

Life Cycle Energy Assessment Methodology, Tool and Case Studies for Additive Manufacturing

July 2014

Prepared by

**Sachin Nimbalkar
Daryl Cox
Oak Ridge National Laboratory**

**Kelly Visconti
Joseph Cresko
Advanced Manufacturing Office,
U.S. Department of Energy**



This report is being disseminated by the U. S. Department of Energy. As such, the document was prepared in compliance with Section 515 of the Treasury and General Government Appropriations Act for Fiscal Year 2001 (Public Law 106-554) and information quality guidelines issued by the U. S. Department of Energy. Though this report does not contain "influential" information as defined in DOE's information quality guidelines or the Office of Management and Budget's Information Quality Bulletin for Peer Review (Bulletin), the study was reviewed both internally and externally prior to publication. For purposes of external review, the study benefited from the advice and comments of the members of both the life cycle analysis community and additive manufacturing experts on the methodology and utility of the tool. That panel included representatives from national laboratories, private companies, and academic institutions.

Life Cycle Energy Assessment Methodology, Tool and Case Studies for Additive Manufacturing

Sachin Nimbalkar
Daryl Cox

**Energy and Transportation Science Division
Oak Ridge National Laboratory**

Kelly Visconti
Joseph Cresko

**Advanced Manufacturing Office
U. S. Department of Energy**

Prepared for
Advanced Manufacturing Office
Energy Efficiency and Renewable Energy
U.S. DEPARTMENT OF ENERGY
Washington, D.C. 20585

Prepared by
OAK RIDGE NATIONAL LABORATORY
Oak Ridge, Tennessee 37831
Managed by
UT-BATTELLE, LLC
for the
U.S. DEPARTMENT OF ENERGY
Under contract DE-AC05-00OR22725

DOCUMENT AVAILABILITY

Reports produced after January 1, 1996, are generally available free via the U.S. Department of Energy (DOE) Information Bridge.

Web site <http://www.osti.gov/bridge>

Reports produced before January 1, 1996, may be purchased by members of the public from the following source.

National Technical Information Service
5285 Port Royal Road
Springfield, VA 22161
Telephone 703-605-6000 (1-800-553-6847)
TDD 703-487-4639
Fax 703-605-6900
E-mail info@ntis.gov
Web site <http://www.ntis.gov/support/ordernowabout.htm>

Reports are available to DOE employees, DOE contractors, Energy Technology Data Exchange (ETDE) representatives, and International Nuclear Information System (INIS) representatives from the following source.

Office of Scientific and Technical Information
P.O. Box 62
Oak Ridge, TN 37831
Telephone 865-576-8401
Fax 865-576-5728
E-mail reports@osti.gov
Web site <http://www.osti.gov/contact.html>

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

PREFACE

The purpose of this guidebook is to define a methodology and associated tool to consistently calculate the lifecycle energy consumption and savings for research, demonstration and development (RD&D) projects in additive manufacturing. The intended users are researchers, funding agencies, and technical staff working in the additive manufacturing industry. This guidebook supports a tool that can help evaluate when additive manufacturing is a more advantageous manufacturing method than conventional manufacturing, or to compare to different additive manufacturing methods. When used in conjunction with scenario analysis, the methodology can help estimate impacts of R&D for improvements in additive manufacturing technologies.

Chapter 1 (Introduction) covers the life cycle assessment concept, background on Additive Manufacturing (AM), sustainable benefits of AM processes, the need for a consistent methodology to calculate life cycle energy consumption and savings for RD&D projects in AM, and previous work on AM Energy Use and Life Cycle Assessment.

Chapter 2 (Overview) introduces the life cycle phases and the life cycle assessment methodology, including identifying and setting boundaries for life cycle phases, and the calculation of embodied energy of materials, processes, and transportation modes.

Chapter 3 (Tool) provides an outline of the Excel based Additive Manufacturing Energy Impacts Assessment Tool. It provides detailed instructions for the Excel based calculator tool including the general calculation process and the typical information that is needed at each step to do the calculations.

Chapter 4 (Case Studies) provides a walkthrough of four specific case studies as examples using the AM Energy Impacts Assessment Tool. The two life cycle energy case studies for aircraft brackets compare 1) the same metal part made by additive manufacturing to the conventional process; and 2) an optimized metal part designed for additive manufacturing to the conventionally designed and produced part are discussed in detail. The third case study covers the Aircraft Ventilation Nozzle manufactured by the Fused Deposition Modelling process. The fourth case study is based on a Hat Section Mold manufactured by the Fused Deposition Modelling process.

TABLE OF CONTENTS

| | |
|--|----|
| PREFACE..... | 3 |
| LISTS OF TABLES | 5 |
| LISTS OF FIGURES..... | 6 |
| ABBREVIATIONS, ACRONYMS, AND INITIALISMS..... | 7 |
| ACKNOWLEDGEMENTS..... | 8 |
| CHAPTER 1 - INTRODUCTION..... | 9 |
| Background..... | 9 |
| Life Cycle Assessment Concept | 9 |
| Previous Work on Additive Manufacturing Energy Use and Life-Cycle Assessment..... | 12 |
| Summary of Literature Review | 12 |
| Conclusions..... | 14 |
| CHAPTER 2 – OVERVIEW OF LIFE-CYCLE ASSESSMENT METHODOLOGY | 16 |
| CHAPTER 3 – AM ENERGY IMPACTS ASSESSMENT TOOL..... | 18 |
| Outline of the Tool..... | 18 |
| Intro..... | 18 |
| Product Details..... | 19 |
| CM Calculator | 20 |
| AM Calculator | 32 |
| Results..... | 47 |
| AM Tool’s Capabilities/Constraints:..... | 50 |
| CHAPTER 4 – CASE STUDIES | 53 |
| Case Study 1: Aerospace Bracket - EBM vs. Conventional Machining | 54 |
| Overview: | 54 |
| Case Study 2: Topologically Optimized Aerospace Bracket - EBM vs. Conventional Machining | 57 |
| Overview: | 57 |
| Case Study 3: Aircraft Ventilation Assembly – FDM vs. IM | 60 |
| Overview: | 60 |
| Case Study 4: Hat Section Mold/Tool – FDM vs. Conventional Machining..... | 63 |
| Overview: | 63 |
| REFERENCES | 67 |
| APPENDIX..... | 70 |
| Appendix 1: Material Embodied Energy..... | 71 |
| Appendix 2: Cutting Tool Materials Embodied Energy..... | 72 |
| Appendix 3a: Primary Shaping Processes Energy..... | 73 |
| Appendix 3b: Secondary Shaping Processes Embodied Energy..... | 73 |
| Appendix 4a: Additive Manufacturing Processes Embodied Energy | 74 |
| Appendix 4b: Additive Manufacturing Processes Embodied Energy | 74 |
| Appendix 5: Transportation Mode Embodied Energy..... | 75 |
| Appendix 6: Other Transportation Modes Embodied Energy..... | 75 |
| Appendix 7: Use Phase Energy Consumption..... | 76 |

LISTS OF TABLES

| | |
|---|----|
| Table 1: Different scenarios for the AM Energy Impacts Assessment Tool..... | 19 |
| Table 2: Tooling Energy Intensity for a Range of Parts Manufactured | 24 |
| Table 3: Results Table - Energy Use per Part..... | 47 |
| Table 4: Energy Prices by Life Cycle Phase | 48 |
| Table 5: Results Table - Energy and Energy Cost Savings per Part | 49 |
| Table 6: Energy Savings Table (Case study 1)..... | 54 |
| Table 7: Energy Savings Table (Case study 2)..... | 57 |
| Table 8: Energy Savings Table (Case study 3)..... | 60 |
| Table 9: Energy Savings Table (Case study 4)..... | 63 |

LISTS OF FIGURES

| | |
|--|----|
| Figure 1: AMO Technology Focus [DOE AMO]..... | 9 |
| Figure 2: Reduced product life-cycle energy consumption through AM | 10 |
| Figure 3: End of Life Method [BERGSMA 2013] | 11 |
| Figure 4: Overview of life-cycle assessment methodology..... | 16 |
| Figure 5: AM Energy Impacts Assessment Tool - Intro Tab | 18 |
| Figure 6: Product Details Tab..... | 19 |
| Figure 7: Manufacturing Phase Energy Analysis Steps | 22 |
| Figure 8: Results Graph - Energy Use per Part | 48 |
| Figure 9: Results Graph - Energy Savings per Part..... | 49 |
| Figure 10: A summary of key inputs and assumption | 50 |
| Figure 11: Process Flow Diagram (Case study 1) | 54 |
| Figure 12: Process Flow Diagram (Case study 2) | 57 |
| Figure 13: Process Flow Diagram (Case study 3) | 60 |
| Figure 14: Process Flow Diagram (Case study 4) | 63 |

ABBREVIATIONS, ACRONYMS, AND INITIALISMS

| | |
|--------------------|---|
| AM | Additive Manufacturing |
| AMO | Advanced Manufacturing Office |
| BBtu | Billion Btu (10^9 Btu) |
| Btu | British Thermal Units |
| CO ₂ | Carbon dioxide |
| DOE | Department of Energy |
| EERE | Energy Efficiency and Renewable Energy |
| EIA | Energy Information Administration |
| EPA | Environmental Protection Agency |
| EPACT | Energy Policy Act |
| ITP | Industrial Technologies Program |
| MECS | Manufacturing Energy Consumption Survey |
| MER | Monthly Energy Review |
| MMBtu | Million Btu (10^6 Btu) |
| MMTCO ₂ | Million metric tons of carbon dioxide |
| ORNL | Oak Ridge National Laboratory |
| RECS | Residential Energy Consumption Survey |
| TBtu | Trillion Btu (10^{12} Btu) |

ACKNOWLEDGEMENTS

The authors gratefully acknowledge the support and guidance of Mark Johnson, Stephen Sikirica and Blake Marshall at DOE's Advanced Manufacturing Office (DOE AMO). The authors also thank Lonnie Love, Craig Blue, Ron Ott, Ryan Dehoff, Chad Duty, Sujit Das, Amelia Elliott, and Jennifer Travis from ORNL who assisted in the development Additive Manufacturing Energy Impacts Assessment Tool and review of this report. Also it is pleasure to acknowledge the help of William Morrow (LBNL), Alberta Carpenter (NREL), Matthew Riddle (ANL), Rob Gorham (America Makes), Cameron Rogers (Intern at America Makes) and several America Makes Principal Investigators, who reviewed the tool, as well as a draft of this report and provided valuable comments.

CHAPTER 1 - INTRODUCTION

Background

The U.S. Department of Energy's Advanced Manufacturing Office (AMO) supports research, development and demonstration (or deployment) (RD&D) in Additive Manufacturing (AM) at a number of venues, including the Oak Ridge National Laboratory (ORNL) Manufacturing Demonstration Facility (MDF) in Oak Ridge, TN and America Makes, the National Additive Manufacturing Innovation Institute in Youngstown, OH. Evaluating new products, materials, and processes (Figure 1) using the traditional energy analysis methods tends to evaluate technologies narrowly, where impacts are assessed at the plant level or perhaps on an industry sub-sector basis. A more comprehensive assessment of the energy impacts considers energy requirements from all phases (cradle-to-grave/cradle), which requires an accurate accounting at each phase. When considering the entire life cycle energy demands, the AM process and the products manufactured using the AM process have the potential to reduce energy over a product's entire lifespan. Hence, there is a need for a consistent methodology to calculate life cycle energy consumption and savings for RD&D projects in AM to capture the impact of the program investments as well as AM as a foundational technology. AMO is engaged in the development of several analytical methodologies and associated tools such as the Materials Flow through Industry (MFI) tool as well as the Life Cycle Industry GHgas, Technology and Energy through the Use Phase (LIGHTEn-UP) tool can provide a cross-sector perspective on the energy impacts resulting from innovations in materials and manufacturing technologies. These tools form the foundation for the life cycle assessment methodology work presented in this report.

Figure 1: AMO Technology Focus [DOE AMO]



The overall goal of this report is to define a methodology to consistently calculate the life cycle energy consumption and savings for RD&D projects in AM. The approach is to define and calculate the energy requirements at each step of the AM process - drawing in part from the wide range of primary data available from subject matter experts as well as scientific literature. ORNL has developed an AM life cycle specific methodology, basic tool with guidance and has provided some case studies in this report to inform RD&D researchers on how to perform these calculations. Many of the funding agencies, practitioners and researchers in AM might not be familiar with life cycle energy assessment and therefore providing a consistent methodology to express the energy impacts will serve this community to better understand the complete energy footprint of AM products, as well the potential impact of AM RD&D activities. Further effort to create a library of material and process data may be used to refine the tool and methodology.

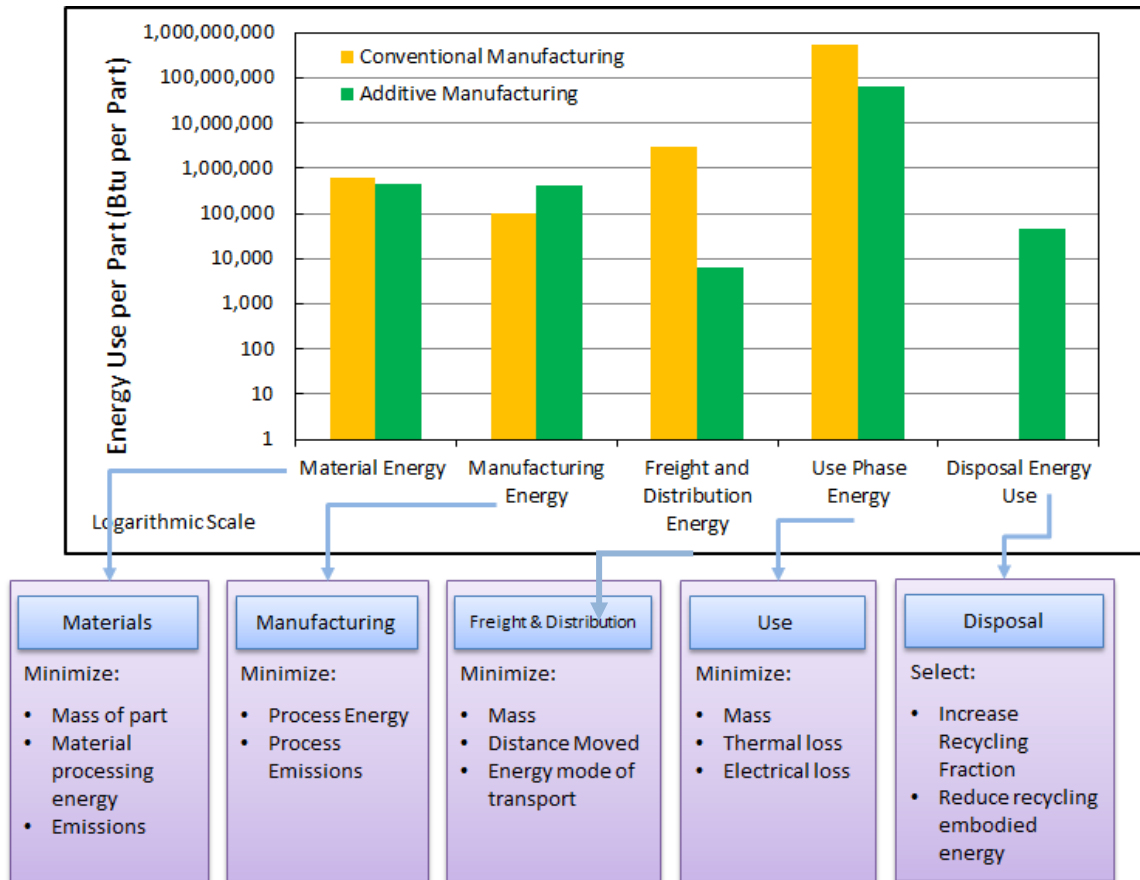
Life Cycle Assessment Concept

Life Cycle Assessment (LCA) is a methodology that considers all the resources and emissions associated with a product or process over its entire life cycle. Cumulative energy content – or

embodied energy – of a product over the life of the product can be calculated using LCA. We will consider the product lifecycle using five phases: 1. Material, 2. Manufacturing, 3 Freight & Distribution, 4. Use, and 5. Disposal (Figure 2). The methodology for the tool is derived from the work of numerous LCA researchers, including Michael Ashby [M. ASHBY], B. W. Vigon [VIGON 1992], Martin Baumers [BAUMERS 2013], Geert Bergsma [BERGSMA 2013], and other important references listed in the reference chapter.

1. **Material Phase:** The material phase includes all the energy required to process a material (or materials) into a form that can be used to fabricate a particular product. The materials used in conventional as well as additive manufacturing processes may come in different forms and shapes. For example: metal ingots vs. metal powders, polymer pellets vs. extruded filaments, etc. The material phase includes all the energy required to process materials from mines to the manufacturing facility gate. This energy consumption is also called the embodied energy of the material. Please note – embodied energy of metal powder includes embodied energy of raw metal and additional energy required in the atomization process (metal to metal powder). Similarly, embodied energy of extruded polymer wires include embodied energy of polymer pellets and additional energy required in the extrusion process.

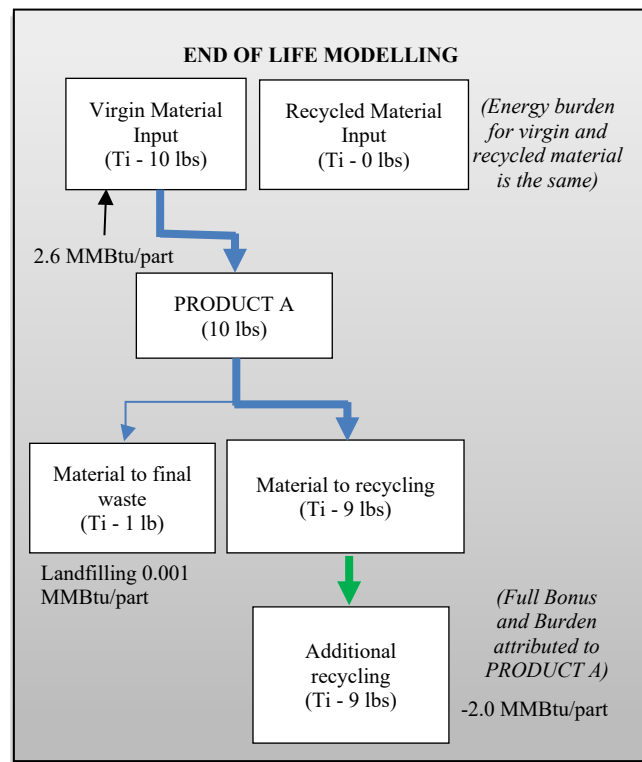
Figure 2: Reduced product life-cycle energy consumption through AM



2. **Manufacturing Phase:** This phase includes product fabrication steps and the energy required to fabricate a product (using subtractive or additive process) from manufactured materials. The total energy consumed in manufacturing phase can be divided into three parts: i. Energy consumed by primary, secondary, and tertiary shaping and finishing processes; ii. Energy consumed in post-machining processes such as heat treating, stress relieving, brazing, HIP, inspection, etc.; and iii. Embodied energy of tooling and machine tools used in the manufacturing process.
3. **Freight and Distribution Phase:** This phase includes steps that prepare the final product for shipment, and the transport of the products from one location to the next. Energy use is a function of the transport mode(s), and weight of the component part, and distance the part travels through the supply chain.
4. **Use Phase:** Begins after the distribution of products or materials for intended use and includes any activity in which the product or package may be reconditioned, maintained, or serviced to extend its useful life. For example - parts used on the aircrafts, components mounted on vehicles, etc. In the case of part use, for static products, impact on energy use may be negligible. However, for transport related products, such as aerospace and automotive components, energy use is a function of the parts weight, its working life cycle on the vehicle, and the type of vehicle into which the component parts is integrated.

5. Disposal or End of Life Phase: Begins after the product has served its intended purpose and either will enter a new system through recycling (open-loop recycling or closed-loop recycling) or waste management (landfill, combustion or incineration, or composting). In open-loop recycling, products are recycled into new products that are eventually disposed. In closed-loop recycling, products are recycled again and again into the same product. There are multiple methods available for accounting energy use in the disposal or end of life phase. While selecting the energy accounting method, it is important to consider multiple factors such as promotion of the collection of secondary materials as well as question of fairness

Figure 3: End of Life Method [BERGSMA 2013]



(who pays for recycling and who gets benefits?). The AM Energy Impacts tool uses “End of Life (EOL)” method [Bergsma, 2013] as it promotes recycling and rewards recycling effort (see Figure 3). The EOL method uses the following approach:

- Secondary or recycled materials that are INPUT to a process have the same attached environmental burden as virgin materials;
- Secondary materials on the OUTPUT side leave the product system causing extra environmental burden (energy use for melting and transport for collection, sorting) as well as an environmental bonus (avoided burden virgin material production); and
- The benefit of recycling goes entirely to product under consideration (e.g. Product A, Figure 3).

As shown in Figure 3, Product A is manufactured using 10 lbs of virgin Titanium (Ti) material. Assuming embodied energy of Ti equal to 263,328 Btu/lb, energy consumed per part in the material phase is 2.6 MMBtu/part. In the disposal phase, 9 lbs of Ti are recycled and 1 lb is wasted or land-filled. By assuming embodied energy of recycled Ti equal to 37,403 Btu/lb, avoided energy burden for virgin Ti is -2.0 MMBtu/part (0.3 - 2.4 MMBtu/part). By assuming landfilling energy intensity equal to 1000 lbs/lb, amount of energy wasted to landfill 1 lb of wasted Ti is 0.001 MMBtu/part. Hence total disposal energy per part using the EOL approach is -2.0 MMBtu/part.

Previous Work on Additive Manufacturing Energy Use and Life-Cycle Assessment

Conventional manufacturing (subtractive) processes, such as machining, form an important comparison technology to metallic AM and injection molding (IM) forms an important comparison technology for polymer AM. Significant amount of research and number of studies are conducted assessing the relationship between energy inputs and process parameters and life cycle energy use for subtractive processes and other processes like IM. On the other hand, a negligible amount of research has been conducted in evaluating the life cycle energy of products made using AM processes to date. When assessing the life cycle energy of an AM product, it is vital to consider the material, manufacture, freight and distribution, use, and the part disposal phase. Although AM processes may use more energy than conventional processes per unit mass of material processed, they do enable the production of parts with optimized shapes and geometric features that reduce raw materials and component weight. For example, in aircraft parts, the primary environmental and energy efficiency benefit of AM is during the use phase of the part. By enabling optimized part manufacture, significant weight savings can be realized, which can greatly reduce the fuel consumption of aircraft. In addition, localized production enabled by additive manufacturing could lead to a reduction in the energy used in freight and distribution of final products.

Summary of Literature Review

In 2013, Florent Bourhis and Olivier Kerbrat of *Institut de Recherche en Communications et Cybernétique de Nantes, France* [IJAMT 2013] presented a methodology to evaluate AM where all flows consumed (material, fluids, and electricity) are considered in the environmental impact assessment. Their article presented a life cycle framework to evaluate the energy consumption in the AM machine. They modeled each feature of the machine such as electricity, material, and fluid consumption. Their study excluded some parameters like powder production, inert gas production, hydraulic fluid production, and compressed air production and consumption. In addition, their approach didn't include powder recycling, material and energy used to manufacture the machine tools, parts recycling, machine tool recycling, etc.

In June 2013, Martin Baumann of the University of Nottingham (Baumann, 2013) investigated in their *Journal of Industrial Ecology* article whether the adoption of AM technology can be used to reach transparency in terms of energy and financial inputs to manufacturing operations. As per Baumann, the parallel character of AM (allowing the contemporaneous production of multiple parts) poses previously unconsidered problems in the estimation of manufacturing energy consumption. Their research discusses the implementation of a tool for the estimation of process energy flows and costs occurring in the AM technology variant direct metal laser sintering. They demonstrated that accurate predictions of manufacturing energy consumption per part can be made for the production of a basket of sample parts. The AM Energy Impacts Assessment tool uses the manufacturing phase energy estimation methodology discussed in Baumann's article.

Components for Energy Efficiency in Transport by Additive Manufacturing (CEEAM) project [CEEAM 2012] is funded by the Transport iNet (part of the East Midlands Development Agency, UK). The CEEAM project tackles issues preventing the growth of AM in the high-performance engineering sector, with a specific focus on the space industry. At present, it is not possible to exploit the advantages of AM due to concerns with respect to the integrity of the

parts. One of the primary concerns is that every layer must be processed correctly otherwise part integrity is jeopardized. Moreover, before a new manufacturing process or material can be used for demanding space applications, a qualification process must be undertaken. The project also produced lightweight satellite components. The current launch cost of a satellite is about \$13,800 per lb of load, so it showed clear economic and environmental benefits to reducing weight. Within this project, only the final part weight was considered and not the energy implications of either the raw material used or the manufacturing efficiencies of AM.

Led by Loughborough University in UK, the Atkins project [Atkins 2011] was set out to understand and quantify the energy efficiency and environmental benefits of using the AM process for the production of components within the aerospace and automotive supply chain. Aircraft TV monitor arms were redesigned using topological optimization software to significantly reduce mass while maintaining strength and stiffness. The parts were then manufactured using laser sintering or selective laser melting (SLM). These AM processes were found to consume between 10 and 100 times more energy per lb of material processed than computer numerical control (CNC) machines but reducing the weight by 5.25 lb/arm for these parts. Despite increasing the direct energy required to manufacture the part, the AM process reduces manufacturing sector energy through the reduction of aluminum requirements. However, these savings are relatively minor compared to the use-phase energy savings that lighter aircraft parts allow if deployed into airline fleets.

The research work at The University of Texas at Austin [UT Austin 2010] quantified the material and energy use of Selective Laser Sintering (SLS) nylon parts and compared these estimates with Injection Molding (IM) parts. The results indicated that SLS nylon parts are not as energy efficient as IM parts when considering nylon material and energy consumed during the material and part production process. They didn't take into consideration freight and distribution, use phase, and end of life phases in their research work. Supply chain effects such as reduced freight and distribution and infrastructure costs could make SLS more favorable. Additionally, one of the advantages of SLS is its ability to produce parts that cannot be manufactured using IM. These parts, with optimized geometries, have the opportunity to increase the efficiency of end-use applications.

The SAVING project (Sustainable product development via design optimization and Additive manufactur**ING**) was established in September 2009 [SAVING 2009] and funded by the Technology Strategy Board in the United Kingdom. The SAVINGS project focused on design and process optimization, applied to AM, with the objective of creating innovative designs that could be manufactured or used more efficiently than with conventional practices. Design optimization and analysis of hollow and cellular structures was investigated, and parts were manufactured using the EOS Direct Metal Laser Sintering (DMLS) process. Through a series of case studies from the aerospace and automotive industries (cylinder head, heat exchanger, airline buckle, etc.), the project demonstrated that DMLS can be used to reduce the energy impact of vehicles by designing and manufacturing parts that weigh less.

In 2004, Jeffrey Dahmus and Timothy Gutowski with Massachusetts Institute of Technology [MIT 2004] presented a system-level environmental analysis of conventional machining process in their ASME paper. The analysis presented considers not only the environmental impact of the

conventional material removal process, but also the impact of associated processes such as material preparation and cutting fluid preparation. This larger system view results in a more complete assessment of machining. Energy analyses show that the energy requirements of actual material removal can be quite small when compared to the total energy associated with machine tool operation. Also, depending on the energy intensity of the materials being machined, the energy of material production can, in some cases, far exceed the energy required for machine tool operation. This work can be used to do similar kind of analysis on AM processes.

Conclusions

The literature review indicates that while previous studies have advanced the practice of LCA assessment for additive manufacturing, none have been sufficiently comprehensive - either not covering the full life cycle (i.e. lacking raw material inputs, or end of life inputs) of a product, or based on a limited to a narrow set of additive processes. A tool and approach to cover the complete life cycle of a product and account for the range of additive processes and materials was lacking – which this methodology and the AM tool seek to address.

It was also noted from the literature review that there are significant inconsistencies in the energy intensity data for additive manufacturing processes. Compared to the traditional manufacturing processes (machining, casting, forging, etc.), process energy intensity data for relatively new additive manufacturing processes is very limited. Detailed data about MJ/kg (or Btu/lb) for AM processes and for different materials is often lacking. The energy experiments conducted by other researchers so far (see literature summary) were incomplete; the work did not include a full range of power measurements on different additive machines using a range of materials nor have previous studies identify power levels in different operating modes. In general, previous work was focused on specific technologies (e.g. EBM machines) rather than covering all technology platforms (EBM, SLM, FDM, etc.).

Within the LCA framework, there are areas where the integration of additional data can improve the energy estimates. There is a need to design and conduct experimental studies on energy, production time, and consumables (compressed air, nitrogen, argon, helium, etc.) and to develop process energy intensity (Btu/lb) and machine productivity (lb/hr) databases for various additive manufacturing platforms and materials. Experimental studies could provide detailed data on energy intensities for the most common AM processes and for different materials. The methodology and tool have been designed to be adaptable to new data and information that can expand the fidelity of the energy estimates. The data from additional experimental studies could be used to validate the AM tool and be directly used in the AM tool to make it more valuable, accurate, and consistent.

CHAPTER 2 – OVERVIEW OF LIFE-CYCLE ASSESSMENT METHODOLOGY

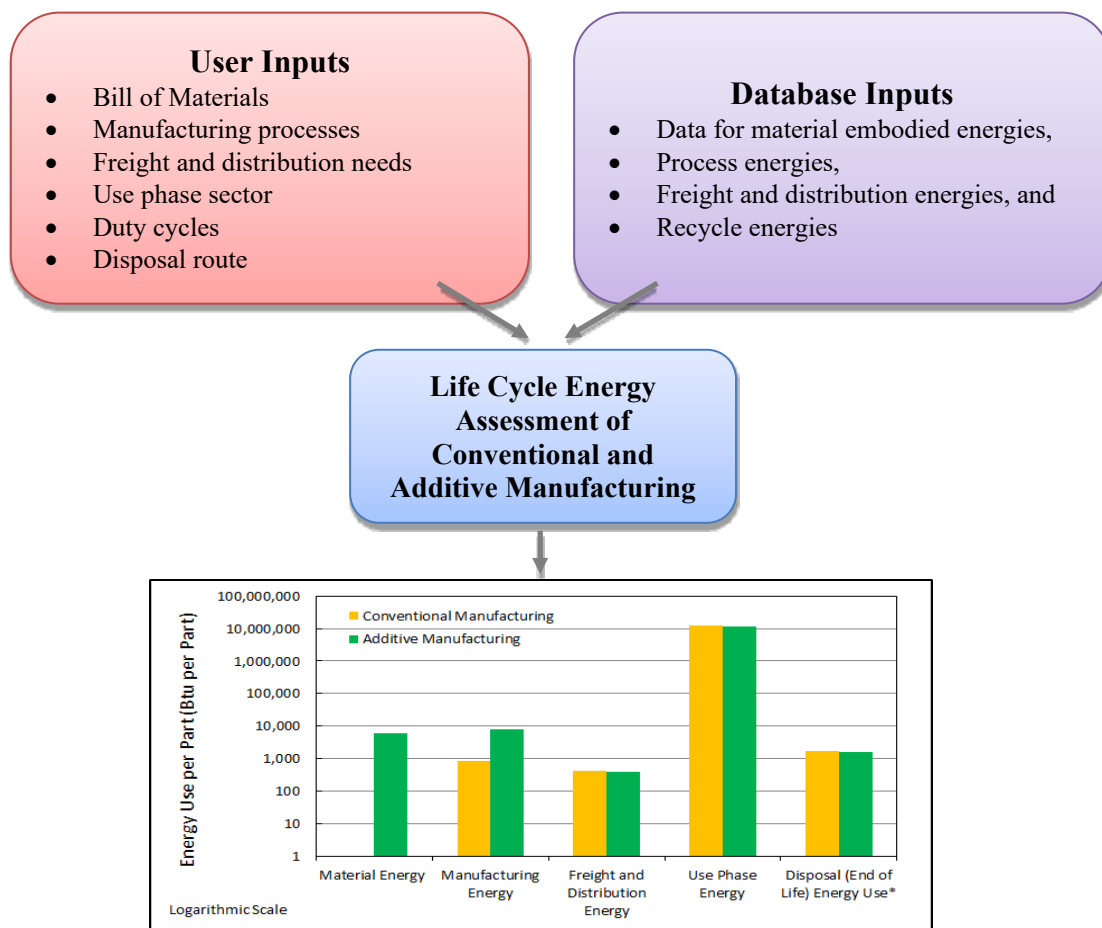
Figure 4 shows the procedure and associated inputs required and outputs generated for life-cycle energy assessment of a product manufactured either by conventional or additive manufacturing process. The **inputs** to the calculator are of two types: **i. User Inputs** and **ii. Database Inputs**.

User Inputs are shown in the top left of the flow diagram and include:

- Bill of materials,
- Manufacturing process choice,
- Freight and distribution requirements,
- Use phase sector,
- Duty cycle (the details of the energy and intensity of use), and
- Disposal route

Figure 4: Overview of life-cycle assessment methodology.

User inputs are combined with the data drawn from databases of embodied energy of materials, processes, freight and distribution energies and energy conversion efficiencies to create the energy use breakdown.



Database Inputs are shown in the top left of the flow diagram and include:

- Data for material embodied energies,
- Process energies,
- Freight and distribution energies, and
- Recycle energies – energy conversion efficiencies that are drawn from look-up tables stored in other tabs.

The Outputs are the energy footprint of each phase of life, represented as bar charts and in tabular form. The results also provide energy savings per part of each phase of life and overall energy savings with respect to conventional manufacturing process.

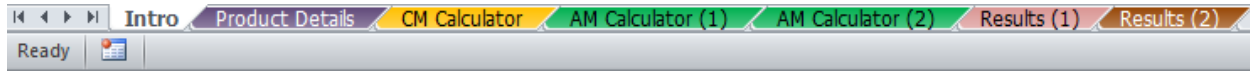
The procedure is explained in detail in chapter 3 and illustrated by case studies in chapter 4.

CHAPTER 3 – AM ENERGY IMPACTS ASSESSMENT TOOL

Outline of the Tool

This section provides guidance on how to use the Excel based tool and utilize the built-in life cycle framework. The tool is also referred as the calculator in this report.

The AM Energy Impacts Assessment Tool includes the following seven major tabs:

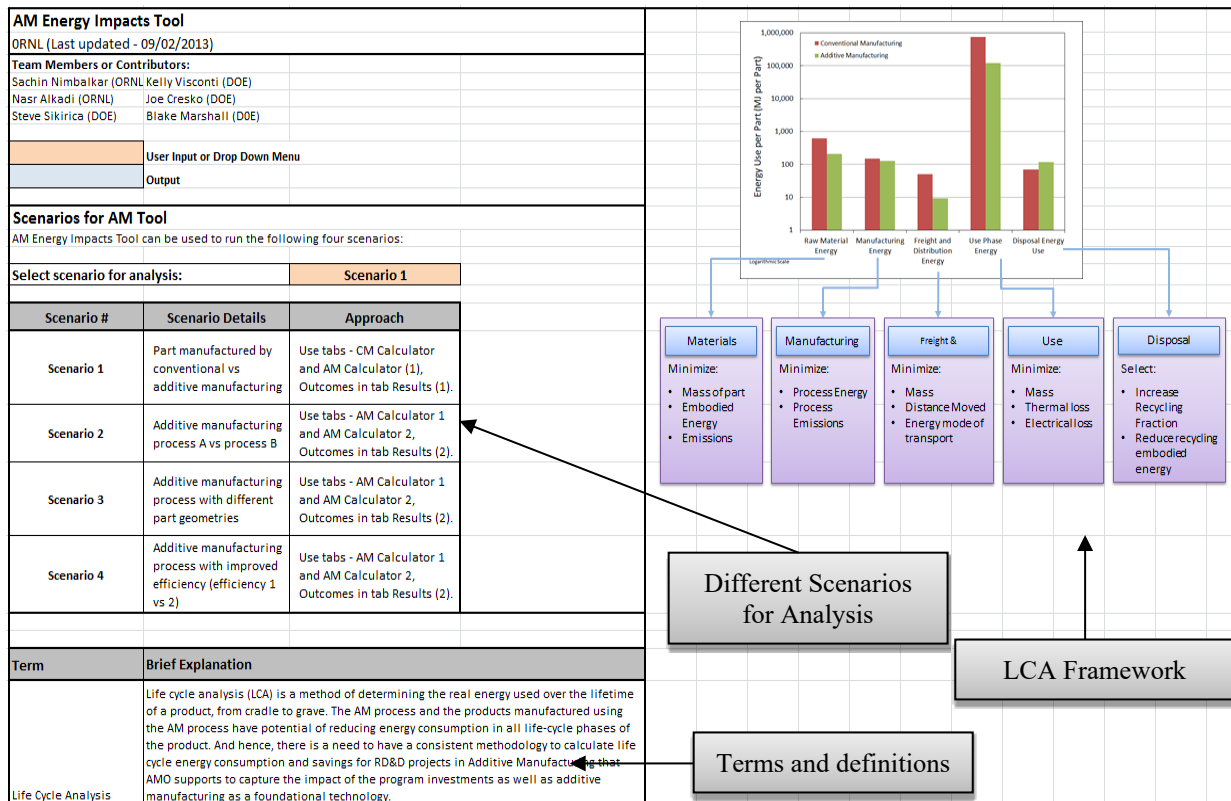


- Intro Tab
- Product Details Tab
- CM Calculator Tab (CM – Conventional Manufacturing)
- AM Calculator Tabs 1 and 2 (AM – Additive Manufacturing)
- Results Tabs 1 and 2

Intro

All users are recommended to start their analysis with the Intro Tab (see Figure 5). The Intro tab provides a drop down menu of different LCA scenarios for analysis. It also explains the LCA framework and defines various LCA terms used in the analysis.

Figure 5: AM Energy Impacts Assessment Tool - Intro Tab



The AM Energy Impacts Assessment tool can be used to run four different scenarios which are described in Table 1. The user selects a specific scenario for analysis using the drop-down menu. Based on the user's selection some tabs are automatically hidden or made visible. For example – if user selects scenario 1, tabs CM Calculator, AM Calculator (1), and Results (1) are shown but AM Calculator (2) and Results (2) are kept hidden. The Table 1 provides more information.

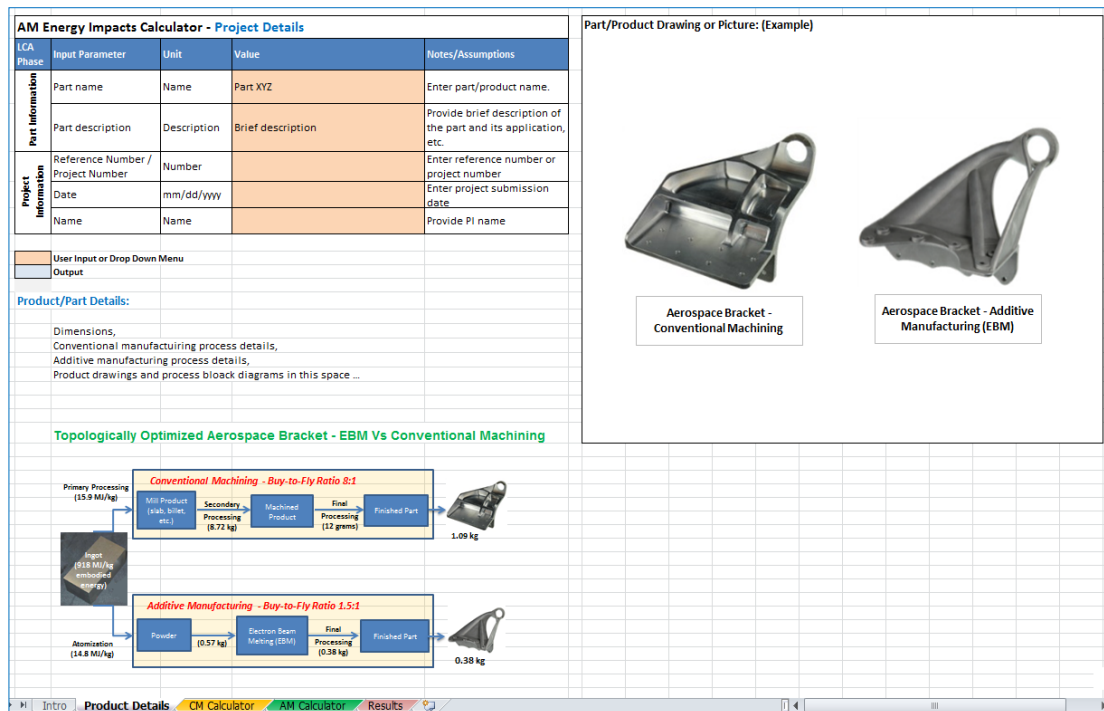
Table 1: Different scenarios for the AM Energy Impacts Assessment Tool

| Scenario # | Scenario Details | Approach |
|-------------------|--|--|
| Scenario 1 | Part manufactured by conventional vs. additive manufacturing | Use tabs - CM Calculator and AM Calculator (1), Outcomes in tab Results (1). |
| Scenario 2 | Additive manufacturing process A vs. process B | Use tabs - AM Calculator 1 and AM Calculator 2, Outcomes in tab Results (2). |
| Scenario 3 | Additive manufacturing process with different part geometries | Use tabs - AM Calculator 1 and AM Calculator 2, Outcomes in tab Results (2). |
| Scenario 4 | Additive manufacturing process with improved efficiency (efficiency 1 vs. 2) | Use tabs - AM Calculator 1 and AM Calculator 2, Outcomes in tab Results (2). |

Product Details

The Product Details tab provides space for users to capture and document part details, part dimensions, conventional and additive manufacturing process details, process diagrams, and product diagrams. Figure 6 shows an example of optimized Titanium alloy bracket manufacturing using conventional and additive manufacturing processes.

Figure 6: Product Details Tab



CM Calculator

CM stands for Conventional Manufacturing. CM Calculator Tab covers life cycle assessment for conventional manufacturing process to determine energy used over the lifetime of a product, from cradle to grave.

When using the CM Calculator Tab, use the following color codes. The light orange color cells are user input cells where data is either entered by the user or selected from a drop down menu. Light blue color cells indicate outputs from calculations programmed in the tool. Cells with white background include labels, instructions, notes and formulae. No inputs are needed from the users in these white background cells.

| | |
|--|------------------------------|
| | User input or Drop Down Menu |
| | Outputs from Calculations |
| | Energy Output |

The CM calculator tab begins with the part information. Once user provides this information in the CM calculator tab, the same information is copied to the AM calculator tab and results section.

Part Information:

| AM Energy Impacts Assessment Tool - Conventional Manufacturing Process | | | | |
|--|------------------|-------------|--------------------------|---|
| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
| Part Information | Part name | Name | <i>Part XYZ</i> | Enter part/product name manufactured by the conventional manufacturing process under consideration. |
| | Part description | Description | <i>Brief Description</i> | Provide brief description of the part and its application, etc. |

The CM lifecycle assessment calculator covers all five lifecycle phases, described here for energy.

Material Phase Energy Analysis:

In this step, the user is asked to draw up a bill of materials and provide the mass of each component used in the product and the material of which it is made. As described in Chapter 1, the materials used in conventional as well as additive manufacturing processes may come in different forms and shapes (For example: metal ingots vs. metal powders, polymer pellets vs. extruded wires, etc.). At present, the tool can only handle parts made from two materials. User provides data for material # 1 first. If material # 1 amount in the product is < 100% (this means the product is produced using more than one material), the tool brings up options for a second material. The tool's ability to handle parts made from more than two materials will be added in subsequent revisions. Data for the embodied energy (Btu/lb) per unit mass for each material is retrieved from the database. Please note – embodied energy of metal powder includes embodied

energy of raw metal and additional energy required in the atomization process (metal to metal powder). Similarly, embodied energy of extruded polymer wires include embodied energy of polymer pellets and additional energy required in the extrusion process. Multiplying the mass of each component by its embodied energy and summing give the total material energy – the first bar of the bar chart in Figure 2.

| Conventional Manufacturing Process | | | | |
|------------------------------------|--|-----------------|--|---|
| LCA Phase | Input Parameter | Unit/Part | Value (Note – these terms are used in the formulae below) | Tool Guidance |
| Material | Typical life-span of the product manufactured using conventional method | Years | LS_{CM} | Enter product life in terms of # of cycles, years, months, or days. |
| | Material # 1 consumed to produce final part | Select | M_1 | Select material # 1 name from the drop-down list. The current version of the tool allows only two input materials. |
| | Material # 1 amount - % of total initial mass | % | $M_{1,\%}$ | Enter material # 1 amount as a % of total initial mass. If material # 1 amount is < 100%, tool brings up a second material option. |
| | Total input material initial mass | Lb/part | $M_{initial,CM}$ | Enter input material initial mass - this should include scrap, waste, and material loss during the manufacturing process. |
| | Final part mass | Lb/part | $M_{final,CM}$ | Enter final mass of the component/part after going through all the manufacturing and post-manufacturing processes. |
| | Ratio between initial material used and the weight of the final product - Conventional Manufacturing Process | Ratio | $\frac{M_{initial,CM}}{M_{final,CM}}$ | The weight ratio between initial input material used for a component and the weight of the final component itself. |
| | Total engineered scrap or waste generated onsite while producing final part | Lb/part | E_{scrap} | This is the difference between total input material initial mass and final part mass. |
| | Percent of engineered scrap recovered and recycled onsite | % | $E_{scrap\%,recycle}$ | User provides a percent of total engineered scrap recovered and recycled on-site. This is different from the recycled material after end of life. |
| | Material # 1 embodied energy (primary or virgin) | Btu/lb | $EE_{p,M,1}$ | Embodied Energy for input Material # 1 (primary or virgin) - pulled from the "Embodied Energy - Material" tab. |
| | Material # 1 embodied energy (recycled engineered scrap) | Btu/lb | $EE_{s,M,1}$ | Embodied Energy for input Material # 1 (recycled engineered scrap) - pulled from the "Embodied Energy - Material" tab. |
| | Material Phase Energy Use per Part | Btu/part | $M_{EU,CM}$ | The total material energy per part – the first bar of the bar chart (Figure 2). |

Equations:

For parts built with single material:

$$M_EU_CM = (M_{1,\%} * M_{initial,CM} - M_{1,\%} * (Escrap_{\%,recycle} * Escrap)) * EEp_{M,1} + (M_{1,\%} * (Escrap_{\%,recycle} * Escrap) * EEs_{M,1})$$

For parts built with two materials:

$$M_EU_CM = (M_{1,\%} * M_{initial,CM} - M_{1,\%} * (Escrap_{\%,recycle} * Escrap)) * EEp_{M,1} + (M_{1,\%} * (Escrap_{\%,recycle} * Escrap) * EEs_{M,1}) + (M_{2,\%} * M_{initial,CM} - M_{2,\%} * (Escrap_{\%,recycle} * Escrap)) * EEp_{M,2} + (M_{2,\%} * (Escrap_{\%,recycle} * Escrap) * EEs_{M,2})$$

Manufacturing Phase Energy Analysis:

Manufacturing phase energy analysis is done in three steps: Step A, B, and C.

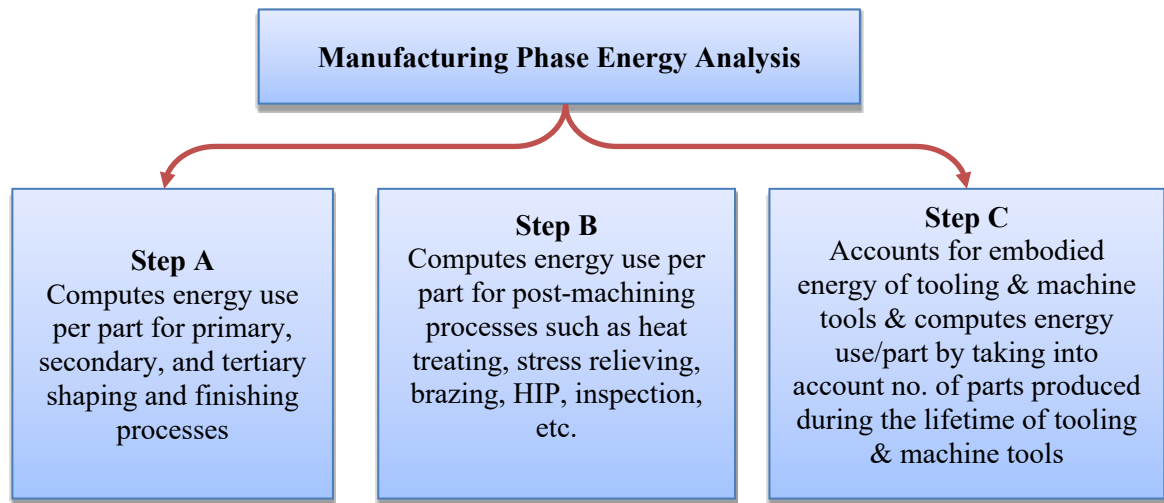


Figure 7: Manufacturing Phase Energy Analysis Steps

It is possible that there might be a few additional

manufacturing steps that don't fit into steps A, B, and C. The AM tool assumes that those additional manufacturing steps are common between conventional and additive manufacturing processes and hence could be ignored. The “infrastructure” energy requirements (like lighting, heating, ventilation, and air conditioning) are also assumed to be equal for CM and AM processes. In reality, AM process could increase or decrease the infrastructure energy requirements.

Manufacturing Phase Energy Analysis – Step A:

Step A of the manufacturing analysis focuses on primary, secondary, and tertiary shaping and finishing processes since they are generally the most energy-intensive steps of conventional manufacturing. The process energy intensities per unit mass are retrieved from the database, as in Appendix 3. Multiplying the mass of each component by its primary, secondary, and tertiary shaping energy intensities and summing give an estimate of the total manufacturing energy in Step A.

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|-----------|-----------------|------|-------|---------------|
|-----------|-----------------|------|-------|---------------|

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|------------------------|---|--------|-----------------|---|
| Manufacturing – Step A | Conventional Manufacturing Process Steps (Step A): | | | |
| | Primary Shaping Process | Select | CM_PP | Select primary mode of material processing. Metals, typically, are cast, rolled, or forged. Polymers are molded or extruded. |
| | Primary Shaping Process Energy Intensity | Btu/lb | EI_{CM_PP} | Energy intensity per lb of initial material for the primary shaping process - pulled from the "Embodied Energy - Process" tab. |
| | Secondary Process (machining/joining/finishing) | Select | CM_SP | Select secondary mode of material processing. Secondary processes take a shaped part and add features, join, and finish it. |
| | Secondary Process Energy Intensity | Btu/lb | EI_{CM_SP} | Energy intensity per lb of material removed by the secondary process - pulled from the "Embodied Energy - Process" tab. |
| | % of material removed by secondary process | % | $M_{CM_SP,\%}$ | Calculated. $M_{CM_SP,\%} = 0.9 * ((M_{initial,CM} - M_{final,CM}) / M_{initial,CM})$. It is assumed that 90% of the total mass difference is removed by secondary process. |
| | Tertiary Process (machining/joining/finishing) | Select | CM_TP | Select tertiary mode of material processing. Tertiary processes mainly include finishing operations like grinding. |
| | Tertiary Process Energy Intensity | Btu/lb | EI_{CM_TP} | Energy intensity per lb of material removed by the tertiary process - pulled from the "Embodied Energy - Process" tab. |
| | % of material removed by tertiary process | % | $M_{CM_TP,\%}$ | Calculated. $M_{CM_TP,\%} = 0.1 * ((M_{initial,CM} - M_{final,CM}) / M_{initial,CM})$. It is assumed that 10% of the total mass difference is removed by tertiary process. |

Manufacturing Phase Energy Analysis – Step B:

Step B of the manufacturing energy analysis computes energy use per part for post-machining process or processes. Post-machining processes include heat treatment, stress relieving, brazing, Hot Isostatic Pressing (HIP), inspection, etc. Multiplying the mass of material per part going through post-machining processes with post-machining process energy intensity gives an estimate of manufacturing energy Step B.

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|------------------------|---|--------------|----------|--|
| Manufacturing – Step B | Accounting for Post-machining process or processes (Part B): | | | |
| | Enter post-machining process or processes (heat treating, stress relieving, brazing, HIP, inspection, etc.) | User defined | CM_PostM | Manually enter post-machining processes. Post-machining processes include heat treating, stress relieving, brazing, HIP, etc.). Care should be taken when the energy content of a secondary process is calculated based on batch processing. When the batch size changes there can be a significant change in the energy intensity per part. |

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|-----------|--|--------|--------------------|---|
| | Enter post-machining process or processes Energy Intensity | Btu/lb | E_{CM_PostM} | Provide post-machining process energy intensity. If more than one post-machining processes are involved, calculate combined energy intensity. |
| | % of material going through post-machining processes (heat treating, stress relieving, brazing, HIP, inspection, etc.) | % | $M_{CM_PostM,\%}$ | Provide % of material going through the post-machining processes. Typically, this number is going to be 100% of the final mass. |

Manufacturing Phase Energy Analysis – Step C:

Step C of the manufacturing energy analysis accounts for embodied energy of tooling and machine tools used in the conventional manufacturing process.

While tooling plays a major role in the conventional machining process, the direct energy impact of tooling is limited [DAHMUS 200]. Due to their relatively long life, the energy cost of tools and tool maintenance is often amortized over numerous products, thereby making the energy impact relatively insignificant on a per part basis. This is true if parts are manufactured in high volume, but at low volumes, tooling can be a high part of the energy footprint (see Table 2). And hence AM process can have advantages for low volume applications. For example, producing carbide tools does require some energy intensive materials and processes. Tungsten, with an embodied energy of approximately 172,000 Btu/lb, comprises most of the mass of carbide cutters. Some of the manufacturing steps, including sintering, which are used to form the carbide tool, and physical vapor deposition (PVD) or chemical vapor deposition (CVD), which is used to coat the carbide, are also quite energy intensive, with estimates on the order of 947 to 1,894 Btu (1 to 2 MJ) per process per cutting insert. While these energy values are not trivial, the fact that carbide cutting tools can be used numerous times on multiple surfaces means that this energy investment is distributed over numerous parts.

Table 2: Tooling Energy Intensity for a Range of Parts Manufactured
(Material embodied energies – [DAHMUS 2004], [AZOM], and [M. ASHBY]).

| Tooling Material | Material Embodied Energy (Btu/lb) | Tooling Embodied Energy per Part (Btu/lb per part) | | | | |
|--|-----------------------------------|--|-----------|------------|--------------|---------------|
| | | 10 parts | 100 parts | 1000 parts | 10,000 parts | 100,000 parts |
| Carbon tool steels | 13,972 | 1,397 | 140 | 14 | 1.4 | 0.1 |
| High speed steel (HSS) | 36,328 | 3,633 | 363 | 36 | 3.6 | 0.4 |
| Cast cobalt alloys | 80,611 | 8,061 | 806 | 81 | 8.1 | 0.8 |
| Cemented carbide | 429,923 | 42,992 | 4,299 | 430 | 43.0 | 4.3 |
| Ceramics (alumina, silicon nitride, silicon carbide) | 429,923 | 42,992 | 4,299 | 430 | 43.0 | 4.3 |
| Cubic Boron Nitride (CBN) | 107,481 | 10,748 | 1,075 | 107 | 10.7 | 1.1 |
| Tungsten Carbide (WC) | 171,969 | 17,197 | 1,720 | 172 | 17.2 | 1.7 |

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|------------------------|--|----------------------------|-----------------|--|
| Manufacturing – Step C | Accounting for Tooling and Machine Tools (Step C): | | | |
| | Material used for tooling or machine tools | Select | M_{tool} | Please select material (e.g. tungsten carbide, high speed steel, etc.) used for tooling or machine tools from the drop-down menu. |
| | Embodied Energy per lb of tooling material | Btu/lb of tooling material | $EE_{M,tool}$ | Embodied energy per lb of tooling material - pulled from the "Embodied Energy - Material" tab. Producing carbide tools requires energy intensive materials and processes. |
| | Number of tooling or machine tools needed | No. of tools | No_{tool} | Enter total number of tooling and machine tools needed during all the manufacturing steps. |
| | Average mass of tooling and machine tools | lb/tool | AM_{tool} | Provide average mass of tooling and machine tools used in the process. |
| | Tooling manufacturing energy intensity per lb of tool (includes sintering, physical vapor deposition or chemical vapor deposition) | Btu/lb of tool | $EI_{Tool,mnf}$ | Estimated on the order of 947 to 1,894 Btu (1 to 2 MJ) per process per cutting insert. We will assume 2 tooling manufacturing steps and 1,894 Btu per step. $EI_{Tool,mnf} = (2 * 1,894 * No_{tool}) / (No_{tool} * AM_{tool})$ |
| | Total number of parts produced during tooling lifetime. | No. of parts/lifetime | No_{parts} | Due to their relatively long life, the energy cost of tools and tool maintenance is often amortized over numerous products, thereby making the energy impact relatively insignificant on a per part basis. |
| | Conventional manufacturing process embodied energy use | Btu/lb | EE_{CM} | Calculated embodied energy for the conventional manufacturing process by taking into consideration Manufacturing Phase Parts A, B, and C. |
| | Manufacturing energy use per Part | Btu/part | CM_EU | The total manufacturing energy use per part – the second bar of the bar chart (Figure 2). |

Equations:

Conventional manufacturing process embodied energy use per lb of part:

$$EE_{CM} = (EI_{CM_PP} + (M_{CM_SP,\%} * EI_{CM_SP}) + (M_{CM_TP,\%} * EI_{CM_TP})) + (M_{CM_PostM,\%} * EI_{CM_PostM}) + ((EE_{M,tool} * No_{tool} * AM_{tool} + No_{tool} * AM_{tool} * EI_{Tool,mnf}) / (No_{parts} * M_{initial,CM}))$$

Manufacturing energy use per Part:

$$CM_EU = EE_{CM} * M_{initial,CM}$$

Freight and Distribution Energy Analysis:

This step estimates the energy for freight and distribution of the product from the manufacturing site to point of use or sale. The energy intensities (Btu/lb.miles) of freight and distribution modes are provided in Appendix 5 and 6. Multiplying energy intensities by the final mass of the product and the distance travelled provides the estimate for freight and distribution energy use per part.

There are two levels of analysis for this life cycle stage – simple or intermediate.

If significant, there should be a consideration of packaging as it relates to distribution. Parts made at or near the point of use may require little or no packaging whereas items packed for shipment often require materials that cause recycling problems and expenses.

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|--------------------------|---|-----------------|------------------------------|---|
| Freight and Distribution | Analysis Level | Select | FD_AL | Select analysis level - Simple or Intermediate. Depending upon analysis level, user gets more granularities in terms of freight and distribution modes and associated energy intensities. |
| | Freight and distribution method (Primary Mode) | Select | FDmode _{primary} | Select freight and distribution primary mode. |
| | Freight and distribution average energy use (Primary Mode) | Btu/lb.mile | EI _{FD,primary} | The approximate energy of transportation (primary mode) – pulled from “Freight or Transportation” Tab. |
| | Average freight and distribution distance travelled by a part by primary mode | miles/mode | DIST _{FD,primary} | Provide average distance travelled by the product using the primary mode of transportation from the manufacturing site to point of use or sale. User can use this mode to cover international portion of the freight too. |
| | Freight and distribution method (Secondary Mode) | Select | FDmode _{secondary} | Select freight and distribution secondary mode. |
| | Freight and distribution average energy use (Secondary Mode) | Btu/lb.mile | EI _{FD,secondary} | The approximate energy of transportation (secondary mode) – pulled from “Freight or Transportation” Tab. |
| | Average freight and distribution distance travelled by a part by secondary mode | Miles/mode | DIST _{FD,secondary} | Provide average distance travelled by the product using the secondary mode of transportation from the manufacturing site to point of use or sale. User can use this mode to cover domestic part of the freight too. |
| | Freight and distribution energy use per part | Btu/part | FD_EU | The total freight and distribution energy use per part – the third bar of the bar chart (Figure 2). |

Equations:

Freight and distribution energy use per part:

$$FD_EU = M_{final,CM} * (EI_{FD,primary} * DIST_{FD,primary} + EI_{FD,secondary} * DIST_{FD,secondary})$$

Use Phase Energy Analysis:

The use phase energy analysis is important and is explained here. There are two different classes of contributions for use phase analysis: products used in the transportation sector or non-transportation sector use. The user enters the typical life-span of the product in the first row, then selects the sector where the product will be used. Another option within the sector allows for

calculation of use phase energy when a product can be used in the transportation sector as well as another sector (i.e. electric to thermal energy conversion).

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|-----------|---|--------|----------|---|
| Use | Typical life-span of the product manufactured using conventional method | Years | P_Life | Product life-span in years. If you know the product life-span in days/months/# cycles, convert it into number of years. |
| | Sector/application area where this part is being used | Select | APP_Area | Select sector/application area from: Transportation, Commercial Buildings, Residential, Industry, and Space. Transportation sector includes cars, trucks, buses, aircrafts, and even space shuttles. The "transportation + other" scenario takes care of applications where AM product is installed on or carried by a moving vehicle and also improving energy conversion efficiency (electric to thermal, thermal to mechanical, etc.). Under this scenario, the use phase energy consumption per part is the summation of transport and energy conversion related impacts. |

In the application area A which includes the transportation sector, products that form part of, or carried by, a transportation system (aerospace, automobiles, etc.) add to the mass of the system during transportation and thereby increase its energy consumption. The transportation table in appendix 5 and 6 lists the energy use per unit weight and distance. Multiplying this by the product weight and the distance over which it is carried gives an estimate of the associated use phase energy.

| | | | | |
|--------------------|---|-------------|---------------------|--|
| Use Phase - Step A | If the use phase is in transportation sector: Products that form part of, or are carried by, a transportation system add to its mass and thereby augment its energy burden. Strategy is to minimize mass and rolling resistance if the product is part of a system that moves. | | | |
| | Is this product part of or carried by a vehicle? | Select | Yes/No | If a product that form part of, or are carried by, a transportation system; select Yes, else select No. |
| | Select fuel and mobility type | Select | Trans_Type | Select transportation or mobility mode. |
| | Average energy use | Btu/lb.mile | El _{Trans} | Average energy use per weight per distance – pulled from “Use Phase” Tab. In principle, average energy use by mobility type in the use phase should be different from the freight and distribution phase. Use phase energy numbers should be just the additional energy use associated with an increase in mass, whereas freight energy use should include not only the energy use |

| | | | | |
|--|---|----------------------|----------------|---|
| | | | | associated with additional mass, but also a share of the baseline energy use needed to move the vehicle even if it were not carrying any weight. |
| | Distance travelled per day | miles/day | $DIST_{day}$ | Enter usage pattern |
| | Usage | days/year | $DAYS_{year}$ | Enter usage pattern |
| | Average energy use per lb of payload mass (only if the mobility type in cell D61 is "Spacecraft") | Btu/lb per flight | EI_{space} | NASA's space shuttle consumes around 5 TJ of solid propellant and 15 TJ of hydrogen fuel to lift the 100,000 kg vehicle (including the 25,000 kg payload) to an altitude of 111 km. |
| | Number of flights per year (only if the mobility type in cell D61 is "Spacecraft") | No. flights per year | $No_{flights}$ | Number of shuttle flights per year. |
| | Use phase life time energy use per unit mass | Btu/lb | UP_EI | Calculated value. $UP_EI = (EI_{Trans} * DIST_{day} * DAYS_{year} * P_Life)$, if "Spacecraft", $UP_EI = (EI_{space} * No_{flights} * P_Life)$ |

In the second application area B other non-transportation sectors are considered. Some products are (normally) static but require energy to perform their function (e.g. turbine blades, tooling, molds, etc.). Application area B relates to the power consumed by, or on behalf of the product itself.

| | | | | |
|--------------------|---|-----------|---------------|--|
| Use Phase - Step B | If the use phase is in other sectors: Some products are normally static but require energy to perform their function. Strategy is to increase thermal efficiency if the product is a thermal or thermo-mechanical system, or reduce electrical losses if the product is an electromechanical system. | | | |
| | Does this product require energy to perform its function? | Select | Yes/No | Select Yes/No |
| | Please select energy conversion type | Select | E_IO | Select energy in and out |
| | Average power drawn by the system while in operation | KW | PW_Rate | Enter power in KW. Take into consideration full load, partial load, and standby mode power usage while calculating AVERAGE power drawn by the system. (note: 1 KW = 3412.1 Btu per hour) |
| | Approximate Usage (days per year) | days/year | $DAYS_{year}$ | Enter usage pattern - Consider and adjust for full load, partial load, and standby modes while calculating the usage pattern. |
| | Approximate Usage (hours per day) | hr/day | $HOURS_{day}$ | Enter usage pattern – Consider and adjust for full load, partial load, and standby modes while calculating the usage pattern. |
| | Use phase energy use per part | Btu/part | UP_EU | The total use phase energy use per part – the fourth bar of the bar chart (Figure 2). |

Equations:

Use phase energy use per part:

If the use phase is only in transportation sector:

$$UP_EU = UP_EI * M_{final,CM}$$

If the use phase is only in other sector:

$$UP_EU = (PW_Rate * DAYS_{year} * HOURS_{day} * P_Life * 3412.1 \text{ Btu/kWh})$$

If the use phase is in both transportation and some other sector:

$$UP_EU = (UP_EI * M_{final,CM}) + (PW_Rate * DAYS_{year} * HOURS_{day} * P_Life * 3412.1 \text{ Btu/kWh})$$

Disposal Phase Energy Analysis:

The fifth and final life cycle stage is disposal or end of life. Normally, after a component/part has been used and the part has fulfilled its intended purpose, it goes through one of the following disposal processes:

- Landfill
- Combustion or incineration
- Composting
- Open-loop recycling
- Closed-loop recycling
- Other (Re-engineering/Reuse)

Recycling decreases the amount of solid waste entering landfills and reduces the production requirements of virgin or raw materials. In open-loop recycling, products are recycled into new products that are eventually disposed of. In closed-loop recycling, products are recycled again and again into the same product.

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|------------------------|--|----------------------|-----------------------------|--|
| Disposal (End of Life) | PRIMARY disposal method for material # 1 | Select | DISP _{M1,Pmode} | Please select primary disposal method option for material # 1 – Landfill, Combustion or incineration, Composting, Open-loop recycling, Closed-loop recycling, or Other (Re-engineering/Reuse). In open-loop recycling, products are recycled into new products that are eventually disposed of. In closed-loop recycling, products are recycled again and again into the same product. |
| | Fraction of material # 1 disposed through the selected PRIMARY disposal method | % of final part mass | DISP _{M1,%, Pmode} | A fraction of material # 1 disposed using user selected primary disposal method |

| | | | | |
|--|--|----------------------|-----------------------------|--|
| | Disposal energy use per unit mass (PRIMARY Disposal Method) - material # 1 (applicable only if open or closed-loop recycling selected - calculated) | Btu/lb | $(EE_{S,M,1} - EE_{P,M,1})$ | This is the difference between secondary (recycled) and primary (virgin) material embodied energies. Negative Btu/lb means that the manufacturing process using the recycled material shows lower burdens. Any additional material recycled is also credited to the product to reflect the good end-of-life performance of product under consideration. For simplicity, the amount of energy needed for deconstruction, sorting, processing, and shipping is not considered. |
| | Disposal energy use per unit mass (PRIMARY Disposal Method) - material # 1 (applicable for all other disposal methods except open or closed-loop recycling - user input) | Btu/lb | $EE_{M1,other, Pmode}$ | This is the amount of energy needed for disposing material 1 using the disposal method other than open or closed-loop recycling. If the disposal method is combustion, energy use per unit mass may be negative. |
| | SECONDARY disposal method for material # 1 | Select | $DISP_{M1,Smode}$ | Please select secondary disposal method option for material # 1 – Landfill, Combustion or incineration, Composting, Open-loop recycling, Closed-loop recycling, or Other (Re-engineering/Reuse). In open-loop recycling, products are recycled into new products that are eventually disposed of. In closed-loop recycling, products are recycled again and again into the same product. |
| | Fraction of material # 1 disposed through the selected SECONDARY disposal method | % of final part mass | $DISP_{M1,\%, Smode}$ | A fraction of material # 1 disposed using user selected secondary disposal method |
| | Disposal energy use per unit mass (SECONDARY Disposal Method) - material # 1 (applicable only if open or closed-loop recycling selected - calculated) | Btu/lb | $(EE_{S,M,1} - EE_{P,M,1})$ | This is the difference between secondary (recycled) and primary (virgin) material embodied energies. Negative Btu/lb means that the manufacturing process using the recycled material shows lower burdens. Any additional material recycled is also credited to the product to reflect the good end-of-life performance of product under consideration. For simplicity, the amount of energy needed for deconstruction, sorting, processing, and shipping is not considered. |

| | | | | |
|--|--|-----------------|------------------------|--|
| | Disposal energy use per unit mass (SECONDARY Disposal Method) - material # 1 (applicable for all other disposal methods except open or closed-loop recycling - user input) | Btu/lb | $EE_{M1,other, Smode}$ | This is the amount of energy needed for disposing material 1 using the disposal method other than open or closed-loop recycling. If the disposal method is combustion, energy use per unit mass may be negative. |
| | Disposal (End of Life) energy use per part | Btu/part | DISP_EU | The total disposal energy use per part – the fifth bar of the bar chart (Figure 2). |

Equations:

Disposal energy use per part:

If only one material:

For open or closed-loop Recycling:

$$DISP_EU = M_{final,CM} * M_{1,\%} * (DISP_{M1,\%,Pmode} * (EES_{M,1} - EEp_{M,1})) + M_{final,CM} * M_{1,\%} * (DISP_{M1,\%,Smode} * (EES_{M,1} - EEp_{M,1}))$$

For Other Disposal Methods:

$$DISP_EU = M_{final,CM} * M_{1,\%} * DISP_{M1,\%,Pmode} * EE_{M1,other} + M_{final,CM} * M_{1,\%} * DISP_{M1,\%,Smode} * EE_{M1,other}$$

If two raw materials:

For open or closed-loop Recycling:

$$DISP_EU = M_{final,CM} * M_{1,\%} * (DISP_{M1,\%,Pmode} * (EES_{M,1} - EEp_{M,1})) + M_{final,CM} * M_{1,\%} * (DISP_{M1,\%,Smode} * (EES_{M,1} - EEp_{M,1})) + M_{final,CM} * M_{2,\%} * (DISP_{M2,\%,Pmode} * (EES_{M,2} - EEp_{M,2})) + M_{final,CM} * M_{2,\%} * (DISP_{M2,\%,Smode} * (EES_{M,2} - EEp_{M,2}))$$

For Other Disposal Methods:

$$DISP_EU = M_{final,CM} * M_{1,\%} * DISP_{M1,\%,Pmode} * EE_{M1,other} + M_{final,CM} * M_{1,\%} * DISP_{M1,\%,Smode} * EE_{M1,other} + M_{final,CM} * M_{2,\%} * DISP_{M2,\%,Pmode} * EE_{M2,other} + M_{final,CM} * M_{2,\%} * DISP_{M2,\%,Smode} * EE_{M2,other}$$

AM Calculator

Although the CM and AM Calculators both cover all five phases of life cycle (material, manufacturing, freight & distribution, use, and disposal), questions and steps used in different phases are slightly different. The design of AM Calculator (1) and (2) is similar. As mentioned in the Intro tab section, depending upon the scenario for analysis, the user selects either AM Calculator (1) or both AM Calculators (1) and (2). In this section, the design of the AM Calculator (1) tab is explained. Similar steps are provided in AM Calculator (2).

Part Information:

| AM Energy Impacts Assessment Tool - Additive or Advanced Manufacturing Process | | | | |
|--|------------------|-------------|--------------------------|---|
| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
| Part Information | Part name | Name | <i>Part XYZ</i> | Enter part/product name manufactured by the additive manufacturing process under consideration. |
| | Part description | Description | <i>Brief Description</i> | Provide brief description of the part and its application, etc. |

Raw Material Energy Analysis:

The raw material energy analysis for the AM tab follows the same methodology as the CM tab.

| Additive Manufacturing Process | | | | |
|---|---|--------|--|--|
| LCA Phase | Input Parameter | Unit | Value (Note – these terms are used in the formulae below) | Tool Guidance |
| Typical life-span of the product manufactured using the additive manufacturing method | | Years | LS_{AM} | Enter product life in terms of # of cycles, years, months, or days. |
| Material | Additive Manufacturing Process/Technique | Select | AM_{Tech} | Select the type of additive manufacturing process to evaluate |
| | Material # 1 consumed to produce final part | Select | M_1 | Select material # 1 name from the drop-down list. The current version of the tool allows only two raw materials. |
| | Material # 1 amount - % of total initial mass | % | $M_{1,\%}$ | Enter material # 1 amount as a % of total initial mass. If material # 1 amount is < 100%, tool brings up a second material option. |
| | % Reduction in material - Initial mass | % | $M_{initial,\%Red}$ | Calculated. $M_{initial,\%Red} = (M_{initial,CM} - M_{initial,AM}) / M_{initial,CM}$ |
| | % Reduction in Final part mass | % | $M_{final,\%Red}$ | Calculated. $M_{final,\%Red} = (M_{final,CM} - M_{final,AM}) / M_{final,CM}$ |

| Additive Manufacturing Process | | | | |
|--------------------------------|--|-----------------|--|---|
| LCA Phase | Input Parameter | Unit | Value (Note – these terms are used in the formulae below) | Tool Guidance |
| | Total input material initial mass | lb/part | $M_{initial,AM}$ | Enter input material initial mass - this should include scrap, waste, and material loss during the manufacturing process. |
| | Final part mass | lb/part | $M_{final,AM}$ | Enter final mass of the component/part after going through all the manufacturing and post-manufacturing processes. |
| | Ratio between initial material used and the weight of the final product - Additive Manufacturing Process | Ratio | $M_{initial,AM} / M_{final,AM}$ | The weight ratio between initial input material used for a component and the weight of the final component itself. |
| | Total engineered scrap or waste generated onsite while producing final part | lb/part | E_{scrap} | This is the difference between total input material initial mass and final part mass. |
| | Percent of engineered scrap recovered and recycled onsite | % | $E_{scrap\%,recycle}$ | User provides a percent of total engineered scrap recovered and recycled on-site. This is different from the recycled material after end of life. |
| | Material # 1 embodied energy (primary or virgin) | Btu/lb | $EE_{p,M,1}$ | Embodied Energy for input Material # 1 (primary or virgin) - pulled from the "Embodied Energy - Material" tab. |
| | Material # 1 embodied energy (recycled engineered scrap) | Btu/lb | $EE_{s,M,1}$ | Embodied Energy for input Material # 1 (recycled engineered scrap) - pulled from the "Embodied Energy - Material" tab. |
| | Material Energy Use per Part | Btu/part | M_EU_AM | The total material energy per part – the first bar of the bar chart (Figure 2). |

Equations:

If only one raw material:

$$M_EU_AM = (M_{1,\%} * M_{initial,AM} - M_{1,\%} * (E_{scrap\%,recycle} * E_{scrap})) * EE_{p,M,1} + (M_{1,\%} * (E_{scrap\%,recycle} * E_{scrap}) * EE_{s,M,1})$$

If there are two raw materials:

$$M_EU_AM = (M_{1,\%} * M_{initial,AM} - M_{1,\%} * (E_{scrap\%,recycle} * E_{scrap})) * EE_{p,M,1} + (M_{1,\%} * (E_{scrap\%,recycle} * E_{scrap}) * EE_{s,M,1}) + (M_{2,\%} * M_{initial,AM} - M_{2,\%} * (E_{scrap\%,recycle} * E_{scrap})) * EE_{p,M,2} + (M_{2,\%} * (E_{scrap\%,recycle} * E_{scrap}) * EE_{s,M,2})$$

Manufacturing Energy Analysis:

Manufacturing energy analysis in additive manufacturing tab differs from the CM tab and can be performed using three different analysis levels with increasing levels of complexity:

- a. Simple
- b. Intermediate, and
- c. Advanced

a. Simple analysis:

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|------------------------|---|---------------|-------------------------------|---|
| Manufacturing - Simple | Analysis Level | Select | M_AL | Analysis levels - Simple, Intermediate, and Advanced |
| | Additive manufacturing process embodied energy use | Btu/lb | El _{AM_PP} | Embodied energy per lb processed for the AM primary process - pulled from the "Embodied Energy - Process" tab. Simple method uses published data based on the type of the AM method (SLM, DMLS, EBM, LS, FDM, and Other) |
| | Secondary Process (machining/joining/finishing) | Select | AM_SP | Select secondary mode of material processing. Secondary processes take a shaped part and add features, join, and finish it. |
| | Secondary Process Energy Intensity | Btu/lb | El _{AM_SP} | Embodied energy per lb processed for the secondary process - pulled from the "Embodied Energy - Process" tab. |
| | % of material removed by secondary process | % | M _{AM_SP,%} | Calculated. $M_{AM_SP,\%} = ((M_{initial,AM} - M_{final,AM}) / M_{initial,AM})$. |
| | Enter post-machining process or processes (heat treating, stress relieving, brazing, HIP, inspection, etc.) | User defined | AM_PostM | Manually enter post-machining process or processes. Post-machining processes include heat treating, stress relieving, brazing, HIP, inspection, etc.). Care should be taken when the energy content of a secondary process is calculated based on batch processing. When the batch size changes there can be a significant change in the energy intensity per part. |
| | Enter post-additive manufacturing process or processes energy intensity | Btu/lb | El _{AM_PostM} | Provide post-machining process energy intensity. If multiple post-machining processes are involved, calculate combined energy intensity. |
| | % of material going through post-additive manufacturing processes (heat treating, stress relieving, brazing, HIP, inspection, etc.) | % | M _{AM_PostM,%} | Provide % of material going through the post-machining processes. Typically, this number is going to be 100%. |
| | Additive Manufacturing process embodied energy use | Btu/lb | EE_{AM,Simple} | Embodied energy of additive manufacturing process using the simple method. |

Equations:

Additive manufacturing process embodied energy by simple method:

$$EE_{AM,Simple} = (EI_{AM_PP} + (M_{AM_SP,\%} * EI_{AM_SP}) + (M_{AM_PostM,\%} * EI_{AM_PostM}))$$

b. Intermediate analysis:

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|------------------------------|---|-----------------------|----------------------|---|
| Manufacturing - Intermediate | Machine Model | Enter | AM_Machine | Intermediate method uses the NAME PLATE data based on the Machine Specs and part material. |
| | Total Build Time or Production Time | Hr/build | T_build | Total production time includes machine tool cleaning, preheating, exposure, recoating, and cooling down. Include cleaning time only if a machine needs cleaning for each cycle. However, if maintenance, cleaning, etc. takes place during non-production shifts, then do not include it here. |
| | Number of parts produced per build operation | Number of parts/build | NP | This steps accounts for multiple parts in a single build and its impact on energy. |
| | Average Power Level in Standby, Preheating, and Cooling Down Modes | kW | P _{Standby} | Take an average of energy consumption per hour in Standby, Preheating, and Cooling Down Modes. During preheating there will be a constant energy flow to bring the system to operating temperature, much like preheating an oven. During the build the two large power consumers will be maintaining the environmental temperature and portion of the system depositing raw material (laser, extruder, etc.). Stand-by will involve intermittent power consumption to keep the system at a holding temperature without material deposition. |
| | % of total build time the machine is in Standby, Preheating, and Cooling Down Modes | % | Standby _% | Enter % of total build time the machine is in Standby, Preheating, and Cooling Down Modes. |

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|-----------|--|---------------|-------------------------------------|--|
| | Average Energy Consumption per hour (kW) when AM machine is in production mode. | kW | P_{Prod} | Average Power Level in Heating, Exposure, and Recoating Mode. |
| | % of total build time the machine is in production mode and producing parts | % | $Prod_{\%}$ | Calculated. % of total build time the machine is in Heating, Exposure, and Recoating Mode. |
| | AM Process Productivity | lb/hr | $AM_{Productivity}$ | Enter machine productivity in lb of mass produced per hour. This depends upon machine type, AM process type, material, the total batch volume (V) and production batch height (h). |
| | Secondary Process (machining/joining/finishing) | Select | AM_SP | Select secondary mode of material processing. Secondary processes take a shaped part and add features, join, and finish it. |
| | Secondary Process Energy Intensity | Btu/lb | EI_{AM_SP} | Embodied energy per lb processed for the secondary process - pulled from the "Embodied Energy - Process" tab. |
| | % of material removed by secondary process | % | $M_{AM_SP,\%}$ | Calculated. $M_{AM_SP,\%} = ((M_{initial,AM} - M_{final,AM}) / M_{initial,AM})$. |
| | Enter post-machining process or processes (heat treating, stress relieving, brazing, HIPing, inspection, etc.) | User defined | AM_PostM | Manually enter post-machining process or processes. Post-machining processes include heat treating, stress relieving, brazing, HIPing, inspection, etc.). |
| | Enter post-additive manufacturing process or processes energy intensity | Btu/lb | EI_{AM_PostM} | Provide post-machining process energy intensity. If multiple post-machining processes are involved, calculate combined energy intensity. |
| | % of material going through post-additive manufacturing processes (heat treating, stress relieving, brazing, HIPing, inspection, etc.) | % | $M_{AM_PostM,\%}$ | Provide % of material going through the post-machining processes. Typically, this number is going to be 100%. |
| | Additive Manufacturing process embodied energy use | Btu/lb | $EE_{AM,Intermd}$ | Embodied energy of additive manufacturing process using the intermediate method. |

Equations:

Additive manufacturing process embodied energy using the intermediate method:

$$EE_{AM,Intermd} = (3412.1 \text{ Btu/kWh}) * ((T_{build} * P_{Standby} * Standby\%)/(NP * M_{initial,AM})) + (3412.1 \text{ Btu/kWh}) * ((T_{build} * P_{Prod} * Prod\%)/(NP * M_{initial,AM})) + (M_{AM_SP,\%} * EI_{AM_SP}) + (M_{AM_PostM,\%} * EI_{AM_PostM})$$

c. Advanced analysis:

Advanced analysis is done in three steps: 1) calculating build time; 2) estimating manufacturing energy consumption; and 3) estimating manufacturing embodied energy. The advanced method is derived based on Martin Baumer's work on estimating process energy flows and costs occurring in the AM technology variant direct metal laser sintering [BAUMERS 2013].

Steps 1 – Calculating Build Time:

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|---------------------------------------|--|-----------------------|------------------|--|
| Manufacturing - Build Time Estimation | Analysis Level | Select | Advanced | Analysis levels - Simple, Intermediate, and Advanced |
| | Build Time Estimation: The estimate for total build time, T_{Build} , is obtained by combining data from a hierarchy of elements of time consumption. | | | |
| | Part name | Name | PN_AM | Use the method of build time estimation and energy consumption estimation based on the part geometry, material, and machine specs. This method is documented in the article "Transparency Built in, Journal of Industrial Ecology, 2012 [BAUMERS 2013]. This is a bottom up approach method. |
| | Number of parts produced per build operation | Number of parts/build | NP | This step accounts for multiple parts in a single build and its impact on energy. |
| | Fixed time consumption per build operation | seconds/build | T_{Job} | Provide fixed time consumption per build operation irrespective of number of parts produced. For example, time required for machine atmosphere generation and machine warm-up. |
| | Fixed time consumption per layer | seconds per layer | T_{per_Layer} | Time required for completing one layer of the material. |
| | The total number of build layers | layers/build | I | Total number of layers per build operation. |
| | Total layer dependent time consumption | Seconds/build | T_{Layer} | Obtained by multiplying the fixed time consumption per layer, T_{Layer} , by the total number of build layers I; |

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|-----------|--|---------------------|-------------|---|
| | The total build time needed for the deposition of part geometry approximated by the voxels | Seconds/build | T_{Voxel} | The summation of the time needed to process each voxel, T_{Voxel} xyz, in a three-dimensional array representing the discretized build configuration |
| | Build Time per Part | Seconds/part | T_{build} | A voxel (<i>volumetric pixel</i> or <i>Volumetric Picture Element</i>) is a volume element, representing a value on a regular grid in three dimensional space. |

Equations:

Total build time is calculated using the following equation:

$$T_{build} = (T_{job} + (T_{per_Layer} * l) + T_{Voxel})/NP$$

Steps 2 – Manufacturing Energy Consumption Estimation:

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|---|--|------------|------------------|--|
| Manufacturing - Energy Consumption Estimation | Energy Consumption Estimation: | | | |
| | Fixed energy consumption per build operation | Btu | E_{job} | E_{job} contains all energy consumption attributable to the build job, including energy consumed by the wire erosion process to remove the parts from the build plate. |
| | Time-dependent energy consumption rate | Btu/second | E_{Time_Rate} | A purely time-dependent element of power consumption must be expected in the continuous operation of the AM machine. This is denoted by the energy consumption rate E_{Time_Rate} (measured in Btu/s), which is multiplied by T_{build} to estimate total time-dependent energy consumption. Modeling E_{Time} as a constant reflects its interpretation as a mean baseline level of energy consumption throughout the build, originating from continuously operating machine components such as cooling fans, pumps, and the control system. |
| | Time-dependent energy consumption | Btu/build | E_{Time} | Obtained by multiplying the time dependent energy consumption rate, E_{Time_Rate} , by the total build time T_{build} . $E_{Time} = E_{Time_Rate} * T_{build}$ |

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|-----------|--|-----------------|-------------------------------|---|
| | Fixed energy consumption per layer | Btu/layer | E_{per_Layer} | Provide fixed energy consumption amount per layer. |
| | Total number of build layers | layers/build | I | Total number of layers per build operation. |
| | Total layer dependent energy consumption | Btu/build | E_{Layer} | Analogous to build time estimation, E_{Layer} denotes fixed elements of energy consumption per build and layer, for a total number of layers, I . |
| | The energy needed for the deposition of part geometry approximated by the voxels | Btu/part | E_{Voxel} | E_{Voxel} is the geometry-dependent energy consumption that can be obtained by adding all energy consumption associated with voxel deposition throughout the discretized workplace. Please note that E_{Voxel} does not contain time-dependent power consumption. |
| | Build Energy Consumption per Part | Btu/part | E_{build} | Total build energy consumption which is the summation of E_{job}, E_{Time}, E_{Layer}, and E_{Voxel}. |

Equations:

Total build energy consumption per part:

$$E_{build} = E_{job}/NP + (E_{Time_Rate} * T_{buid}) + (E_{per_layer} * I)/NP + E_{Voxel}$$

Steps 3 – Manufacturing - Manufacturing Embodied Energy Estimation:

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|--|--|----------|----------------|---|
| Manufacturing - Manufacturing Embodied Energy Estimation | Manufacturing Embodied Energy Estimation: | | | |
| | Build Energy Consumption per Part | Btu/part | E_{build} | As calculated above. |
| | Total input material initial mass | lb/part | $M_{final,AM}$ | As provided above. Total input material initial mass which is going through all the manufacturing and post-manufacturing processes. |
| | Secondary Process (machining/joining/finishing) | Select | AM_SP | Select secondary mode of material processing. Secondary processes take a shaped part and add features, join, and finish it. |

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|-----------|--|--------------|--------------------|---|
| | Secondary Process Energy Intensity | Btu/lb | EI_{AM_SP} | Embodied energy per lb processed for the secondary process - pulled from the "Embodied Energy - Process" tab. |
| | % of material removed by secondary process | % | $M_{AM_SP,\%}$ | Calculated. $M_{AM_SP,\%} = ((M_{initial,AM} - M_{final,AM}) / M_{initial,AM})$. |
| | Enter post-machining process or processes (heat treating, stress relieving, brazing, HIPing, inspection, etc.) | User defined | AM_PostM | Manually enter post-machining process or processes. Post-machining processes include heat treating, stress relieving, brazing, HIPing, inspection, etc.). |
| | Enter post-additive manufacturing process or processes energy intensity | Btu/lb | EI_{AM_PostM} | Provide post-machining process energy intensity. If more than one post-machining processes are involved, calculate combined energy intensity. |
| | % of material going through post-additive manufacturing processes (heat treating, stress relieving, brazing, HIPing, inspection, etc.) | % | $M_{AM_PostM,\%}$ | Provide % of material going through the post-machining processes. Typically, this number is going to be 100%. |
| | Additive Manufacturing process embodied energy use | Btu/lb | $EE_{AM,Advanced}$ | This is obtained by adding build energy consumption intensity and post-additive manufacturing processes energy intensities. |
| | Manufacturing energy use per Part | Btu/part | AM_EU | The total manufacturing energy per part – the first bar of the bar chart (Figure 2). |

Equations:

Additive manufacturing process embodied energy using advanced method of calculations provides the energy on a mass basis:

$$EE_{AM,Advanced} = (E_{build} / M_{final,AM}) + (M_{AM_SP,\%} * EI_{AM_SP}) + (M_{AM_PostM,\%} * EI_{AM_PostM})$$

The final calculation in this section is to calculate the additive manufacturing energy use per part based on the mass of the part entered by the user:

Simple method:

$$AM_EU = EE_{AM,Simple} * M_{initial,AM}$$

Intermediate method:

$$AM_EU = EE_{AM,Intermd} * M_{initial,AM}$$

Advanced method:

$$AM_EU = EE_{AM,Advanced} * M_{initial,AM}$$

Freight and Distribution Energy Analysis:

This step for the AM tab uses the same methodology as the CM tab.

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|--------------------------|---|-----------------|------------------------------|---|
| Freight and Distribution | Analysis Level | Select | FD_AL | Select analysis level - Simple or Intermediate. Depending upon analysis level, user gets more granularities in terms of freight and distribution modes and associated energy intensities. |
| | Freight and distribution method (Primary Mode) | Select | FDmode _{primary} | Select freight and distribution primary mode. |
| | Freight and distribution average energy use (Primary Mode) | Btu/lb.mile | El _{FD,primary} | The approximate energy of transportation (primary mode) – pulled from “Freight or Transportation” Tab. |
| | Average freight and distribution distance travelled by a part by primary mode | miles/mode | DIST _{FD,primary} | Provide average distance travelled by the product using the primary mode of transportation from the manufacturing site to point of use or sale. User can use this mode to cover international portion of the freight too. |
| | Freight and distribution method (Secondary Mode) | Select | FDmode _{secondary} | Select freight and distribution secondary mode. |
| | Freight and distribution average energy use (Secondary Mode) | Btu/lb.mile | El _{FD,secondary} | The approximate energy of transportation (secondary mode) – pulled from “Freight or Transportation” Tab. |
| | Average freight and distribution distance travelled by a part by secondary mode | Miles/mode | DIST _{FD,secondary} | Provide average distance travelled by the product using the secondary mode of transportation from the manufacturing site to point of use or sale. User can use this mode to cover domestic part of the freight too. |
| | Freight and distribution energy use per part | Btu/part | FD_EU | The total freight and distribution energy use per part – the third bar of the bar chart (Figure 2). |

Equations:

Freight and distribution energy use per part:

$$FD_EU = M_{final,AM} * (El_{FD,primary} * DIST_{FD,primary} + El_{FD,secondary} * DIST_{FD,secondary})$$

Use Phase Energy Analysis:

The use phase energy analysis for the AM calculations follows the same methodology as the CM tab.

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|-----------|--|--------|----------|--|
| Use | Typical life-span of the product manufactured using Additive Manufacturing Process | Years | P_Life | Product life-span in years. If you know the product life-span in days/months/# cycles, convert it into number of years. |
| | Sector/application area where this part is being used | Select | APP_Area | Select sector/application area from: Transportation, Commercial Buildings, Residential, and Industry. Transportation sector includes cars, trucks, buses, aircrafts, and even space shuttles. The "transportation + other" scenario takes care of applications where AM product is installed on or carried by a moving vehicle and also improving energy conversion efficiency (electric to thermal, thermal to mechanical, etc.). Under this scenario, the use phase energy consumption per part is the summation of transport and energy conversion related impacts. |

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|--------------------|---|-------------|---------------------|---|
| Use Phase - Step A | If the use phase is in transportation sector: Products that form part of, or are carried by, a transportation system add to its mass and thereby augment its energy burden. Strategy is to minimize mass and rolling resistance if the product is part of a system that moves. | | | |
| | Is this product part of or carried by a vehicle? | Select | Yes/No | If a product that form part of, or are carried by, a transportation system; select Yes, else select No. |
| | Select fuel and mobility type | Select | Trans_Type | Select transportation or mobility mode. |
| | Average energy use | Btu/lb.mile | El _{Trans} | Average energy use per weight per distance – pulled from “Use Phase” Tab. In principle, average energy use by mobility type in the use phase should be different from the freight and distribution phase. Use phase energy numbers should be just the additional energy use associated with an increase in mass, whereas freight energy use should include not only the energy use associated with additional mass, but also a share of the baseline energy use needed to move the vehicle even if it were not carrying any weight. |

| | | | | |
|--|---|----------------------|----------------|---|
| | Distance travelled per day | miles/day | $DIST_{day}$ | Enter usage pattern |
| | Usage | Days/year | $DAYS_{year}$ | Enter usage pattern |
| | Average energy use per lb of payload mass (only if the mobility type in cell D95 is "Spacecraft") | Btu/lb per flight | EI_{space} | NASA's space shuttle consumes around 5 TJ of solid propellant and 15 TJ of hydrogen fuel to lift the 100,000 kg vehicle (including the 25,000 kg payload) to an altitude of 111 km. |
| | Number of flights per year (only if the mobility type in cell D95 is "Spacecraft") | No. flights per year | $No_{flights}$ | Number of shuttle flights per year. |
| | Use phase life time energy use per unit mass | Btu/lb | UP_EI | Calculated value. $UP_EI = (EI_{trans} * DIST_{day} * DAYS_{year} * P_Life)$, if "Spacecraft", $UP_EI = (EI_{space} * No_{flights} * P_Life)$ |

| | | | | |
|--------------------|---|-----------|---------------|--|
| Use Phase - Step B | If the use phase is in other sectors: Some products are normally static but require energy to perform their function. Strategy is to increase thermal efficiency if the product is a thermal or thermo-mechanical system, or reduce electrical losses if the product is an electromechanical system. | | | |
| | Does this product require energy to perform its function? | Select | Yes/No | Select Yes/No. If a product is normally static but requires energy to perform their function, select Yes, else select No. |
| | Please select energy conversion type | Select | E_IO | Select energy in and out |
| | Average power drawn by the system while in operation | KW | PW_Rate | Enter power in KW. Take into consideration full load, partial load, and standby mode power usage while calculating AVERAGE power drawn by the system. (note: 1 KW = 3412.1 Btu per hour) |
| | Improvement in system efficiency from scenario 1 to 2 (only used while comparing CM and AM scenarios) | % | EFF_IMP | Improvement in system efficiency from scenario 1 to 2 scenario due to improved part geometry, reduced weight, improved performance, etc. If there is no improvement then use 0%. |
| | Approximate Usage (days per year) | Days/year | $DAYS_{year}$ | Enter usage pattern - Take into consideration and adjust for full load, partial load, and standby mode power usage while calculating the usage pattern. |
| | Approximate Usage (hours per day) | hr/day | $HOURS_{day}$ | Enter usage pattern - Take into consideration and adjust for full load, partial load, and standby modes while calculating the usage pattern. |
| | Use phase energy use per part | Btu/part | UP_EU | The total use phase energy use/part – the fourth bar of the bar chart (Figure 2). |

Equations:

Use phase energy use per part:

If the use phase is only in transportation sector:

$$UP_EU = UP_EI * M_{final,AM}$$

If the use phase is only in other sector:

$$UP_EU = (PW_Rate * (1 - EFF_IMP) * DAYS_{year} * HOURS_{day} * P_Life * 3412.1 \text{ Btu/kWh})$$

If the use phase is in both transportation and some other sector:

$$UP_EU = (UP_EI * M_{final,AM}) + (PW_Rate * (1 - EFF_IMP) * DAYS_{year} * HOURS_{day} * P_Life * 3412.1 \text{ Btu/kWh})$$

Disposal Phase Energy Analysis:

This step for the AM tab uses the same methodology as the CM tab.

| LCA Phase | Input Parameter | Unit | Value | Tool Guidance |
|------------------------|---|----------------------|---------------------------|--|
| Disposal (End of Life) | PRIMARY disposal method for material # 1 | Select | $DISP_{M1, Pmode}$ | Please select primary disposal method option for material # 1 – Landfill, Combustion or incineration, Composting, Open-loop recycling, Closed-loop recycling, or Other (Re-engineering/Reuse). In open-loop recycling, products are recycled into new products that are eventually disposed of. In closed-loop recycling, products are recycled again and again into the same product. |
| | Fraction of material # 1 disposed through the selected PRIMARY disposal method | % of final part mass | $DISP_{M1, \%, Pmode}$ | A fraction of material # 1 disposed using user selected primary disposal method |
| | Disposal energy use per unit mass (PRIMARY Disposal Method) - material # 1 (applicable only if open or closed-loop recycling selected - calculated) | Btu/lb | $(EEs_{M,1} - EEp_{M,1})$ | This is the difference between secondary (recycled) and primary (virgin) material embodied energies. Negative Btu/lb means that the manufacturing process using the recycled material shows lower burdens. Any additional material recycled is also credited to the product to reflect the good end-of-life performance of product under consideration. For simplicity, the amount of energy needed for deconstruction, sorting, processing, and shipping is not considered. |

| | | | | |
|--|--|----------------------|-------------------------|--|
| | Disposal energy use per unit mass (PRIMARY Disposal Method) - material # 1 (applicable for all other disposal methods except open or closed-loop recycling - user input) | Btu/lb | $EE_{M1,other, Pmode}$ | This is the amount of energy needed for disposing material 1 using the disposal method other than open or closed-loop recycling. If the disposal method is combustion, energy use per unit mass may be negative. |
| | SECONDARY disposal method for material # 1 | Select | $DISP_{M1, Smode}$ | Please select secondary disposal method option for material # 1 – Landfill, Combustion or incineration, Composting, Open-loop recycling, Closed-loop recycling, or Other (Re-engineering/Reuse). In open-loop recycling, products are recycled into new products that are eventually disposed of. In closed-loop recycling, products are recycled again and again into the same product. |
| | Fraction of material # 1 disposed through the selected SECONDARY disposal method | % of final part mass | $DISP_{M1, \%, Smode}$ | A fraction of material # 1 disposed using user selected secondary disposal method |
| | Disposal energy use per unit mass (SECONDARY Disposal Method) - material # 1 (applicable only if open or closed-loop recycling selected - calculated) | Btu/lb | $(EE_{S,1} - EE_{P,1})$ | This is the difference between secondary (recycled) and primary (virgin) material embodied energies. Negative Btu/lb means that the manufacturing process using the recycled material shows lower burdens. Any additional material recycled is also credited to the product to reflect the good end-of-life performance of product under consideration. For simplicity, the amount of energy needed for deconstruction, sorting, processing, and shipping is not considered. |
| | Disposal energy use per unit mass (SECONDARY Disposal Method) - material # 1 (applicable for all other disposal methods except open or closed-loop recycling - user input) | Btu/lb | $EE_{M1,other, Smode}$ | This is the amount of energy needed for disposing material 1 using the disposal method other than open or closed-loop recycling. If the disposal method is combustion, energy use per unit mass may be negative. |
| | Disposal (End of Life) energy use per part | Btu/part | DISP_EU | The total disposal energy use per part – the fifth bar of the bar chart (Figure 2). |

Equations:

Disposal energy use per part:

If only one material:

For open or closed-loop Recycling:

$$DISP_EU = M_{final,AM} * M_{1,\%} * (DISP_{M1,\%,Pmode} * (EES_{M,1} - EEp_{M,1})) + M_{final,AM} * M_{1,\%} * (DISP_{M1,\%,Smode} * (EES_{M,1} - EEp_{M,1}))$$

For Other Disposal Methods:

$$DISP_EU = M_{final,AM} * M_{1,\%} * DISP_{M1,\%,Pmode} * EE_{M1,other} + M_{final,AM} * M_{1,\%} * DISP_{M1,\%,Smode} * EE_{M1,other}$$

If two raw materials:

For open or closed-loop Recycling:

$$DISP_EU = M_{final,AM} * M_{1,\%} * (DISP_{M1,\%,Pmode} * (EES_{M,1} - EEp_{M,1})) + M_{final,AM} * M_{1,\%} * (DISP_{M1,\%,Smode} * (EES_{M,1} - EEp_{M,1})) + M_{final,AM} * M_{2,\%} * (DISP_{M2,\%,Pmode} * (EES_{M,2} - EEp_{M,2})) + M_{final,AM} * M_{2,\%} * (DISP_{M2,\%,Smode} * (EES_{M,2} - EEp_{M,2}))$$

For Other Disposal Methods:

$$DISP_EU = M_{final,AM} * M_{1,\%} * DISP_{M1,\%,Pmode} * EE_{M1,other} + M_{final,AM} * M_{1,\%} * DISP_{M1,\%,Smode} * EE_{M1,other} + M_{final,AM} * M_{2,\%} * DISP_{M2,\%,Pmode} * EE_{M2,other} + M_{final,AM} * M_{2,\%} * DISP_{M2,\%,Smode} * EE_{M2,other}$$

Results

The design of Results tabs (1) and (2) are similar. As mentioned in the Intro tab section, depending upon the scenario chosen by the user for analysis, the results are shown in either Results tab (1) or Results tab (2). In this section, we are explaining the design for Results tab (1). Similar tables and charts are provided in Results tab (2).

Energy Use per Part:

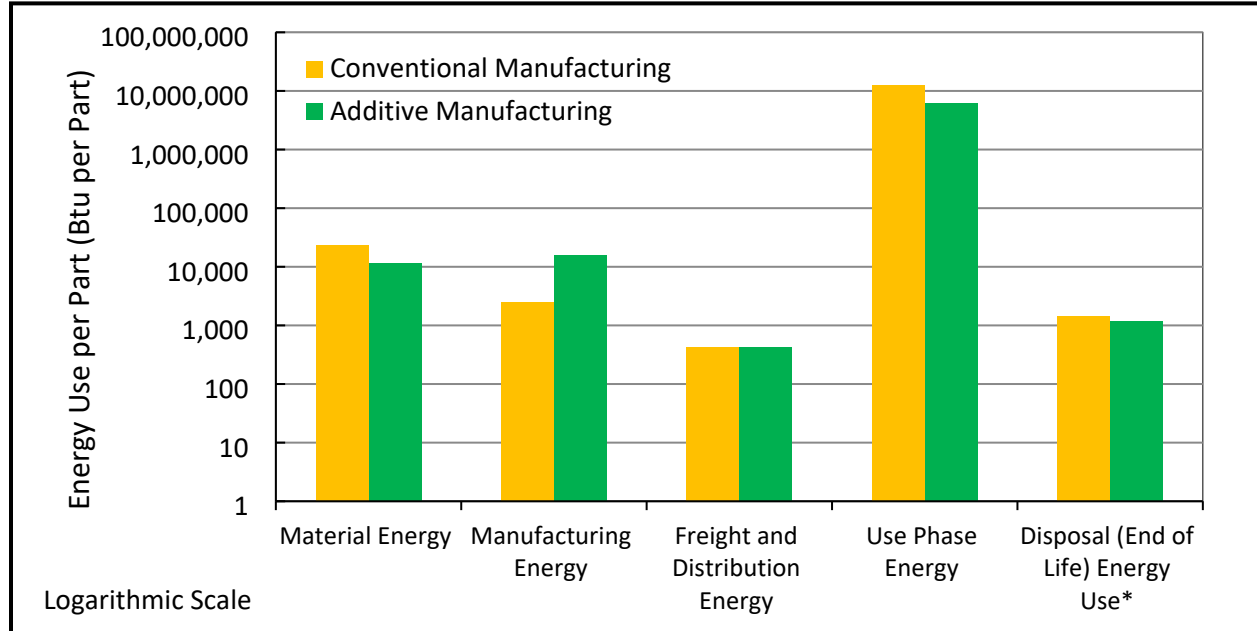
Table 3 summarizes the energy use at each phase as calculated in the AM and CM tabs and provides the total energy use per part on a life cycle basis.

Table 3: Results Table - Energy Use per Part

| Life Cycle Phases | Unit | Conventional Manufacturing | Additive Manufacturing |
|------------------------------------|-----------------|----------------------------|------------------------|
| Material Energy | Btu/part | 23,445 | 11,372 |
| Manufacturing Energy | Btu/part | 2,491 | 15,913 |
| Freight and Distribution Energy | Btu/part | 425 | 416 |
| Use Phase Energy | Btu/part | 12,742,943 | 6,237,335 |
| Disposal (End of Life) Energy Use* | Btu/part | 1,408 | 1,166 |
| Total Energy Use per Part | Btu/part | 12,770,713 | 6,266,203 |

Figure 8: Results Graph - Energy Use per Part

Figure 8 shows the graphical representation of Table 3 results on a logarithmic scale as presented in the Results tab.



* Negative energy use per part in disposal phase means that the manufacturing process using the recycled material shows lower burdens. Any additional material recycled is also credited to the product to reflect the good end-of-life performance of product under consideration.

Energy and Energy Cost Savings per Part:

Table 4: Energy Prices by Life Cycle Phase

Table 4 allows the user to enter the cost of energy for each step of the life cycle analysis in order for an estimate of the cost of energy at each stage and in total to be calculated in Table 5.

Default values are provided in \$/MMBtu but are user entered values for maximum flexibility and to account for variation in energy costs.

| Provide Unit Energy Prices by each life cycle phase | Input Parameter | Unit | Value | Tool Guidance |
|---|--|----------|-------|---|
| | Raw material related unit energy price | \$/MMBtu | \$5 | Typically, material manufacturing industry uses coal, coke, electricity, natural gas, and fuel oils. |
| | Manufacturing related unit energy price | \$/MMBtu | \$5 | Typically manufacturing industry uses natural gas, electricity, coal and fuel oils. |
| | Freight and Distribution related unit energy price | \$/MMBtu | \$9 | Typically transportation industry uses gasoline or diesel as their primary fuels. |
| | Use phase related unit energy price | \$/MMBtu | \$15 | If the use phase is aerospace industry - jet fuel, passenger vehicle, trucks, trains - gasoline or diesel. |
| | Disposal (End of Life) related unit energy price | \$/MMBtu | \$4 | It's a combination of gasoline (for transportation), electricity (for sorting and processing), natural gas (for incineration), etc. |

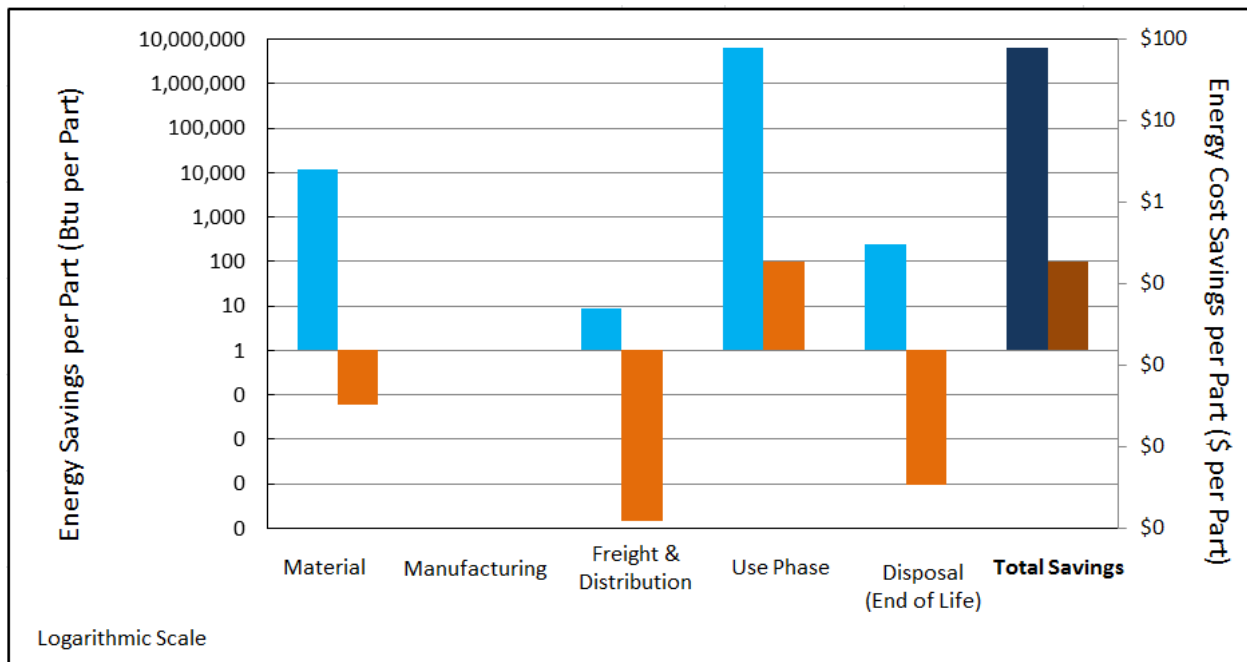
Table 5: Results Table - Energy and Energy Cost Savings per Part

This table summarizes the energy savings and cost savings due to energy for each stage. The energy savings is calculated by subtracting the value for each stage of the AM tab calculations from the CM tab calculations (Table 3). The energy cost savings per part is calculated by multiplying the energy savings per part by the energy cost provided in Table 4.

| Life Cycle Phases | Energy Savings per Part (Btu/part) (+ve numbers mean savings) | Energy Cost Savings per Part (\$/part) (+ve numbers mean savings) |
|--|---|---|
| Material | 12,074 | \$0.06 |
| Manufacturing | -13,422 | -\$0.07 |
| Freight and Distribution | 9 | \$0.00 |
| Use Phase | 6,505,608 | \$98 |
| Disposal (End of Life) | 242 | \$0.00 |
| Total Energy and Energy Cost Savings per Part | 6,504,510 | \$98 |

Figure 9: Results Graph - Energy Savings per Part

Figure 9 shows the graphical representation of the energy savings per part as calculated in Table 5 on a logarithmic scale in the Results tab.



Summary of Key Inputs and Assumptions:

The results section also includes a summary table of the key inputs and assumptions (see Figure 10) used in the life-cycle analysis. This table helps users in clearly presenting their inputs/assumptions along with output tables and charts to support the outcomes of their analysis.

Figure 10: A summary of key inputs and assumption

| Summary of Key Inputs and Assumptions: | | | | |
|--|--|-----------------------|----------------------------|---|
| Life Cycle Phase | Parameter | Unit | Conventional Manufacturing | Additive Manufacturing |
| Manufacturing Process | Process Name | | Polymers - Molding | Fused Deposition Modeling (FDM) of Polymers |
| Material | Material # 1 consumed to produce final part | Material | ULTEM 9085 - Pellets | ULTEM 9085 - Extruded Filament |
| | Material # 1 amount - % of total initial mass | % | 80% | 80% |
| | Material # 1 embodied energy (primary or virgin) | Btu/lb | 56,320 | 58,470 |
| | Material # 1 embodied energy (recycled engineered scrap) | Btu/lb | 56,320 | 58,470 |
| | Material # 2 consumed to produce final part | | Polyamide (nylon) | Polyamide (nylon) |
| | Material # 2 Amount - % of total initial mass | % | 20% | 20% |
| | Material # 2 embodied energy (primary or virgin) | Btu/lb | 52,666 | 52,666 |
| | Material # 2 embodied energy (recycled engineered) | Btu/lb | 18,272 | 18,272 |
| | Total input material initial mass | lb/part | 0.105 | 0.103 |
| | Final part mass | lb/part | 0.095 | 0.088 |
| | % Reduction in material Initial mass w.r.t. CM Process | % | NA | 2% |
| | % Reduction in Final part mass w.r.t. CM Process | % | NA | 7% |
| Manufacturing | Primary Manufacturing Process | Manufacturing Process | Polymers - Molding | Fused Deposition Modeling (FDM) of Polymers |
| | Analysis Level | | NA | Simple |
| | Primary Manufacturing Process Energy Intensity | Btu/lb | 8,169 | 76,526 |
| | Secondary Process (machining/joining/finishing) | | None | Other Secondary Process |
| | Secondary Process Energy Intensity | Btu/lb | 0 | 0 |
| | Tertiary Process (machining/joining/finishing) | | None | NA |
| | Tertiary Process Energy Intensity | Btu/lb | 0 | NA |
| Freight & Distribution | Analysis Level | | Simple | Simple |
| | Freight and distribution method (Primary Mode) | Primary Mode | Airplane | Ship |
| | Freight and distribution average energy use (Primary) | Btu/lb.mile | 24.76 | 0.84 |
| | Average freight and distribution distance travelled by a | miles/mode | 12,000 | 4,000 |
| | Freight and distribution method (Secondary Mode) | Secondary Mode | Long-Distance Truck | Long-Distance Truck |
| | Freight and distribution average energy use (Secondary) | Btu/lb.mile | 3.06 | 3.06 |

AM Tool's Capabilities/Constraints:

DOE/ORNL organized a project review meeting to review the Additive Manufacturing life cycle energy impacts analysis work which was held at ORNL on February 5 and 6, 2015. The intent was to bring-in outside and internal researchers including ORNL AM experts, to review the AM LCA tool and essentially have a project review meeting on the needs and utility of such methodology, and examine the current state-of-the-art and the anticipated advancements in AM, data needs and availability, standards, etc. As an outcome of this project review meeting, the following next steps for the AM LCA tool and future improvement opportunities were identified:

- **The current database of AM LCA tool has the limited energy intensity data for additive manufacturing processes**

The literature review indicates that there are significant inconsistencies in the energy intensity data for additive manufacturing processes. Compared to the traditional manufacturing processes (machining, casting, forging, etc.), process energy intensity data for relatively new additive manufacturing processes is very limited. Detailed data about

MJ/kg (or Btu/lb) for AM processes and for different materials is often lacking. The energy experiments conducted by other researchers so far (see literature summary) were incomplete; the work did not include a full range of power measurements on different additive machines using a range of materials nor have previous studies identify power levels in different operating modes. In general, previous work was focused on specific technologies (e.g. EBM machines) rather than covering all technology platforms (EBM, SLM, FDM, etc.). Within the LCA framework, there are areas where the integration of additional data can improve the energy estimates. There is a need to design and conduct experimental studies on energy, production time, and consumables (compressed air, nitrogen, argon, helium, etc.) and to develop process energy intensity (Btu/lb) and machine productivity (lb/hr) databases for various additive manufacturing platforms and materials. Experimental studies could provide detailed data on energy intensities for the most common AM processes and for different materials. The methodology and the AM tool have been designed to be adaptable to new data and information that can expand the fidelity of the energy estimates. The data from additional experimental studies could be used to validate the AM tool and be directly used in the AM tool to make it more valuable, accurate, and consistent.

- **The materials database of AM LCA tool needs to be updated with AM specific materials**

The material phase of the AM tool accounts for all the energy required to process a material (or materials) into a form that can be used to fabricate a particular product. The materials used in conventional as well as additive manufacturing processes may come in different forms and shapes. For example: metal ingots vs. metal powders, polymer pellets vs. extruded filaments, etc. The material phase includes all the energy required to process materials from mines to the manufacturing facility gate. This energy consumption is also called the embodied energy of the material. The current database included in the AM tool doesn't cover all the materials used today in various AM processes. Adding AM specific materials in the AM tool database along with their embodied energy data will add significant value and make the tool robust.

- **The LCA tool handles additive-only manufacturing processes.**
Current version of the AM tool doesn't handle hybrid additive-subtractive manufacturing processes that combine the best features of both AM and CM approaches. A hybrid layered manufacturing process combines the best features of both AM and CM approaches. In this process the near-net shape of the object is first built using AM; the near-net shape is then finish machined subsequently. The AM tool has separate calculators for AM and CM. It would be beneficial to develop a hybrid calculator to do LCA analysis on hybrid additive-subtractive manufacturing processes. For example – Lasertec 65 by DMG Mori Siewi (German company), hybrid system by HMT (UK based company), etc.
- **The tool strictly focuses on assessing the energy required across the entire lifecycle of the part (cradle to grave).**
The tool is not designed to model various cost components associated with the manufacturing processes. For example: lead-times and associated downtime costs, inventory costs, import/export costs, etc.

- **The tool assesses the energy required to fabricate the end-use part.**
 The tool doesn't specifically assess the energy required to build support structures/anchors. Also ignores post-processing of support structures. Parts made with the material extrusion, material jetting, vat photo-polymerization, and metal powder bed fusion processes use supports, which need to be removed after the build. Metal AM parts are often heat treated to remove internal stresses prior to the removal of support structures.
- **The LCA tool focuses and is tested on commonly used AM processes – powder bed fusion, material extrusion, and directed energy deposition.**
 The tool is not currently designed for or tested on parts produced by material jetting, binder jetting, sheet lamination, and vat photo-polymerization AM processes.
- **The tool currently contains a limited number of conventional manufacturing processes that may be compared to the additive manufacturing process part.**
 The tool can be limited on the number of conventional processes built into the tool input fields without modification. Also, energy intensity data provided in the tool may not cover all post-processing techniques including Heat treating, vibration grinding, micro-machining process, electro-chemical machining, spray coating, metal coating, etc.
- **The tool can be used to compare an additively manufactured and a conventionally manufactured part.** However, the LCA tool may be limited when comparing an additive part that has consolidated hundreds of conventional parts due to part accuracy of energy consumption for consolidation operation or assembly process(es).
- **There are two different classes of contributions for use phase analysis: products used in the transportation sector or non-transportation sector (or stationary) use.**
 The use phase of tool is extensively tested with multiple transport related products (for example - parts used on the aircrafts, components mounted on vehicles, etc.). The use phase needs to be tested for stationary applications too. Some products are normally static or stationary but require energy to perform their function. The parts manufactured by AM processes may help us to increase thermal efficiency if the product is a thermal or thermo-mechanical system, or reduce electrical losses if the product is an electromechanical system. It would be beneficial to test the tool with multiple stationary applications.

CHAPTER 4 – CASE STUDIES

This chapter provides an overview of four specific case studies that demonstrate the application of the AM Energy Impacts Assessment Tool. The first page for each case study provides a process flow diagram and energy savings table. The second page provides a summary of user inputs and assumptions used in the energy use per part analysis.

The four case studies included in this report are:

1. Aerospace Bracket – Electron Beam Machining (EBM) Vs. Conventional Machining
2. Topologically Optimized Aerospace Bracket - EBM vs. Conventional Machining
3. Aircraft Ventilation Assembly – Fused Deposition Modelling (FDM) vs. Injection Molding (IM), and
4. Hat Section Mold/Tool – FDM vs. Conventional Machining

The first two life cycle energy case studies for aircraft brackets compare 1) the same metal part made through additive manufacturing to the part made through the conventional process; and 2) an optimized metal part designed for additive manufacturing to the part designed and produced through the conventional process. The third case study covers the Aircraft Ventilation Nozzle manufactured by the FDM process. It compares the aircraft ventilation nozzle produced by FDM process with the traditional Injection Molding (IM) process. The fourth case study is based on a Hat Section Mold manufactured by the FDM process. This case study compared Hat Section Mold manufactured by the FDM process with the traditional machining process.

Case Study 1: Aerospace Bracket - EBM vs. Conventional Machining

Overview:

The part shown in Figure 11 is a bracket used in aircrafts to affix cabin structures (kitchens, lavatories, galleys, etc.). As previously discussed, some parts have an energy footprint for many years after they leave the factory. This is particularly relevant for the aerospace components which have long service life and where mass reductions can lead to both energy and cost savings. We have therefore selected a recognizable aerospace bracket as the basis of our first case study. The bracket under consideration is manufactured by conventional milling and machining processes with a buy-to-fly ratio of 33:1. The same bracket (same geometry) can be produced by Electron Beam Melting (EBM) process with a significantly lower buy-to-fly ratio (1.5:1). Figure 11 and Table 6 compare the lifecycle energy consumption of a conventional production system with that of an electron beam melting powder bed fusion AM process for a titanium aircraft cabin bracket. The energy savings are primarily the result of significantly reduced buy-to-fly ratio enabled by additive process.

Figure 11: Process Flow Diagram (Case study 1)

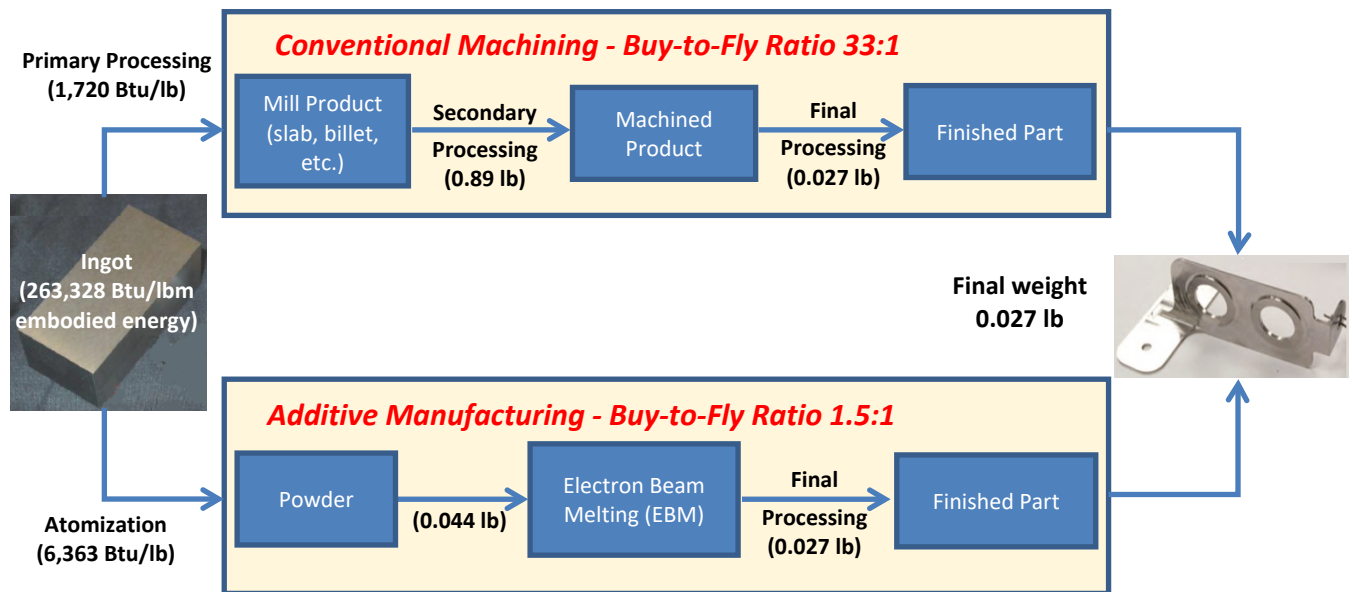


Table 6: Energy Savings Table (Case study 1)

| Life Cycle Phases | Unit | Conventional Manufacturing* | Additive Manufacturing | Energy Savings per Part |
|-----------------------------------|----------|-----------------------------|------------------------|-------------------------|
| Material Energy | Btu/part | 78,383 | 8,794 | 69,590 |
| Manufacturing Energy | Btu/part | 3,195 | 2,308 | 886 |
| Freight and Distribution Energy** | Btu/part | 455 | 455 | 0 |

| | | | | |
|-----------------------------------|-----------------|------------------|------------------|---------------|
| Use Phase Energy | Btu/part | 1,120,311 | 1,120,311 | 0 |
| Disposal (End of Life) Energy Use | Btu/part | (4,880) | (4,880) | 0 |
| Total Energy Use per Part | Btu/part | 1,197,464 | 1,126,988 | 70,476 |

*The benchmark energy consumption for conventional manufacturing methods is based on using current best practices and optimal equipment and methodologies for conventional manufacturing. Comparing less than the best for each technology is not really equally comparing the two technologies.

**It is assumed that the parts cannot be manufactured locally and must be shipped, thereby adding shipping charges that could otherwise be avoided. This phase doesn't account for the transport of the commodity product to the AM facility. That part is included in material phase.

Assumptions (Case study # 1):

| Life Cycle Phase | Parameter | Conventional Manufacturing | Additive Manufacturing |
|---------------------------------|--|---|--|
| Manufacturing Process | Process(es) Name: | Forging - Primary Machining - Finishing | Electron Beam Melting (EBM) |
| Material Phase | Material Name and embodied energy: | Aerospace Bracket – Titanium alloy 263,328 Btu/lb (primary) 37,403 Btu/lb (recycled) | Aerospace Bracket – Titanium alloy powder 269,691 Btu/lb (primary) 43,766 Btu/lb (recycled) |
| | Amount of material as a % of total initial mass: | 100% | 100% |
| | Percent of engineered scrap recovered and recycled onsite. | 80% | 80% |
| | Total material initial mass: | 0.89 lb | 0.044 lb |
| | Final part mass: | 0.027 lb | 0.027 lb |
| Manufacturing Phase | Primary manufacturing or shaping process and embodied energy: | Metals - Rough rolling, forging – 1,720 Btu/lb | Electron Beam Melting (EBM) – 51,133 Btu/lb |
| Freight and Distribution | Analysis level: | Simple | Simple |
| | Primary mode for Freight and distribution and embodied energy: | Long-Distance Truck 3.06 Btu/lb/mile | Long-Distance Truck 3.06 Btu/lb/mile |
| | Average freight and distribution distance travelled by a part by primary mode: | 3,107 miles | 3,107 miles |
| | Secondary mode for Freight and distribution and embodied energy: | Local Truck 8.4 Btu/lb/mile | Local Truck 8.4 Btu/lb/mile |
| | Average freight and distribution distance travelled by a part by secondary mode: | 870 miles | 870 miles |
| Use Phase | Typical life-span of the product: | 15 Years | 15 Years |
| | Use phase sector: | Transportation | Transportation |
| | Fuel and mobility type (embodied energy): | Long haul aircraft – Kerosene 2.18 Btu/lb/mile | Long haul aircraft – Kerosene 2.18 Btu/lb/mile |
| | Distance travelled per day and usage per year | 4,971 miles per day and 255 days per year | 4,971 miles per day and 255 days per year |

| | | | |
|-------------------------------|--|-----------------------|-----------------------|
| | If the use phase is in other sector: | NA | NA |
| | Energy input and output: | NA | NA |
| | Power rating: | | |
| | Usage (hours per day and days per year) | NA | NA |
| Disposal (End of Life) | Disposal method for material (embodied energy): | Closed Loop Recycling | Closed Loop Recycling |
| | Fraction of material # 1 disposed through the selected disposal method | 80% | 80% |
| | Disposal energy use per unit mass - material # 1 (difference between secondary (recycled) and primary material embodied energies.) | -225,925 Btu/lb | -225,925 Btu/lb |

Case Study 2: Topologically Optimized Aerospace Bracket - EBM vs. Conventional Machining

Overview:

The part shown in Figure 12 is again an aerospace bracket with a different geometry/design compared to the bracket discussed in case study 1. This bracket is typically produced by conventional milling and machining processes with a very high buy-to-fly ratio (8:1). The same bracket (a bracket with same functionality but with a topologically optimized geometry) can be produced by Electron Beam Melting (EBM) process with a significantly less buy-to-fly ratio (1.5:1). The optimized design results in a bracket that is 65% lighter, saving manufacturing materials and resulting in use phase energy savings. Figure 12 and Table 7 compare the lifecycle energy consumption of a conventional production system with that of an electron beam melting powder bed fusion AM process for a titanium aircraft cabin bracket. The energy savings are primarily due to two reasons: 1) a significantly reduced buy-to-fly ratio, and 2) light-weighting of the final part enabled by additive process.

Figure 12: Process Flow Diagram (Case study 2)

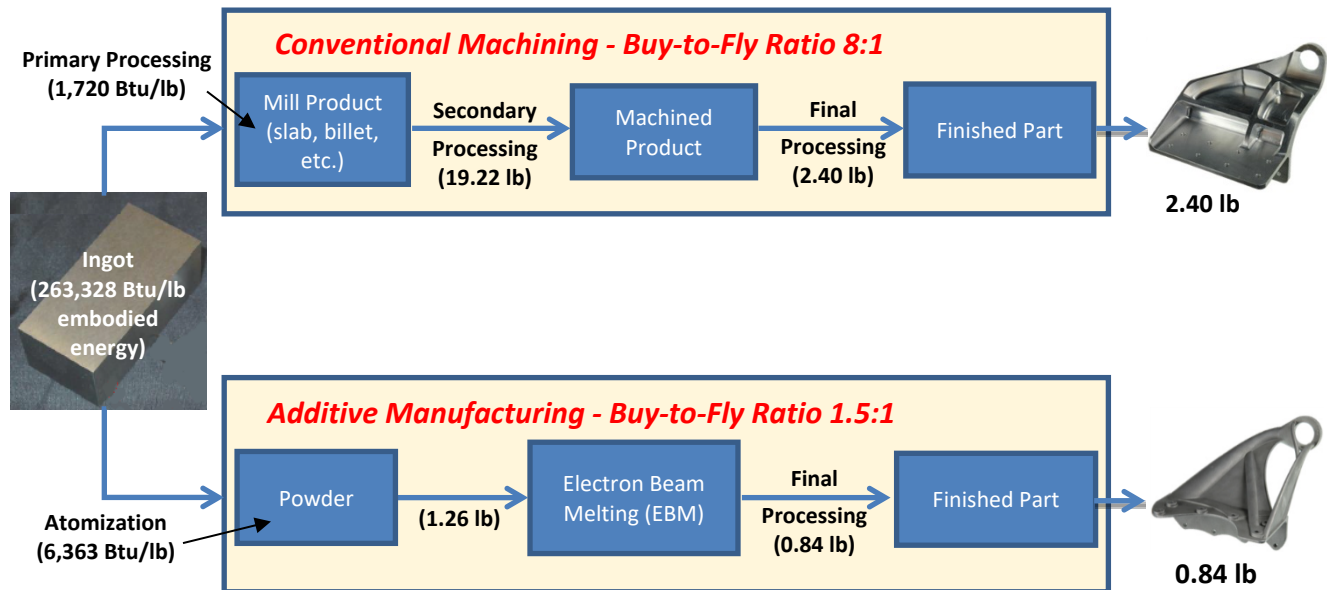


Table 7: Energy Savings Table (Case study 2)

| Life Cycle Phases | Unit | Conventional Manufacturing* | Additive Manufacturing | Energy Savings per Part |
|----------------------|----------|-----------------------------|------------------------|-------------------------|
| Material Energy | Btu/part | 2,021,120 | 263,900 | 1,757,221 |
| Manufacturing Energy | Btu/part | 65,485 | 65,872 | (387) |

| | | | | |
|-----------------------------------|-----------------|--------------------|-------------------|-------------------|
| Freight and Distribution Energy** | Btu/part | 40,462 | 14,161 | 26,301 |
| Use Phase Energy | Btu/part | 99,583,158 | 34,854,105 | 64,729,052 |
| Disposal (End of Life) Energy Use | Btu/part | (433,775) | (151,821) | (281,954) |
| Total Energy Use per Part | Btu/part | 101,276,449 | 35,046,216 | 66,230,233 |

*The benchmark energy consumption for conventional manufacturing methods is based on using current best practices and optimal equipment and methodologies for conventional manufacturing. Comparing less than the best for each technology is not really equally comparing the two technologies.

**It is assumed that the parts cannot be manufactured locally and must be shipped, thereby adding shipping charges that could otherwise be avoided. This phase doesn't account for the transport of the commodity product to the AM facility. That part is included in material phase.

Assumptions (Case study # 2):

| Life Cycle Phase | Parameter | Conventional Manufacturing | Additive Manufacturing |
|---------------------------------|--|--|---|
| Manufacturing Process | Process Name: | Forging - Primary Machining - Finishing | Electron Beam Melting (EBM) |
| Material Phase | Material Name and embodied energy: | Titanium alloy 263,328 Btu/lb (primary) 37,403 Btu/lb (recycled) | Titanium alloy powder 269,691 Btu/lb (primary) 43,766 Btu/lb (recycled) |
| | Amount of material as a % of total initial mass: | 100% | 100% |
| | Percent of engineered scrap recovered and recycled onsite | 80% | 80% |
| | Total material initial mass: | 19.22 lb | 1.26 lb |
| | Final part mass: | 2.4 lb | 0.84 lb |
| Manufacturing Phase | Primary manufacturing or shaping process and embodied energy: | Metals - Rough rolling, forging – 1,720 Btu/lb | Electron Beam Melting (EBM) – 51,133 Btu/lb |
| Freight and Distribution | Analysis level: | Simple | Simple |
| | Primary mode for Freight and distribution and embodied energy: | Long-Distance Truck 3.06 Btu/lb/mile | Long-Distance Truck 3.06 Btu/lb/mile |
| | Average freight and distribution distance travelled by a part by primary mode: | 3,107 miles | 3,107 miles |
| | Secondary mode for Freight and distribution and embodied energy: | Local Truck 8.44 Btu/lb/mile | Local Truck 8.44 Btu/lb/mile |
| | Average freight and distribution distance travelled by a part by secondary mode: | 870 miles | 870 miles |
| Use Phase | Typical life-span of the product: | 15 Years | 15 Years |
| | Use phase sector: | Transportation | Transportation |
| | Fuel and mobility type (embodied energy): | Long haul aircraft – Kerosene 2.18 Btu/lb/mile | Long haul aircraft – Kerosene 2.18 Btu/lb/mile |

| | | | |
|-------------------------------|--|---|---|
| | Distance travelled per day and usage per year | 4,971 miles per day and 255 days per year | 4,971 miles per day and 255 days per year |
| | If the use phase is in other sector: | NA | NA |
| | Energy input and output: | NA | NA |
| | Power rating: | | |
| | Usage (hours per day and days per year) | NA | NA |
| Disposal (End of Life) | Disposal method for material (embodied energy): | Closed Loop Recycling | Closed Loop Recycling |
| | Fraction of material # 1 disposed through the selected disposal method | 80% | 80% |
| | Disposal energy use per unit mass - material # 1 (difference between secondary (recycled) and primary material embodied energies.) | -225,925 Btu/lb | -225,925 Btu/lb |

Case Study 3: Aircraft Ventilation Assembly – FDM vs. IM

Overview:

The part shown in Figure 13 is an aircraft cabin air ventilation nozzle, which can be recognized by flyers over the world. The nozzle is in fact an assembly of five individual parts, which are fitted together post manufacture. These five component parts and their relative supply chains are used as the basis for this comparative case study. This case study looks at the product lifecycles of identical assemblies produced by FDM and injection molding. The data for the case study 3 is taken from the Stratasys white paper published in year 2010 [STRATASYS 2010]. Figure 13 and Table 8 compare the lifecycle energy consumption of a conventional production system with that of a Fused Deposition Modeling AM process for an Ultem 9085 aircraft ventilation model. The energy savings are primarily due to the lighter weight of the final part enabled by FDM process.

Figure 13: Process Flow Diagram (Case study 3)

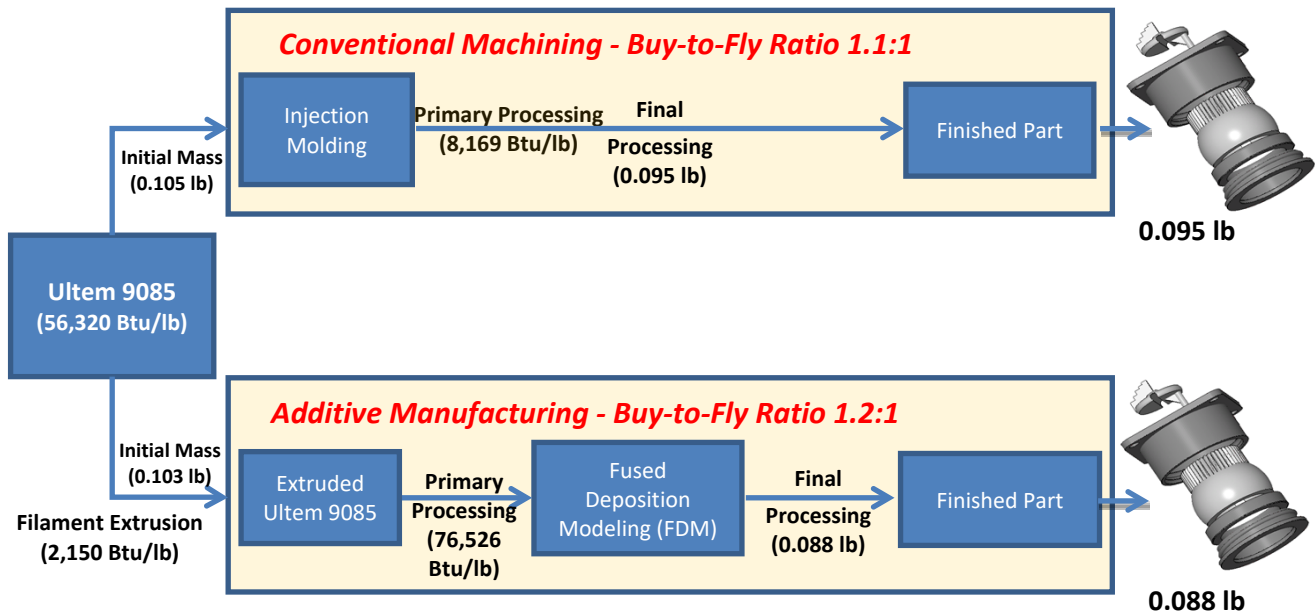


Table 8: Energy Savings Table (Case study 3)

| Life Cycle Phases | Unit | Conventional Manufacturing* | Additive Manufacturing | Energy Savings per Part |
|-----------------------------------|----------|-----------------------------|------------------------|-------------------------|
| Material Energy | Btu/part | 5,914 | 6,022 | (109) |
| Manufacturing Energy | Btu/part | 858 | 7,934 | (7,076) |
| Freight and Distribution Energy** | Btu/part | 425 | 394 | 31 |
| Use Phase Energy | Btu/part | 3,091,634 | 2,863,829 | 227,805 |

| | | | | |
|--------------------------------------|-----------------|------------------|------------------|----------------|
| Disposal (End of Life) Energy Use | Btu/part | 1,736 | 1,608 | 128 |
| Total Energy Use per Part | Btu/part | 3,100,566 | 2,879,787 | 220,779 |

*The benchmark energy consumption for conventional manufacturing methods is based on using current best practices and optimal equipment and methodologies for conventional manufacturing. Comparing less than the best for each technology is not really equally comparing the two technologies.

**It is assumed that the parts cannot be manufactured locally and must be shipped, thereby adding shipping charges that could otherwise be avoided. This phase doesn't account for the transport of the commodity product to the AM facility. That part is included in material phase.

Assumptions (Case study # 3) - Reference: [STRATASYS 2010]:

| Life Cycle Phase | Parameter | Conventional Manufacturing | Additive Manufacturing |
|---------------------------------|--|---|--|
| Manufacturing Process | Process Name: | Injection Molding (IM) | Fused Deposition Modeling (FDM) |
| Material Phase | Material Name and embodied energy: | ULTEM 9085 dried pellets – 56,320 Btu/lb | ULTEM 9085 extruded filaments – 58,470 Btu/lb |
| | Amount of material as a % of total initial mass: | 100% | 100% |
| | Percent of engineered scrap or waste material recovered and recycled onsite | 0% | 0% |
| | Total material initial mass: | 0.105 lb/part (Part material + 10% spruces. | 0.103 lb/part (Part material + 17% support. For calculation, we have assumed that the support material has the same embodied energy as the build material) |
| | Final part mass: | 0.095 lb/part | 0.088 lb/part (parts can be produced with variable density, reducing material consumption and part weight) |
| Manufacturing Phase | Primary manufacturing or shaping process and embodied energy: | Injection Molding (embodied energy 8,169 Btu/lb) | Fused Deposition Modeling (embodied energy 76,526 Btu/lb) |
| Freight and Distribution | Analysis level: | Intermediate | Intermediate |
| | Primary mode for Freight and distribution and embodied energy: | 32 metric ton truck – Diesel (0.65 Btu/lb/mile) | 32 metric ton truck – Diesel (0.65 Btu/lb/mile) |
| | Average freight and distribution distance travelled by a part by primary mode: | 1,189 miles (Raw material source - Delaware, Production location - Eden Prairie) | 1,189 miles (Raw material source - Delaware, Production location - Eden Prairie) |
| | Secondary mode for Freight and distribution and embodied energy: | Light goods vehicle – Diesel (1.73 Btu/lb/mile) | Light goods vehicle – Diesel (1.73 Btu/lb/mile) |
| | Average freight and distribution distance travelled by a part by secondary mode: | 2,141 miles (Assumed that the final customer is located at an aircraft assembly facility in California) | 2,141 miles (Assumed that the final customer is located at an aircraft assembly facility in California) |

| Life Cycle Phase | Parameter | Conventional Manufacturing | Additive Manufacturing |
|-------------------------------|--|---|---|
| Use Phase* | Typical life-span of the product: | 30 years | 30 years |
| | Use phase sector: | Transportation | Transportation |
| | Fuel and mobility type (embodied energy): | Short haul aircraft – kerosene (2.18 Btu/lb.mile) | Short haul aircraft – kerosene (2.18 Btu/lb.mile) |
| | Distance travelled per day and usage per year | 2,485 miles per day, 200 days per year. | 2,485 miles per day, 200 days per year. |
| | If the use phase is in other sector: | NA | NA |
| | Energy input and output: | NA | NA |
| | Power rating: | NA | NA |
| | Usage (hours per day and days per year) | NA | NA |
| Disposal (End of Life) | Disposal method for material (embodied energy): | Disposal method – Landfill | Disposal method – Landfill |
| | Fraction of material # 1 disposed through the selected disposal method: | 100% (Utem 9085 or Polyetherimide (PEI) is not a commodity polymer and as such it is highly unlikely that there will be a cost effective recycling route for this material) | 100% (Utem 9085 or Polyetherimide (PEI) is not a commodity polymer and as such it is highly unlikely that there will be a cost effective recycling route for this material) |
| | Disposal energy use per unit mass - material # 1 (applicable for other disposal methods except open or closed-loop recycling - user input) | 18,272 Btu/lb | 18,272 Btu/lb |

*As mentioned before, the data for the case study 3 is taken from the Stratasys white paper published in year 2010 [STRATASYS 2010]. The Stratasys white paper mainly focuses on the CO₂ emissions in material, manufacturing, freight & distribution, and use phases. It doesn't calculate CO₂ emissions per part in the disposal phase. The outcomes from the AM tool closely match with the results provided in the Stratasys white paper except the use phase. The use phase energy use per part (Btu/part) results are significantly different because of the following two reasons:

1. The energy intensity number for the short haul aircrafts in the Stratasys white paper is 14.1 Btu/lb.mile and in the AM Tool 2.18 Btu/lb.mile.
2. The total distance travelled by the short haul aircraft during its lifetime in the Stratasys white paper is 73 million kilometers and 24 million kilometers in the AM tool.

Case Study 4: Hat Section Mold/Tool – FDM vs. Conventional Machining

Overview:

The part shown in Figure 14 is a hat section mold/tool. In last few years, FDM process has demonstrated to provide a variety of pattern, mold, and tooling options that can significantly reduce cycle times and cost. The ability to rapidly process Computer Aided Drafting (CAD) files is an effective means to optimize the mold design, cycle times, and cost of the desired molds/tooling. The case study 4 confirms that FDM tooling is not only functional but also demonstrates a number of programmatic and ergonomic advantages. Because FDM tooling is lighter than traditional tooling methods (forging or casting with heavy machining), the need for fork trucks, hoists, and dollies are reduced. The lightweight molds also reduce energy consumption in the freight and distribution phase. Figure 14 and Table 9 compare the lifecycle energy consumption of a conventional production system for a stainless steel hat section mold with that of a Fused Deposition Modeling AM process for an Ultem 9085 hat section mold. The energy savings are primarily due to the light-weighting of the final part and the reduction in initial raw material needed to produce the hat section mold.

Figure 14: Process Flow Diagram (Case study 4)

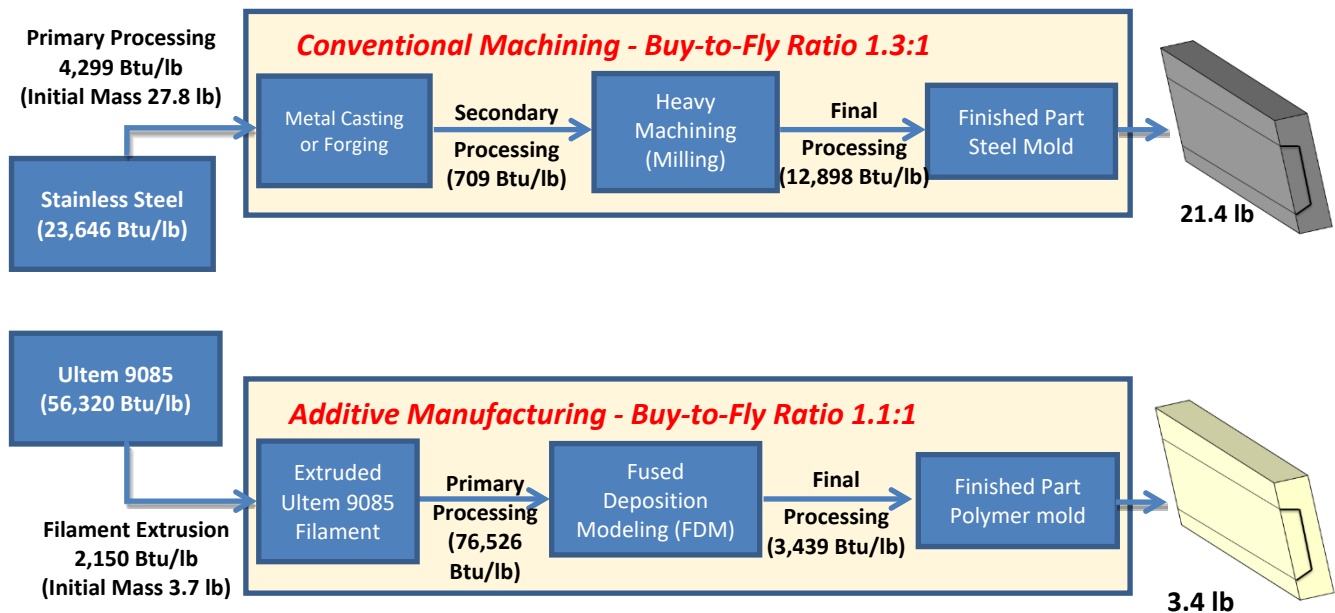


Table 9: Energy Savings Table (Case study 4)

| Life Cycle Phases | Unit | Conventional Manufacturing* | Additive Manufacturing | Energy Savings per Part |
|----------------------|----------|-----------------------------|------------------------|-------------------------|
| Material Energy | Btu/part | 850,345 | 288,450 | 561,895 |
| Manufacturing Energy | Btu/part | 137,132 | 378,905 | (241,774) |

| | | | | |
|-----------------------------------|-----------------|----------------|----------------|---------------|
| Freight and Distribution Energy** | Btu/part | 296,449 | 36,666 | 259,782 |
| Use Phase Energy | Btu/part | 0 | 0 | 0 |
| Disposal (End of Life) Energy Use | Btu/part | (533,620) | 195 | (533,815) |
| Total Energy Use per Part | Btu/part | 750,305 | 704,216 | 46,089 |

*The benchmark energy consumption for conventional manufacturing methods is based on using current best practices and optimal equipment and methodologies for conventional manufacturing. Comparing less than the best for each technology is not really equally comparing the two technologies.

**It is assumed that the parts cannot be manufactured locally and must be shipped, thereby adding shipping charges that could otherwise be avoided. This phase doesn't account for the transport of the commodity product to the AM facility. That part is included in material phase.

Assumptions (Case study # 4):

| Life Cycle Phase | Parameter | Conventional Manufacturing | Additive Manufacturing |
|---------------------------------|--|--|---|
| Manufacturing Process | Process Name: | Metal casting and heavy machining (milling) | Fused Deposition Modeling (FDM) |
| Material Phase | Material 1 Name and embodied energy: | Stainless Steel – 36,328 Btu/lb (Primary), 5,159 Btu/lb (Secondary) | ULTEM 9085 extruded filaments – 58,470 Btu/lb (Primary and Secondary) |
| | Amount of material 1 as a % of total initial mass: | 100% | 100% |
| | Percent of engineered scrap recovered and recycled onsite | 80% | 0% |
| | Total material initial mass: | 27.8 lb | 3.7 lb |
| | Final part mass: | 21.4 lb | 3.4 lb |
| Manufacturing Phase | Primary manufacturing or shaping process and embodied energy: | Casting (embodied energy 4,299 Btu/lb), Heavy Machining (709 Btu/lb), Grinding (12,898 Btu/lb). | Fused Deposition Modeling (embodied energy 76,526 Btu/lb), Other finishing processes (3,439 Btu/lb) |
| | Accounting for Tooling and Machine Tools: | Tungsten Carbide (171,969 Btu/lb) machine tools, Number of tooling 3 and average mass per tooling 1 1 lb/tool. Total number of parts produced during tooling lifetime 100. | NA |
| Freight and Distribution | Analysis level: | Simple | Simple |
| | Primary mode for Freight and distribution and embodied energy: | Ship | Long-Distance Truck |
| | Average freight and distribution distance travelled by a part by primary mode: | 7,456 miles (imported from China) | 2,485 miles (Domestic travel) |

| Life Cycle Phase | Parameter | Conventional Manufacturing | Additive Manufacturing |
|------------------------|--|-------------------------------|-----------------------------|
| | Secondary mode for Freight and distribution and embodied energy: | Long-Distance Truck | Long-Distance Truck |
| | Average freight and distribution distance travelled by a part by secondary mode: | 2,485 miles (Domestic travel) | 155 miles (Domestic travel) |
| Use Phase | Typical life-span of the product: | 1000 Cycles or 1 year | 750 Cycles or 0.75 year |
| | Use phase sector: | Industry | Industry |
| | Fuel and mobility type (embodied energy): | NA | NA |
| | Distance travelled per day and usage per year | NA | NA |
| | If the use phase is in other sector: | NA | NA |
| | Energy input and output: | NA | NA |
| | Power rating: | NA | NA |
| | Usage (hours per day and days per year) | NA | NA |
| Disposal (End of Life) | Disposal method for material (embodied energy): | Closed-loop Recycling | Landfill |
| | Fraction of material # 1 disposed through the selected disposal method | 80% | 100% |
| | Disposal energy use per unit mass - material # 1 (applicable for other disposal methods except open or closed-loop recycling - user input) | -31,169 Btu/lb | 43 Btu/lb (0.1 MJ/kg) |

Conclusions:

Over the last few years, there has been an increasing level of interest in the use of AM to increase sustainability and increase energy efficiency. This has led to a number of industrial initiatives and government funded research projects focused on understanding the sustainability of this disruptive manufacturing approach. The case studies discussed in this report have focused on the ‘life-cycle’ of parts made using AM, and in all cases, have demonstrated that AM could be a more sustainable alternative than conventional manufacturing when used as a production technology for select transport related components. All case studies show that the ability to manufacture lighter weight complex products using less raw material with little (if any) energy penalty in the manufacturing phase. These case studies indicate that AM can be a strong contender as a sustainable production approach.

As we discussed in the report, the life-cycle of a product has five distinct phases. Initially raw materials must be extracted and produced. These materials are then processed using different manufacturing operations; often at different locations necessitating freight and distribution. The part is then put into service and used for its intended purpose. When the product reaches its end-of-life, it can be reused, recycled or disposed of. At each of these stages, energy is consumed,

for example - the electrical energy needed to run machine tools, or the energy consumed by vehicles used within the supply chain. For many static products (e.g. parts used in industrial plants, commercial buildings, or residential homes), the vast majority of energy use comes from the production of the raw materials. Hence, using fewer raw materials has a significant benefit. Additional innovations in design enabled by additive manufacturing could also lead to more efficient energy generation (e.g. turbine blades) which could offer additional sustainability benefits. For transport related products, such as aerospace or automotive components, the use phase energy consumption contributes most significantly to the overall life-cycle energy use of the part. Simply speaking, the more a part weighs, the more load it places on the engine of a car, plane or train, and the greater the fuel consumption. Hence, if we can reduce part weight with AM, then we can reduce the overall life-cycle energy use of the part and reduce the resulting greenhouse gas emissions.

REFERENCES

AMERICAN AIRLINES 2007:

"Committed to Preserving the Wonders of Our World," American Airlines, Prepared by AMR, 2007. Available: <http://www.aa.com/content/images/amrcorp/amrerr.pdf>.

ATKINS 2011:

The Atkins – Rapid Manufacturing a Low Carbon Footprint, <http://www.atkins-project.com>

AZOM:

AZoM.com. An Original Source of AZOM data is Granta Design Limited - www.grantadesign.com and Ceram Research Limited - www.ceram.co.uk.

BAUMERS 2011:

"Energy Inputs to Additive Manufacturing: Does Capacity Utilization Matters?" M. Baumanns, C. Tuck, R. Wildman, I. Ashcroft, R. Hague Loughborough University, August 2011.

BAUMERS 2013:

"Transparency Build-in, Energy Consumption and Cost Estimation for Additive Manufacturing," Martin Baumanns, Chris Tuck, et.al., *Journal of Industrial Ecology*, Volume 17, Issue, 3, pages 418-431, June 2013.

BERGSMA 2013:

"End-of-life Best Approach for Allocating Recycling Benefits in LCAs of Metal Packaging," Geert Bergsma Maartje Sevenster, February 2013.

BOURHIS 2013:

"Sustainable Manufacturing: Evaluation and Modeling of Environmental Impacts in Additive Manufacturing," Florent Bourhis, et.al., *International Journal for Advanced Manufacturing Technologies*, June 2013.

CEEAM 2012:

Components for Energy Efficiency in Transport by Additive Manufacturing (CEEAM2012), <http://www2.le.ac.uk/departments/physics/research/src/res/additive>.

DAHMUS 2004:

"An Environmental Analysis of Machining," Jeffrey B. Dahmus and Timothy G. Gutowski, 2004 ASME International Mechanical Engineering Congress and RD&D Expo, November 13-19, 2004, Anaheim, California USA.

DOE AMO:

U.S. Department of Energy's Advanced Manufacturing Office, <http://www1.eere.energy.gov/manufacturing>

FACANHA & HORVATH 2007:

"Evaluation of life-cycle air emission factors of freight transportation," Facanha, C., and Horvath, A., *Environmental Science & Technology*, 41(20), Pp 7138-7144, 2007.

GEYER 2012:

"User Guide for Version 3 of the WorldAutoSteel Energy and GHG Model," Roland Geyer, University of California at Santa Barbara, CA, On Behalf of WorldAutoSteel – World Steel Association January 6, 2012.

GHENAI 2012:

"Sustainable Engineering and Eco Design," Chaouki Ghenai, Chapter in book: *Sustainable Development - Energy, Engineering and Technologies - Manufacturing and Environment*, 2012.

HAMMOND & JONES 2011:

"Inventory of Carbon & Energy (ICE)," Geoff Hammond and Craig Jones, Sustainable Energy Research Team (SERT), University of Bath, UK, 2011.

<http://web.mit.edu/2.813/www/readings/ICEv2.pdf.old>

HELMS & LAMBRECHT 2006:

"The potential contribution of light-weighting to reduce transport energy consumption," Helms, H., and U. Lambrecht, *The International Journal of Life Cycle Assessment*, 2006.

IJAMT 2013:

"Sustainable Manufacturing: Evaluation and Modeling of Environmental Impacts in Additive Manufacturing," Florent Bourhis, et.al., *International Journal for Advanced Manufacturing Technologies*, June 2013.

KEOUGH 2011:

"Austempered Ductile Iron (ADI) – A Green Alternative," J. R. Keough, the American Foundry Society, Schaumburg, Illinois, USA (www.afsinc.org), 2011.

LUFTHANSA 2011:

"Fuel efficiency at the Lufthansa Group - Cutting costs and protecting the environment," Lufthansa Group, 2011. Available: <http://www.lufthansagroup.com/fileadmin/downloads/en/LH-fuel-efficiency-0612.pdf>.

M. ASHBY:

Material and the Environment - Eco-Informed Material Choice, Second Edition, Michael F. Ashby.

MIT 2004:

"An Environmental Analysis of Machining," Jeffrey Dahmus and Timothy Gutowski, Massachusetts Institute of Technology 2004 ASME International Mechanical Engineering Congress and RD&D Expo November 13-19, 2004, Anaheim, California USA.

OECD 1997:

“The Environmental Effects of Freight”, Table 9, Truck Air Pollution Emission Factors, in grams/tonne-km. Available at <http://www.oecd.org/trade/envtrade/2386636.pdf>

PENN STATE:

Polymer Properties:

<http://enr.bd.psu.edu/rxm61/METBD470/Lectures/PolymerProperties%20from%20CES.pdf>

SAVING 2009:

The SAVING project (Sustainable product development via design optimization and Additive manufacturing), <http://www.manufacturingthefuture.co.uk>.

STRATASYS 2010:

“The Sustainability of Fused Deposition Modeling - Establishing the Green Credentials,” Stratasys White Paper, 2010.

STROGEN & HORVATH 2013:

“Greenhouse Gas Emissions from the Construction, Manufacturing, Operation and Maintenance of US Distribution Infrastructure for Petroleum and Biofuels,” Bret Strogen and Arpad Horvath, *Journal of Infrastructure Systems*, Volume 19, Issue 4, Pp 371-383, December 2013.

STODOLSKY & VYAS 1995:

“Life-Cycle Energy Savings Potential from Aluminum-Intensive Vehicles,” F. Stodolsky, A. Vyas, R. Cuenca, and L. Gaines, Transportation Technology R&D Center, Argonne National Laboratory, 1995. <http://www.transportation.anl.gov/pdfs/TA/106.pdf>

UT AUSTIN 2010:

“Assessing Energy Requirements and Material Flows of Selective Laser Sintering of Nylon Parts,” Telenko, C. and Seepersad, C., *Solid Freeform Fabrication Proceedings*; Pp 289-297, 2010.

VICTORIA:

Embodied Energy Coefficients - Victoria University of Wellington, New Zealand.

<http://www.victoria.ac.nz/architecture/centres/cbpr/resources/pdfs/ee-coefficients.pdf>

VIGON 1992:

Life-Cycle Assessment – Inventory Guidelines and Principles, B. W. Vigon, et.al., November 1992.

APPENDIX

This appendix contains different values for embodied energies in Life Cycle phases including raw material, process, use, freight and distribution, and recycle. This data represents the most updated values that were obtained from various credible sources. It should be mentioned that this data will be continuously updated as more information becomes available. The data used in this calculator is included in the following six appendices:

Appendix 1: Raw Material Embodied Energy (Btu/lb)

Appendix 2: Cutting Tools Material Embodied Energy (Btu/lb)

Appendix 3a and 3b: Primary Shaping Processes Embodied Energy (Btu/lb)

Appendix 4a and 4b: Additive Manufacturing Processes Embodied Energy (Btu/lb)

Appendix 5: Transportation Mode Embodied Energy (Btu/lb.mile)

Appendix 6: Other Transportation Modes Embodied Energy (Btu/lb.mile)

Appendix 7: Use Phase Energy Consumption (Btu/lb.mile)

Appendix 1: Material Embodied Energy

| Material | Material Embodied Energy (Btu/lb) (Primary or Virgin) | Material Embodied Energy (Btu/lb) (Secondary or Recycled) | Data Source |
|---|--|--|-------------|
| Acrylonitrile Butadiene Styrene (ABS) - Extruded Filament | 40,843 | 20,097 | 9 & 1 |
| Acrylonitrile Butadiene Styrene (ABS) - Pellets | 40,628 | 19,991 | 1 |
| Aluminum | 90,284 | 11,178 | 1 |
| Aluminum alloys | 90,284 | 11,178 | 1 |
| Blended PC-ABS - Extruded Filament | 46,002 | 46,002 | 9 |
| Blended PC-ABS - Pellets | 44,282 | 44,282 | 9 |
| Cast Aluminum (Primary, average) | 24,936 | 9,888 | 1 |
| Cast iron, ductile | 7,739 | 4,514 | 1 |
| Carbon fiber (polyolefin-based) | 166,810 | 166,810 | 1 |
| Carbon fiber reinforced polymer (CFRP) | 204,213 | 204,213 | 1 |
| Cobalt-chromium alloys | 159,072 | 28,922 | 1 |
| Copper & Brass | 20,421 | 4,673 | 9 |
| Copper alloys | 25,365 | 5,804 | 1 |
| Glass, soda-lime | 4,514 | 3,525 | 1 |
| Glass, borosilicate (Pyrex) | 12,253 | 9,243 | 1 |
| Glass fiber | 28,160 | 28,160 | 1 |
| Glass fiber reinforced polymer (GFRP) | 48,366 | 48,366 | 1 |
| Gold | 108,555,558 | 294,282 | 1 |
| Lead alloys | 11,608 | 3,203 | 1 |
| Magnesium Alloys | 135,426 | 10,533 | 1 |
| Nickel-chromium alloys | 78,031 | 14,187 | 1 |
| Nickel-based super alloys | 99,957 | 15,327 | 1 |
| Phenolics | 33,964 | 33,964 | 1 |
| Polyamide (nylon) | 52,666 | 18,272 | 7 |
| Polycarbonate (PC) - Extruded Filament | 49,011 | 19,198 | 9 & 1 |
| Polycarbonate (PC) - Pellets | 46,647 | 18,272 | 1 |
| Polyethylene (PE) | 34,824 | 21,496 | 1 |
| Polyphenylsulfone (PPSU or PPSF) - Extruded Filament | 75,237 | 75,237 | 9 |
| Polyphenylsulfone (PPSU or PPSF) - Pellets | 73,087 | 73,087 | 9 |
| Polypropylene | 33,964 | 21,496 | 1 |
| Polystyrene | 41,703 | 20,421 | 1 |
| PrimePart FR (PA 2241 FR) | 41,488 | 41,488 | 7 |
| PrimePart ST (PEBA) | 41,488 | 41,488 | 7 |
| Rubber, natural | 29,020 | 29,020 | 1 |
| Silver | 634,136 | 66,638 | 1 |
| Steel, low carbon | 11,393 | 3,138 | 1 |
| Steel, low alloy | 13,972 | 3,697 | 1 |
| Stainless steel | 36,328 | 5,159 | 1 |

| | | | |
|-----------------------------------|---------|--------|---|
| Titanium alloy (Ti-6Al-4V) | 263,328 | 37,403 | 1 |
| Titanium alloy powder (Ti-6Al-4V) | 269,691 | 43,766 | Ti alloy + atomization (14.8 MJ/kg) |
| ULTEM 9085 - Extruded Filament | 58,470 | 58,470 | 9 |
| ULTEM 9085 - Pellets | 56,320 | 56,320 | 9 |
| Zinc | 21,926 | 4,020 | 2 |
| Zinc die-casting alloys | 25,795 | 4,729 | 1 |

Sources (Appendix 1) - see the reference section for more details.

1. [M. ASHBY]
2. [VICTORIA]
3. [KEOUGH 2011]
4. [ATKINS 2011]
5. [HAMMOND & JONES 2011]
6. [GHENAI 2012]
7. [PENN STATE]
8. [STODOLSKY & VYAS 1995]
9. [STRATASYS 2010]

Appendix 2: Cutting Tool Materials Embodied Energy

| Raw Material | Raw Material Embodied Energy (MJ/kg) (Primary or Virgin) | Raw Material Embodied Energy (Btu/lb) (Primary or Virgin) | Source |
|--|---|--|---------------|
| Carbon tool steels | 32.5 | 13,972 | 3 |
| High speed steel (HSS) | 84.5 | 36,328 | 3 |
| Cast cobalt alloys | 188 | 80,611 | 2 |
| Cemented carbide | 1,000 | 429,923 | 2 |
| Ceramics (alumina, silicon nitride, silicon carbide) | 1,000 | 429,923 | 2 |
| Cubic Boron Nitride (CBN) | 250 | 107,481 | 2 |
| Tungsten Carbide (WC) | 400 | 171,969 | 1 |

Sources (Appendix 2) - see the reference section for more details.

1. [DAH MUS 2004]
2. [AZOM]
3. [M. ASHBY]

Appendix 3a: Primary Shaping Processes Energy

| Primary shaping processes | Average Energy Intensity (MJ/kg) | Average Energy Intensity (Btu/lb) | Data Source |
|----------------------------------|----------------------------------|-----------------------------------|-------------|
| Metals – Casting | 10 | 4,299 | [M. ASHBY] |
| Metals - Rough rolling, forging | 15.9 | 6,838 | |
| Metals - Extrusion, foil rolling | 15 | 6,449 | |
| Metals - Wire drawing | 30 | 12,898 | |
| Metals - Metal powder forming | 25 | 10,748 | |
| Metals - Vapor phase methods | 50 | 21,496 | |
| Polymers – Extrusion | 4.25 | 1,827 | |
| Polymers – Molding | 19 | 8,169 | |
| Ceramic powder form | 25 | 10,748 | |
| Glass molding | 3 | 1,290 | |
| Hybrids - Compression molding | 13.5 | 5,804 | |
| Hybrids - Spray/Lay up | 16 | 6,879 | |
| Hybrids - Filament winding | 3.35 | 1,440 | |
| Hybrids - Autoclave molding | 200 | 85,985 | |
| Other Primary Process | User Input | User Input | |

Appendix 3b: Secondary Shaping Processes Embodied Energy

| Secondary Processes | Average Energy Intensity (MJ/kg) | Average Energy Intensity (Btu/lb) | Data Source |
|-----------------------------------|----------------------------------|-----------------------------------|-------------|
| Machining – Heavy | 108.9 | 46,819 | [M. ASHBY] |
| Machining - Finishing (light) | 8 | 3,439 | |
| Machining – Grinding | 30 | 12,898 | |
| Machining - Water jet, EDM, Laser | 2750 | 1,182,288 | |
| Joining - Gas welding | 1.9 | 817 | |
| Joining - Electric welding | 2.6 | 1,118 | |
| Joining - Fasteners, small | 0.03 | 13 | |
| Joining - Fasteners, large | 0.075 | 32 | |
| Joining - Adhesives, cold | 10.5 | 4,514 | |
| Joining - Adhesives, heat-curing | 29 | 12,468 | |
| Painting – Painting | 55 | 23,646 | |
| Painting - Baked coatings | 65 | 27,945 | |
| Painting - Powder coating | 76.5 | 32,889 | |
| Electroplating | 90 | 38,693 | |
| Other Secondary Process | 8 | 3,439 | |

Appendix 4a: Additive Manufacturing Processes Embodied Energy

| Additive Manufacturing Processes | Average Energy Intensity (MJ/kg) | Average Energy Intensity (Btu/lb) | Data Source |
|--|----------------------------------|-----------------------------------|-----------------------------|
| Powder Bed Fusion Processes (Average): | 131.8 | 56,677 | Average (Calculated) |
| Selective Laser Melting (SLM) | 118.2 | 50,800 | 1 |
| Direct Metal Laser Sintering (DMLS) | 158.4 | 68,100 | 1 |
| Electron Beam Melting (EBM) | 118.9 | 51,133 | 1 |
| Directed Energy Deposition Processes (Average): | 118.2 | 50,815 | Average (Calculated) |
| Laser Engineered Net Shaping (LENS) | NA | NA | Assumed (equal to DMD) |
| Direct Metal Deposition (DMD) | 98.3 | 42,244 | 1 |
| Direct Manufacturing (DM) | NA | NA | Assumed (equal to DMD) |
| Fused Deposition Modeling (FDM) of Polymers | 178.0 | 76,526 | 2 |
| Other AM Process | User Input | User Input | User input |

Appendix 4b: Additive Manufacturing Processes Embodied Energy *(just for reference – not used in the tool)* [Source 3]

| Technology | Machines | Materials | Average Energy Intensity (MJ/kg) | Average Energy Intensity (MJ/kg) |
|---------------------------|------------------------|-------------------------------|----------------------------------|----------------------------------|
| Stereolithography | SLA-250 | Epoxy resin (SLA 5170) | 117 | 50,270 |
| | SLA-3000 | Epoxy resin (SLA 5170) | 149 | 64,091 |
| | SLA-5000 | Epoxy resin (SLA 5170) | 75 | 32,038 |
| Selective Laser Sintering | Sinterstation DTM 2000 | Polyamide | 144 | 61,924 |
| | Sinterstation DTM 2500 | Polyamide | 107 | 46,076 |
| | Vanguard HiQ | Polyamide | 52 | 22,504 |
| | EOSINT (M250 Xtended) | Metallic Powder (Bronze + Ni) | 19 | 8,373 |
| | EOSINT (P760) | Polyamide PA2200 Balance 1.0 | 131 | 56,492 |
| | | Polyamide PA2200 Speed 1.1 | 143 | 61,599 |
| | | Polyamide PA3200GF | 95 | 40,705 |
| Fused Deposition Modeling | FDM 1650 | ABS Plastic | 1,247 | 536,178 |
| | FDM 2000 | ABS Plastic | 416 | 178,731 |
| | FDM 8000 | ABS Plastic | 83 | 35,752 |
| | FDM Quantum | ABS Plastic | 728 | 312,779 |
| Selective Laser Melting | MTT SLM 250 | Metallic Powder SAE 316L | 112 | 47,979 |
| Electron Beam Melting | Arcam A1 | Metallic Powder Ti-6Al-4V | 61 | 26,311 |

Sources (Appendix 4a and 4b) - see the reference section for more details.

1. [BAUMERS 2013]
2. [STRATASYS 2010]
3. [BOURHIS 2013]

Appendix 5: Transportation Mode Embodied Energy

| Cargo Emissions WVEST | Energy Consumption (MJ/kg.km) | Fuel Consumption (gal/kg.km) | Energy Consumption (Btu/lb.mile) | Data Source |
|----------------------------------|--|---|---|------------------------|
| Local Truck | 1.22E-02 | 3.47E-05 | 8.44E+00 | 1 |
| Long-Distance Truck | 4.43E-03 | 1.26E-05 | 3.06E+00 | 2 |
| Ship | 1.21E-03 | 3.44E-06 | 8.37E-01 | 1 |
| Train | 9.47E-04 | 2.70E-06 | 6.55E-01 | 2 |
| Airplane | 3.58E-02 | 9.71E-05 | 2.48E+01 | 2 |

Sources (Appendix 5) - see the reference section for more details.

1. [OECD 1997]
2. [FACANHA & HORVATH 2007]

Appendix 6: Other Transportation Modes Embodied Energy

| Transportation Type | Energy (MJ/kg/Km) | Energy (Btu/lb/mile) | Data Source |
|---|------------------------------|---------------------------------|--------------------|
| Ocean shipping – Diesel | 1.60E-04 | 1.11E-01 | 2 |
| Coastal shipping – Diesel | 2.70E-04 | 1.87E-01 | 2 |
| Barge – Diesel | 3.60E-04 | 2.49E-01 | 2 |
| Rail – Diesel | 2.50E-04 | 1.73E-01 | 2 |
| Articulated HGV (up to 55 metric tons) – Diesel | 7.10E-04 | 4.91E-01 | 2 |
| 40 metric ton truck – Diesel | 8.20E-04 | 5.67E-01 | 2 |
| 32 metric ton truck – Diesel | 9.40E-04 | 6.50E-01 | 2 |
| 14 metric ton truck – Diesel | 1.50E-03 | 1.04E+00 | 2 |
| Light goods vehicle – Diesel | 2.50E-03 | 1.73E+00 | 2 |
| Family car – Diesel | 1.70E-03 | 1.18E+00 | 2 |
| Family car – Gasoline | 2.60E-03 | 1.80E+00 | 2 |
| Family car – LPG | 3.90E-03 | 2.70E+00 | 2 |
| Family car - Hybrid gasoline-electric | 1.55E-03 | 1.07E+00 | 2 |
| Super sports car and SUV - Gasoline | 4.80E-03 | 3.32E+00 | 2 |
| Long haul aircraft - Kerosene | 6.50E-03 | 4.50E+00 | 2 |
| Short haul aircraft - Kerosene | 1.30E-02 | 8.99E+00 | 2 |
| Helicopter (Eurocopter AS 350) - Kerosene | 5.50E-02 | 3.81E+01 | 2 |

Sources (Appendix 6) - see the reference section for more details.

1. [STROGEN & HORVATH 2013]
2. [M. ASHBY]

Appendix 7: Use Phase Energy Consumption

| Mobility Type | Energy (MJ/kg. Km) | Energy (Btu/lb.mile) | Source |
|---|--------------------|----------------------|--------|
| Ocean shipping - Diesel | 1.60E-04 | 1.11E-01 | 2 |
| Coastal shipping - Diesel | 2.70E-04 | 1.87E-01 | 2 |
| Barge - Diesel | 3.60E-04 | 2.49E-01 | 2 |
| Rail - Diesel | 3.00E-05 | 2.08E-02 | 1 |
| Articulated HGV (up to 55 metric tons) - Diesel | 7.10E-04 | 4.91E-01 | 2 |
| Articulated truck | 2.10E-04 | 1.45E-01 | 1 |
| 40 metric ton truck - Diesel | 8.20E-04 | 5.67E-01 | 2 |
| 32 metric ton truck - Diesel | 9.40E-04 | 6.50E-01 | 2 |
| 14 metric ton truck - Diesel | 1.50E-03 | 1.04E+00 | 2 |
| Light goods vehicle - Diesel | 2.50E-03 | 1.73E+00 | 2 |
| Family car - Diesel | 1.07E-03 | 7.40E-01 | 1 |
| Family car - Gasoline | 1.13E-03 | 7.82E-01 | 1 |
| Family car - LPG | 1.13E-03 | 7.82E-01 | 1 |
| Family car - Hybrid gasoline-electric | 6.32E-04 | 4.37E-01 | 3 |
| Electric vehicles | 1.49E-04 | 1.03E-01 | 3 |
| Super sports car and SUV - Gasoline | 1.04E-03 | 7.20E-01 | 2 |
| Airplanes (average) | 3.51E-03 | 2.43E+00 | 1,4,5 |
| Long haul aircraft - Kerosene | 3.51E-03 | 2.43E+00 | 3 |
| Short haul aircraft - Kerosene | 3.51E-03 | 2.43E+00 | 3 |
| Helicopter (Eurocopter AS 350) - Kerosene | 5.50E-02 | 3.81E+01 | 2 |
| Note: In principle, average energy use by mobility type in the use phase should be different from the freight and distribution phase. Use phase energy numbers should be just the additional energy use associated with an increase in mass, whereas freight energy use should include not only the energy use associated with additional mass, but also a share of the baseline energy use needed to move the vehicle even if it were not carrying any weight. | | | |

Sources (Appendix 7) - see the reference section for more details.

1. [HELMS & LAMBRECHT 2006]
2. [M. ASHBY]
3. [GEYER 2012]
4. [AMERICAN AIRLINES 2007]
5. [LUFTHANSA 2011]