepare a formal MAMBA3D Verification and Validation document.	CIPS: H DNB: 0 PCI: 0	SQE
TIAMAT-P1	The verification of TIAMAT for the fully coupled BISON/VERA capability	CIPS: H DNB: H PCI: H	CVER
TIAMAT-P2	Formally document all TIAMAT V&V.	CIPS: H DNB: H PCI: H	SQE
VERA-P1	Verify the coupling for a more general range of applications to include non-square cells, complex composition mixtures such as coolant+grid mixtures, and regions with major variation (e.g., above/below the region CTF models).	CIPS: H DNB: H PCI: L	RGF PMMF SVER
VERA-P2	Verification work should be performed to quantify errors introduced by mapping CTF-channel solution to pin-based density-temperatures	CIPS: H DNB: H PCI: H	RGF SVER
VERA-P3	Assess the impact that thermal expansion on the verification of the direct MPACT-CTF coupling.	CIPS: H DNB: H PCI: H	PMMF SVER

**Table 40 (continued). Currently Planned V&V Activities from [42]**

Index	VERA V&V planned activities for FY17 and FY18	CP Relevance	PCMM category
VERA-P5	After the fully coupled, full core capability has been demonstrated with BISON 1.5D and VERA using TIAMAT, all the cases in the VERA validation based should be performed, beginning with the “legacy” cases of Watts Bar and BEAVRS.	CIPS: H DNB: H PCI: H	IVAL
VERA-P6	After the testing is completed on the refactored MAMBA3D and is integrated into VERA, the Watts Bar Unit I core follow cases should be performed and added to the VERA V&V manual.	CIPS: H DNB: H PCI: H	IVAL SQE

Each of the items identified in [42] and reproduced in Table 40 has an associated impact to the overall credibility of VERA and an associated cost of execution. Assessing the relative value and impact of each proposed activity allows value driven decision making and prioritization of investment. A convenient way to illustrate this process is a ‘PICK’ chart where cost of implementation is plotted as a function of impact. The activities can then be binned into four groups: low cost, low impact, low cost, high impact, high cost, high impact, and high cost low impact. These four categories are also known as: “Possible”, “Definitely Implement”, “Challenging”, and “Keep for Later.” Figure 8 shows a preliminary PICK Chart for the activities proposed in [42] and should be considered starting point for discussion.


**Figure 8. PICK Chart for activities proposed in [42]**



## 7 CONCLUSIONS

A first of a kind V&V assessment for the CASL developed software, VERA, has been conducted and the primary findings can be summarized in a few points:

- Capability gaps in the required phenomenology, defined by expert elicitation via the PIRT process, exist for all CPs considered (CIPS, PCI, DNB).
- Maturity as assessed utilizing a modified PCMM framework shows non-uniform maturity across the various maturity attributes. In particular code verification, solution verification, and uncertainty quantification are scored lower for all codes and all challenge problems.
- The assessment approach for both capability and credibility is necessarily evidence based, yet remains a large degree of subjectivity. Accordingly, the response to any identified gaps should begin with reaching consensus between all stakeholders for any gaps.

This document will be a living document that provides a description of the CASL V&V approach and plans for both the CASL codes and for the CASL challenge problems. In general, the main CASL codes CTF, BISON, and MPACT are making good progress in terms of validation work. They are aligned with the challenge problems that they support. MPACT is the most mature of the three, but BISON and CTF are close behind. MAMBA needs additional work to come up to the level of maturity of the other codes that it is coupled to for CIPS.

There are still issues with the code coupling, and the documentation thereof, that need to be solidified to help focus where validation work should be done. Because this capability is still under development, it cannot be expected to be as mature as the other older code capabilities. However, the coupling is fundamental to all CPs and, therefore, needs to be documented and reviewed.

For the codes contributing to the CASL challenge problems that will include uncertainty quantification—namely CIPS, DNB, and PCI—a higher emphasis is needed on solution verification. Additionally, a higher emphasis on parameter distributions for use in the UQ assessment. Sensitivity analyses should also be pursued with high priority and the results of these studies should be evaluated carefully in the context of the PIRT exercises presented in this document.

The assessment methodology is fundamentally empirically based and clear documentation is critical for this approach. Future assessments will be conducted on a semi-annual basis by reviewing the evidence produced in the previous six months. Ideally these assessments would occur in the middle of each period of record. The results of each assessment will then permit prioritization of effort to eliminate gaps and to improve credibility via PCMM scores. It is recommended that the prioritization of effort should be based on the perceived value (e.g., considering difficulty and payoff), but this approach is difficult for capability gaps since, by definition, all capability is required to address the challenge problems.

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## APPENDIX A:

### Collection and classification of V&V activity evidence and outcomes

V&V evidence is collected through review of V&V manuals, code development and application reports and presentations (to have up-to-date assessment). The evidence is organized in the order of codes in VERA-CS:

- MPACT (Tables 1-3)
- CTF (Tables 4-5)
- BISON (Tables 6-7)
- STAR-CCM+ (Table 8)
- MAMBA (Table 9)
- VERA-CS (Table 10)

The evidence for verification and validation of codes are categorized in to three levels:

- (1). High level evidence (HLE): Global statement or activity related to V & V of code
- (2). Medium level evidence (MLE): Specific task to support the high-level evidence
- (3). Low level evidence (LLE): Reference to performance or test details

**Table A1. Evidence related to MPACT software quality assurance (SQA)**

References: [Downer 2016; Downer 2017]

Index	Category	Description	Relevance/ Comments
MP.1.1.1	HLE	Comprehensive MPACT V&V Manual (Plan) [Downar et al., 2016]	
MP.1.1.2	HLE	Comprehensive unit tests and regression tests supports SQA of MPACT	
MP.1.1.3	HLE	Some peer review conducted	Need tracking of issues and resolution
MP.1.1.4	HLE	Rigorous version control	
MP.1.2.1	MLE	Unit test for individual functions and subroutines	
MP.1.2.2	MLE	Regression tests that involves functional tests encompassing different sections of the code with various inputs	
MP.1.3.1	LLE	Unit tests for solver kernels test against analytical solutions	Including CVER
MP.1.3.2	LLE	Key capabilities tested: Geometry Transports solvers: P0 and Pn 2D MOC, P0 and Pn 2D-1D with SP3 and NEM	Including CVER

		Other solvers: depletion search (boron, rod), multistate, Eq Xe/Sm, XS Shielding, CMFD, Cusping treatment Parallel solver: MPI, OpenMPI	
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**Table A2. Evidence related to MPACT solution verification (SVER)**

References: [Downer 2017]

Index	Category	Description	Relevance/Comments
MP.2.1.1	HLE	Supported by test involving Mesh Convergence analysis and method of manufactured solution	
MP.2.1.2	HLE	Numerical effects are quantitatively estimated to be small on some SRQ (system response quantities)	
MP.2.1.3	HLE	I/O independently verified	
MP.2.1.4	HLE	Some peer review conducted	Need tracking of issues and resolution
MP.2.2.1	MLE	Mesh convergence analysis-Work is based on evaluation of sensitivity of K-eff to different MOC parameter (Flat source region mesh, angular quadrature, ray spacing) for VERA Benchmark Problems	
MP.2.2.2	MLE	Method of Manufactured Solution will be used to quantify the rate of convergence of the solution to MOC parameters	Gap
MP.2.3.1	LLE	Test performed for regular pin cell (VERA-CS Benchmark Problem 1a) and assembly (VERA-CS Benchmark Problem 1a)	
MP.2.3.2	LLE	Test encompasses radial and azimuthal discretization, ray spacing, angular quadrature, coupling between discretization parameter	
MP.2.3.3	LLE	MPACT Library Generation Procedure	
MP.2.3.4	LLE	Testing (and improvement) of the ORIGEN API	



**Table A3. Evidence related to MPACT Validation**

References: [Downer 2015]; [VERA-CS V&amp;V, Downar, 2017]

Index	Category	Description	Relevance/ Comments
MP.3.1.1	HLE	Quantitative assessment of predictive accuracy for key SRQ from IETs and SETs	
MP.3.1.2	HLE	MPACT validation is supported by measured data from different criticality tests, operating nuclear power plants, measured isotopes from irradiated fuel, calculation from continuous energy MC simulation  Use of post-irradiation examination (PIE) tests for evaluation and validation of the isotopic depletion capability in MPACT.	
MP.3.1.3	HLE	Demonstrated capability to support challenge problems (CIPS, PCI and DNB)	Table A.3.1
MP.3.1.4	HLE	Additional validation is required	Gap
MP.3.2.1	MLE	Criticality tests encompass: critical condition, fuel rod fission rate distribution, control rod burnable poison worth, isothermal temperature coefficient	
MP.3.2.2	MLE	Operating nuclear power plants: critical soluble boron concentration, BOC physics parameter-control rod worth, temperature coefficient, fission rates	
MP.3.2.3	MLE	Measured isotopes from post irradiation experiment: gamma scans of <sup>137</sup> Cs, burnup based on <sup>148</sup> Nd, full radiochemical assay of the major actinides and fission products	
MP.3.2.4	MLE	Continuous energy Monte Carlo simulation: 3D core pin-by-pin fission rates at operating condition, intra-pin distribution of fission, capture rates, reactivity, pin power distribution, gamma transport, thick radial core support structure effects	
MP.3.3.1	LLE	Babcock & Wilcox Critical Experiments	The successful validation shows adequate quality in RGF and PMMF

**Table A3 (Continued). Evidence related to MPACT Validation**

References: [Downer 2015]; [VERA-CS V&amp;V, Downar, 2017]

Index	Category	Description	Relevance/ Comments
MP.3.3.2	LLE	Special Power Excursion Reactor Test (SPERT)	
MP.3.3.3	LLE	DIMPLE Critical Experiments	
MP.3.3.4	LLE	Watts Bar Nuclear plant. The MPACT validation for the WB2 start-up tests [Godfrey, 2017].	
MP.3.3.5	LLE	BEAVRS	
MP.3.3.6	LLE	Validation by Code to Code Comparisons (MCNP)	
MP.3.3.7	LLE	Reaction Rate Analysis	
MP.3.3.8	LLE	VERA progression problems 1-4	
MP.3.3.9	LLE	Extensive PWR pin and assembly benchmark problems	

**Table A.3.1. MPACT Validation for Challenge Problems**

Challenge Problem	Phenomena	Validation Problem					
		B&W Critical	DIMPLE Critical	SPERT	Watts Bar	KRSKO	BEAVRS
CIPS, PCI, DNB	Fast flux	x	x	x	x		
CIPS, PCI, DNB	Isotopics				x	x	x
CIPS, PCI, DNB	Gamma heating						
CIPS, PCI, DNB	Fission power	x	x	x	x	x	x
CIPS, PCI, DNB	Fission product yield						
CIPS, PCI, DNB	Cross section data	x	x	x	x	x	x
CIPS, PCI, DNB	Boron feedback to neutronics				x	x	x
CIPS, PCI, DNB	Burn up				x	x	x
CIPS, PCI, DNB LOCA	Decay heat model (retards cool-down)						
RIA	Kinetics data			x			

**Table A4. Evidence related to SQA and verification of CTF**

References: [Salko, 2016] [Porter, Mousseau, Salko, 2017]

Index	Category	Description	Relevance/ Comments
CT.1.1.1	HLE	SQA is based on unit test and regression tests	
CT.1.1.2	HLE	Documentation of SQA of base code is required.	Gap
CT.1.1.3	HLE	Code verification work is insufficient	Gap
CT.1.1.4	HLE	Solution Verification study performed by mesh refinement study	
CT.1.2.1	MLE	Unit tests: tests for different classes/procedures	
CT.1.2.2	MLE	Regression tests: unit tests, verification problems and validation problem used as regression test	
CT.1.2.3	MLE	Code Verification: Few models have been verified using analytical solution	Limited CVER
CT.1.2.4	MLE	Solution Verification by mesh refined study for progression problem 6	Limited SVER
CT.1.3.1	LLE	(Unit test) Covers input reading, fluid properties, units, etc.	
CT.1.3.2	LLE	(Regression test) Covers both steady state and transient simulation All V&V test inputs are part of CTF repository PHI continues testing system	SQA
CT.1.3.3	LLE	Tested phenomena: Single phase wall shear, Grid heat transfer enhancement, Isokinetic advection Shock tube Water faucet	Code verification
CT.1.3.4	LLE	Test performed with and without spacer grids QoI: Total pressure drop across the assembly	Solution verification
CT.1.3.5	LLE	Use validation tests as regression tests which are run on a continual basis to demonstrate code results are not changing	

**Table A5. Evidence related to validation of CTF**

References: [Salko, 2016]

Index	Category	Description	Relevance/Comments
CT.2.1.1	HLE	Lack of separate effect test validation	Gap
CT.2.1.2	HLE	Extensive integral effect validation done	
CT.2.2.1	MLE	Testing of component models (correlations)	Table A.5.1
CT.2.2.2	MLE	Integral-effect test validation	Table A.5.2

**Table A.5.1. Requirement and testing for normal PWR conditions**

References: [Salko, 2016]

Phenomenon	Model	Validation test status	Verification test status
Single-phase convection	Dittus-Boelter	Completed	--
Subcooled boiling heat transfer	Thom	Completed	--
Single-phase grid spacer pressure loss	Form loss	Completed	--
Single-phase wall shear	Darcy-Weisbach	Completed	Completed
Grid heat transfer enhancement	Yao-Hochreiter-Leech	--	--
Single-phase turbulent mixing	Mixing-length theory	Completed	Completed
Pressure-directed cross flow	Transverse momentum equation	--	--

**Table A.5.2. CTF validation**

References: [Salko, 2016]

Integral test validation experiments	
Effect	Experiments
Pressure Drop	BFBT, FRIGG, Risø
Void/Quality	PSBT, FRIGG
Single Phase Turbulent Mixing	GE, CE, RPI
Turbulent Mixing/Void Drift	GE, BFBT
DNB	Harwell, Takahama
Heat Transfer	CE
Natural Circulation	PNNL
Fuel Temperature	Halden

**Table A6. Evidence related to SQA and verification of BISON**

Reference: [BISON V & V plan, 2016; Hales, 2017]

Index	Category	Description	Relevance/ Comments
BI.1.1.1	HLE	Demonstrable SQA/SQE plan in place.	
BI.1.1.2	HLE	Unit test and regression test are used for SQA	
BI.1.1.3	HLE	Code verification is high level	
BI.1.1.4	HLE	Solution verification is of high level	
BI.1.2.1	MLE	Software quality is tightly controlled using issue tracking, merge requests and collaborative code review (via GitLab).	SQA
BI.1.2.2	MLE	Recently (Nov 2015) underwent detailed software quality assessment. Deemed NQA-1 compliant for R&D software.	SQA
BI.1.2.3	MLE	Lacks testing of designed order of accuracy	CVER Gap
BI.1.2.4	MLE	For LWR fuel rod problem: Temporal and spatial solution verification study performed for all FOM	SVER
BI.1.3.1	LLE	Employs patch tests to check the FEM implementation	CVER
BI.1.3.2	LLE	Benchmark tests with other fuel performance codes- FALCON, TRANSURANUS, ENIGMA-B (Assessment of BISON, INL/MIS-13-30314 Rev. 2, September 2015)	CVER
BI.1.3.3	LLE	Fuel temperature tables have performed well for core follow and provide confidence in the overall fuel temperature used in PWR core follow calculations.	
BI.1.3.4	LLE	Plan the expansion and documentation of unit testing and regression testing to include an uncertainty analysis of various user input options	Gap

**Table A7. Evidence related to validation of BISON**

References: [BISON V&V plan, 2016; Hales, 2017]

Index	Category	Description	Relevance/ Comments
BI.2.1.1	HLE	IET and SET Validation work performed for key physical phenomenon related to CASL quantity of interest	
BI.2.2.1	MLE	LWR validation (48 Cases):	
BI.2.2.2	MLE	Validation metrics: <ul style="list-style-type: none"> <li>○ Fuel centerline temperature through all phases of fuel life</li> <li>○ Fission gas release</li> <li>○ Clad diameter (PCMI)</li> </ul>	
BI.2.3.1	LLE	LWR fuel benchmark: Reasonable prediction of centerline temperature	
BI.2.3.2	LLE	LWR fuel benchmark: Rod diameter prediction with large errors	Gap
BI.2.3.3	LLE	LWR fuel benchmark: Large uncertainty in key models <ul style="list-style-type: none"> <li>○ Relocation (and recovery)</li> <li>○ Fuel (swelling) and clad creep</li> <li>○ Frictional contact</li> <li>○ Gaseous swelling (at high temperature</li> </ul>	Gap (need SVER)
BI.2.3.4	LLE	L3:FMC.CLAD.P13.04 – Cluster dynamics modeling of Hydride precipitation	UQ (data assessment)

**Table A8. Evidence related to SQA, V&V and UQ of STAR-CCM+**

References: [Pointer, 2017]

Index	Category	Description	Relevance/Comments
ST.1.1.1	HLE	Demonstrable SQA/SQE plan in place.	
ST.1.1.2	HLE	Standard quality assurance is followed. <ul style="list-style-type: none"> <li>ISO9001 quality assurance process</li> </ul>	
ST.1.1.3	HLE	Code verification is high level	
ST.1.1.4	HLE	Some validation work for boiling and DNB	Gap
ST.1.2.1	MLE	Unit test and regression test used for SQA.	
ST.1.2.2	MLE	Working to establish commercial grade dedication under US NRC <ul style="list-style-type: none"> <li>NQA-1 compliant baseline has been established</li> <li>Readiness review successfully completed mid-2016</li> <li>Non-Conforming Defect process established in late 2016</li> </ul>	
ST.1.2.3	MLE	<p>STAR-Test suite provides automated testing of new features and builds</p> <ul style="list-style-type: none"> <li>More than 30,000 test cases with baseline data stored in data warehouse for staged automated regression testing.</li> </ul> <p>Testing suite currently includes</p> <ul style="list-style-type: none"> <li>unit tests</li> <li>applications verification tests</li> </ul> <p>Subset distributed to customers as customer verification tests for local installation</p> <p>Manual tests</p> <p>Frequently defined as part of project plan for specific feature implementation</p> <p>Sometimes implemented for specific customer needs</p> <p>Includes some MMS order of convergence tests</p> <p>Results recorded in the ALM system</p> <p>Work to maintain coverage of all code classes</p> <p>User verification suite (77 cases in 13 categories)</p>	CVER



**Table A8 (continued). Evidence related to SQA, V&V and UQ of STAR-CCM+**

References: [Pointer, 2017]

Index	Category	Description	Relevance/ Comments
ST.1.2.4	MLE	Not sufficiently clear how to make meaningful comparisons of relative uncertainty contributions of: <ul style="list-style-type: none"> <li>○ Uncertainty related to grid convergence when GCI is not especially well-defined for necessary meshing and modeling practices</li> <li>○ Uncertainty related to primary variables</li> <li>○ Uncertainty related to constitutive and closure model descriptions of secondary variables</li> </ul>	UQ Gap
ST.1.3.1	LLE	DNB-related simulation in Westinghouse 5x5 with mixing vanes <ul style="list-style-type: none"> <li>○ Single-Phase</li> <li>○ Establish Grid Convergence and a reference 1-phase grid (FY17 L1)</li> <li>○ Evaluate propagation of inlet BC uncertainty (late FY17)</li> </ul>	Gap
ST.1.3.2	LLE	DNB-related simulation in Westinghouse 5x5 with mixing vanes <ul style="list-style-type: none"> <li>○ Two-Phase</li> <li>○ In planning</li> </ul>	Gap
ST.1.3.3	LLE	L3:THM.CLS.P13.01 - Hydrodynamic closure evaluation in multiphase flow using STAR-CCM+ and NEPTUNE	Sensitivity analysis

**Table A.9. Evidence related to SQA, V&V and UQ of MAMBA**

References: [Anderson, 2017] [Downar, 2017 VERA\_CS V&amp;V Plan]

Index	Category	Description	Relevance/ Comments
MA.1.1.1	HLE	The MAMBA3D refactoring the developers are implementing a unit and regression testing protocol that should result in robust source code verification when the code is completed at the end of PoR15.	Gap
MA.1.1.2	HLE	SQA needs improvement	Gap
MA.1.1.3	HLE	Low level code verification performed	Gap
MA.1.1.4	HLE	Solution verification not done for CASL challenge problems	Gap
MA.1.1.5	HLE	Some validation work performed (separate-effect, integral-effect tests and plant analysis)	Table A.9.1 Gap
MA.1.2.1	MLE	Solution Verification and Code Verification using analytical solution are planned	Gap
MA.1.2.2	MLE	Simulation of Westinghouse Walt Loop Experiment Cladding temperature vs rod power and crud thickness against the WALT data	Table A.9.2
MA.1.2.3	MLE	An initial CIPS study compared axial offset predicted by coupled MAMBA/CTF/MPACT with plant data for Watts Bar	Multiple codes
MA.1.2.4	MLE	Plant analysis: CIPS study by coupled MAMBA(1D)/CTF/MPACT simulations compared with plant data Oxide thickness and morphology compared with an operating plant	Multiple codes
MA.2.3.1	LLE	SQA: unit testing (water properties)	
MA.2.3.2	LLE	Comparison to BOA 3.0 for heat transfer/chimney boiling model, mass evaporation rate vs crud thickness, pin power and thermochemistry.	Quasi-CVER
MA.2.3.3	LLE	Comparison to MAMBA-BDM to verify cladding temperature and boiling velocity	Quasi-SVER

**Table A.9.1. MAMBA Validation**

Reference: CASL-I-2012-1121-000

<b>MAMBA 1D/3D models or parameters</b>	<b>Source</b>	<b>Validation</b>
Permeability of crud	Walt loop report	Not beyond Walt loop calibration
Crud porosity	Walt loop report	Not beyond Walt loop calibration
Solid phase thermodynamics	BOA/MULTEQ and/or thermocalc/calphad	BOA/MULTEQ or thermocalc/calphad
Solution phase thermochemistry	BOA/MULTEQ, thermocalc/calphad	Validated in BOA/calphad
Boric acid chemistry	Literature, Mesmer 1972, Byers 2000, Wofford 1998	Validated against Mesmer 1972, Byers 2000, Wofford 1998
Water chemistry	Literature Marshall & Frank 1981 and Ho & Palmer 1998	Validated against Marshall & Frank 1981 and Ho & Palmer 1998
Diffusion coefficients, chemical kinetic rate coefficients, deposition rates	Fitted to Walt loop data	Crud growth
Mass evaporation rate	BOA comparison	BOA comparison
Local radial flow velocity	Boundary condition	Not known
CRUD erosion	Fitted, CFD	Not known
Fuel heat flux	Boundary condition	Not known
Coolant temperature	Boundary condition	Not known
Cladding temperature	Calculated	MAMBA-BDM
Coolant species concentrations/source term	From BOA or the new source term model	BOA validation

**Table A.9.2. MAMBA validation using Westinghouse Walt Loop Experiment**

Reference: CASL-I-2012-1121-000

MAMBA 1D/3D models or parameters	Source	Validation
CRUD skeleton thermal diffusivity	Walt loop report	Not beyond Walt loop calibration
CRUD skeleton heat capacity	Walt loop report	Not beyond Walt loop calibration
Crud skeleton density	Walt loop report	Not beyond Walt loop calibration
Coolant (water) thermal conductivity	Literature	Literature
Coolant (water) density	Literature	Literature
Coolant (water) heat capacity	Literature	Literature
Coolant (water) thermophysics (Tsat)	Literature	Literature
Chimney wall surface area	Measured, Walt loop report	Not beyond Walt loop calibration
Chimney density	Measured, Walt loop report	Not beyond Walt loop calibration
Chimney wall heat transfer coefficient	Fitted to Walt loop data	Not beyond Walt loop calibration
Pore fill rate	Fitted to Walt loop data	Not beyond Walt loop calibration

**Table A.9.3. MAMBA capability for challenge problems**

Reference: V. Mousseau and N. Dinh, V&amp;V Plan, June 2016

Challenge problem	Phenomena	Validation cases				
		Watts Bar	Walt loop	Seabrook	BOA comparison	MAMBA-BDM
CIPS and CILC	Growth/erosion		X	X	X	
CIPS and CILC	Heat transfer		X		X	X
CIPS	Boron uptake	X			X	
CIPS and CILC	Soluble/particulate transport				X	
CIPS and CILC	Crud morphology		X		X	

**Table A.10. VERA-CS Verification and Validation**

Index	Category	Description	Relevance/ Comments
VE.1.1.1	HLE	The initial VERA-CS validation efforts with WB Unit 1 and BEAVRS provides sufficient basis to propose metrics that can be used to assess the adequacy of the PWR core follow calculations for addition to the VERA-CS validation base.	
VE.1.1.2	HLE	For every new VERA-CS reactor analyzed, the metrics shown in Table A4.1 was suggested as an initial proposal [Palmtag, 2016].	Table A.10.1 For CIPS
VE.1.2.1	MLE	Specific attention / analysis would be expected for any plants/cycles/measurements that fall outside of these metrics. (VE.1.1.2)	
VE.1.2.2	MLE	A red-flag condition would be automatically generated on the results outside this metric (VE.1.1.2) and require re-evaluation and review before that data is admitted to the validation base.	
VE.1.2.3	MLE	The TIAMAT code for MPACT-BISON code coupling requires significant V&V work	[Clarno, 2016] Gap
VE.1.3.1	LLE	[Godfrey, 2015] successfully demonstrated VERA-CS ability to model the operating history of the Watts Bar I Nuclear Plant Cycles 1-12. A rigorous benchmark was performed using criticality measurements, physics testing results, critical soluble boron concentrations, and measured in-core neutron flux distributions.	
VE.1.3.2	LLE	The Benchmark for Evaluation and Validation of Reactor Simulations (BEAVRS) provided measured data for BEAVRS includes Cycles 1 and 2 ZPPT results, power escalation and HFP measured flux maps, and HFP critical boron concentration measurements for both cycles. In general the VERA-CS prediction results for cycle 1 are in good agreement with the plant data.	
VE.1.3.3	LLE	Cycle 2 of BEAVRS has been completed and similar results were observed (to be documented)	
VE.1.3.4	LLE	Need verify the MPACT-CTF coupling for a more general range of applications to include; Non-square cells, complex composition mixtures such as coolant+grid mixtures, and regions with major variation (e.g., above/below the region CTF models). The impact of thermal expansion on the verification of the MPACT-CTF coupling.	Gap
VE.1.3.5	LLE	L2:VMA.P12.01 - Data Assimilation and Uncertainty Quantification Using VERA-CS for a Core Wide LWR Problem with Depletion	
VE.1.3.6	LLE	L2:VMA.VUQ.P11.04 - Uncertainty quantification analysis using VERA-CS for a PWR fuel assembly with depletion	
VE.1.3.7	LLE	L2:VMA.P13.03 - Initial UQ of CIPS	

**Table A10.1. Metric for evaluation of validation**

Reference: [Palmtag, 2016]

Start-up	State point
HZP boron: $\pm 20$ <i>ppm</i> Rodworth: $\pm 7$ % ITC: $\pm 1$ <i>pcm/F</i>	HFP boron: $\pm 35$ <i>ppm</i> AO: $\pm 3\%$ Pin Power Distribution and Peaking factors: $\pm 2$ %