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# Assessment of Silicon Carbide Composites for Advanced Salt-Cooled Reactors

Y. Katoh, D.F. Wilson, C.W. Forsberg Oak Ridge National Laboratory

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## ABBREVIATIONS AND ACRONYMS

AGR	Advanced Gas-cooled Reactor
AHTR	Advanced High-Temperature Reactor
ALWR	Advanced Light-Water Reactor
ARIES	Advanced Reactor Innovation and Evaluation Studies
ASME	American Society of Mechanical Engineers
ASTM	American Society for Testing and Materials, ASTM International
BSR	Bend Stress Relaxation
C/C	Continuous Carbon fiber-reinforced Carbon-matrix composite
CTE	Coefficient of Thermal Expansion
CVD	Chemical Vapor Deposition
CVI	Chemical Vapor Infiltration
DCLL	Dual-Cooled Lead-Lithium
DPA	Displacement-per-atom
EBC	Environmental Barrier Coating
FCI	Flow Channel Insert
GFR	Gas-cooled Fast Reactor
GIF	Generation-IV International Forum
GT-MHR	Gas-Turbine Modular Helium Reactor
НССВ	Helium-Cooled Ceramic Breeding
HFIR	High Flux Isotope Reactor
HTGR	High Temperature Gas-cooled Reactor
HTTR	High Temperature Test Reactor
IHX	Intermediate Heat Exchanger
ITER	International Thermonuclear Experimental Reactor
JMTR	Japan Materials Test Reactor
LPS	Liquid-Phase Sintering
LSI	Liquid Silicon Infiltration
LS-VHTR	Liquid-Salt-cooled Very High-Temperature Reactor
LWR	Light Water Reactor

## ABBREVIATIONS AND ACRONYMS (continued)

MI	Melt-Infiltration
NDE	Non-Destructive Evaluation
NGNP	Next Generation Nuclear Power
NITE	Nano-Infiltration and Transient Eutectic-phase
ORNL	Oak Ridge National Laboratory
PBMR	Pebble-Bed Modular Reactor
PCS	Polycarbosilane
PIE	Post-Irradiation Examination
PIP	Polymer Impregnation and Pyrolysis
PLS	Proportional Limit Stress
PPCS	Power Plant Conceptual Study
PTCS	Polytitanocarbosilane
РуС	Pyrolytic Carbon, Pyrocarbon
R&D	Research and Development
RS	Reaction Sintering
SCC	Stress-Corrosion Cracking
SCG	Slow Crack Growth
SCLL	Self-Cooled Lead-Lithium
SiC	Silicon Carbide
SiC/SiC, SiC <sup>f</sup> /SiC	SiC continuous fiber-reinforced SiC matrix composite
SNF	Spent Nuclear Fuel
TBM	Test Blanket Module
TEP	Transient Eutectic-Phase
UTS	Ultimate Tensile Stress
VHTR	Very High Temperature Reactor

#### ABSTRACT

The Advanced High-Temperature Reactor (AHTR) is a new reactor concept that uses a liquid fluoride salt coolant and a solid high-temperature fuel. Several alternative fuel types are being considered for this reactor. One set of fuel options is the use of pin-type fuel assemblies with silicon carbide (SiC) cladding. This report provides (1) an initial viability assessment of using SiC as fuel cladding and other in-core components of the AHTR, (2) the current status of SiC technology, and (3) recommendations on the path forward.

Based on the analysis of requirements, continuous SiC fiber-reinforced, chemically vaporinfiltrated SiC matrix (CVI SiC/SiC) composites are recommended as the primary option for further study on AHTR fuel cladding among various industrially available forms of SiC. Critical feasibility issues for the SiC-based AHTR fuel cladding are identified to be (1) corrosion of SiC in the candidate liquid salts, (2) high dose neutron radiation effects, (3) static fatigue failure of SiC/SiC, (4) long-term radiation effects including irradiation creep and radiation-enhanced static fatigue, and (5) fabrication technology of hermetic wall and sealing end caps. Considering the results of the issues analysis and the prospects of ongoing SiC research and development in other nuclear programs, recommendations on the path forward is provided in the order or priority as: (1) thermodynamic analysis and experimental examination of SiC corrosion in the candidate liquid salts, (2) assessment of long-term mechanical integrity issues using prototypical component sections, and (3) assessment of high dose radiation effects relevant to the anticipated operating condition. This page is intentionally left blank.

#### **EXECUTIVE SUMMARY**

The Advanced High-Temperature Reactor (AHTR) is a new reactor concept that uses a liquid fluoride salt coolant and a solid high-temperature fuel. Several alternative fuel types are being considered for this reactor. One set of fuel options is the use of pin-type fuel assemblies with silicon carbide (SiC) cladding. This report provides (1) an initial viability assessment of using SiC for fuel cladding and other incore components of the AHTR, (2) the current status of SiC technology, and (3) recommendations on the path forward.

#### **Basis for Consideration of Pin-Type Fuel Assemblies.**

The initial concept of the AHTR was similar to a high-temperature gas-cooled reactor (HTGR) or very high temperature reactor (VHTR) except that a low-pressure liquid-salt-coolant replaced the high-pressure helium coolant. The AHTR is a graphite-moderated reactor with a thermal neutron spectrum that is almost identical to high-temperature helium-cooled reactors. Depending upon design goals, peak temperatures can vary from 700 to 1000°C. The baseline concept uses graphite-matrix coated-particle fuel in the form of a prismatic block. The fuel, except for some dimensional changes in coolant channels and fuel loading, is essentially identical to the fuel that has been used in several helium-cooled high-temperature reactors.

The initial AHTR studies were done with graphite-matrix coated-particle fuel based on several considerations. The fuel has been demonstrated in two high-temperature helium-cooled reactors; (1) the Fort St. Vrain that was built in the United States and later decommissioned and (2) the operating Japanese High-Temperature Test Reactor. The graphite-matrix fuel is compatible with the liquid-salt coolant. Last, the U.S. experience base for high-temperature reactor fuels is the graphite-matrix coated-particle prismatic fuel.

These studies showed that the AHTR (1) was technical viable, (2) could potentially have major safety advantages with the use of passive and inherent structures, systems, and components and (3) had the potential for lower capital costs than traditional light water reactors. The lower capital costs are primarily a consequence of using a low-pressure, high-volumetric-heat-capacity, low-chemically-reactive, high-temperature, liquid coolant that reduces equipment and plant size.

After the initial viability studies, a second set of trade studies were initiated to understand the range of potentially viable AHTR designs. These trade studies included evaluations of alternative fuel forms, different liquid-salt coolants, and alternative safety systems. The goals of

these studies were to identify the most promising AHTR concepts in terms of (1) economics, (2) safety, (3) fuel cycles [sustainability, non-proliferation, etc.], and (4) minimization of development costs. Studies were conducted at Oak Ridge National Laboratory, the University of California at Berkeley, Areva NP, Argonne National Laboratory, Idaho National Laboratory, and the University of Wisconsin. The studies identified and examined three fuel configurations that corresponded to the three fuel configurations that have been used in high-temperature reactors: (1) prismatic-block graphite-matrix, coated-particle fuel, (2) pebble-bed graphite-matrix, coated-particle fuel, and (3) pin-fuel assemblies.

Among the three fuel configurations, the pin-type fuel assembly has several potential advantages relative to coated-particle fuel in the AHTR. Preliminary evaluations by Areva NP indicate the potential for lower fuel fabrication costs. If the pin assembly contained uranium oxide or another ceramic, the fuel assemble could have a higher loading of uranium compared to a coated-particle fuel. This would allow for lower fuel enrichments or higher burnups. A pin-type fuel assemble separates the graphite from the fuel. This greatly reduces the spent nuclear fuel (SNF) volume if the SNF is directly disposed of as waste. If the SNF is to be reprocessed, a pin-type assemble could be processed in the same way that light-water reactor fuel assembles are reprocessed. Last, a series of neutronic studies indicate that such a fuel may enable relatively efficient burning of actinides.

In parallel with the fuel trade studies, there were a series of evaluations of alternative decayheat removal systems. The new decay-heat removal systems that were identified and analyzed showed lower peak fuel temperature under severe accident conditions. These developments indicate that the same safety goals defined for an AHTR with coated-particle fuel can now be potentially met at lower temperatures with a pin-type fuel assemblies. Developments in AHTR safety systems partly opened the door to alternative fuel types.

The considerations above indicated the need to consider in further depth the feasibility and viability of a pin-type fuel assembly for the AHTR. It has many advantages; but, it is not a demonstrated fuel type. The central technical challenge is the choice of clad and structural materials for the fuel assembly.

#### **Basis for Consideration of SiC for Pin-Type Fuel Assemblies**

The AHTR with a pin-type fuel assembly has multiple materials requirements for fuel clad, fuel assembly components, and other core components. These requirements include the ability to withstand very high temperatures, radiation resistance, compatibility with the liquid salt, and mechanical requirements, in addition to the availability and reasonable economy. The only hightemperature reactor fuels that have been fully developed and qualified are those that use a carbon matrix—the prismatic and pebble bed fuels. However, there are major questions about the viability of carbon-based materials for large pin-type fuel assemblies in liquid-salt systems. Alternative materials are needed if pin-type fuel assembles are to be considered.

There are many potential candidate materials. However, the development of a new clad and core material is an extremely difficult, time consuming, and expensive task. As a consequence, there are strong incentives to examine available and more mature materials. An initial examination indicated that SiC is a potential candidate material. It is being examined first based on the considerations of (1) the research and development of SiC as a fuel clad and structural material in several types of reactors, (2) experience by the British Advanced-Gas Reactor (AGR) program for development of SiC as a clad material for new generation of higher-temperature AGRs, and (3) experience in salt systems indicating that SiC should be compatible with liquid salts.

SiC is considered promising for various nuclear applications, primarily because it is the only refractory ceramic which has demonstrated an inherent tolerance against heavy irradiation by energetic neutrons. The energy systems which are presently studying SiC in various forms (or SiC-based materials) for potential application in fuel/core/radiation environments include the helium-cooled very high temperature reactor (VHTR), the gas-cooled fast reactor (GFR), the advanced light water reactor (ALWR), and both the magnetic- and inertial-confinement fusion. Benefits, other than radiation resistance, of using SiC for nuclear applications come from its excellent mechanical properties and chemical inertness up to well beyond the upper temperature limits for typical metallic superalloys, attractive nuclear properties (low neutron-induced activation and low decay heat), and the availability in various forms including high fracture toughness composites.

#### SiC Technology

SiC-based materials are industrially available in various forms. Selection of appropriate forms is based upon consideration of baseline material properties and availability of components in suitable shapes and sizes. For the fuel cladding application, because the primary requirements include mechanical strength, fracture toughness, thermal conductivity, and availability in long, small-diameter tubes, a continuous SiC fiber-reinforced SiC matrix composite (SiC/SiC composite) will be the most adequate form. The cladding application may require an additional

measure to secure hermeticity against fission gas permeation, because SiC/SiC composites are not necessarily gas-tight.

SiC/SiC composites are available in various combinations of the reinforcing fiber, the matrix densification process, and the interphase (material used for an interlayer between the fiber and the matrix). Properties including those closely related with the critical feasibility issues for the AHTR application are strongly affected by selection of the composites' constituents and the matrix densification technique. Near-stoichiometric and fully-crystallized fibers, or the Generation III SiC fibers, are necessary for AHTR because fibers in other classes are not radiation resistant. As the matrix densification process, chemical vapor infiltration should be chosen as the reference (again because of proven radiation resistance), where nano-infiltration and transient eutectic-phase (NITE) process as a potential alternative. Pyrolytic carbon interphase and more advanced interphases comprising carbon and SiC are considered adequate for AHTR, provided that SiC is chemically compatible with the coolant.

Some of the general critical properties for fission core components are common or intrinsic to SiC as a substance, while others are to some extent specific to materials in certain forms, with certain constituents, and in certain shapes and architectures. The properties inherent to SiC are mostly related with corrosion and radiation effect, such as radiation-induced swelling, irradiation creep, and radiation-induced thermal conductivity degradation. On the other hand, the properties which substantially vary depending on materials within "SiC" category, including strength, fracture toughness, thermal conductivity, and fatigue, were assessed for the reference SiC/SiC composites with the Generation III SiC fibers, pyrolytic carbon interphase, and chemically vapor-infiltrated SiC matrices.

Critical feasibility issues for the potential application of SiC-based materials to AHTR fuel cladding and other core components were identified as follows.

- Corrosion: While the majority of work in the literature on silicon carbide corrosion in liquid salts is with salts other than halides, the sodium sulfate corrosion information in combination with the information from previous works on halides indicates the potential viability of SiC. However, the information is insufficient to state that SiC is a clearly viable clad option for the AHTR under the specific conditions that are expected. There are significant issues that must be evaluated for the use of fluoride salts with SiC.
- High dose radiation effect: The reference SiC/SiC composites are considered highly tolerant against neutron irradiation at temperatures of current interest, however, the highest dose those materials have ever been irradiated to and tested is so far slightly more

than 10 dpa, which may be substantially below the anticipated dose in AHTR. It will be critically important to confirm the fundamental radiation stability to higher doses. Moreover, issues associated with potentially high internal stress due to thermal conductivity degradation and differential swelling are critical to fuel cladding.

- Slow crack growth failure: Slow crack growth, or static fatigue, will likely dictate the mechanical integrity of SiC/SiC fuel cladding. In case any of the anticipated stress components may reach 30 to 50% of the proportional limit stress, which is extremely likely, mechanical integrity may become a critical feasibility/design issue. These are also strongly related with the radiation effects on SiC/SiC including radiation creep, radiation-assisted/enhanced static fatigue, thermal conductivity change, and radiation-induced strength modification.
- Long-term radiation effects: Assuming the internal pressurization by fuel swelling and/or fission gas production as the primary source of mechanical loading onto the fuel cladding, irradiation creep and static fatigue may lead to unacceptable cross-sectional deformation. Moreover, rupture failure leads to a loss of fission product confinement. Therefore, understanding irradiation creep and irradiated static fatigue are both critically important technical issues.
- Hermeticity/fabrication technology: Potential requirements of seal tightness against fission gas permeation is an obvious challenge and critical for SiC/SiC cladding. It is possible that an additional measure for securing gas tightness has to be taken. Such measures include but are not limited to the additional CVD SiC coating and metal lining inside the tube. Hermetic sealing or joining at the both ends of the cladding tube is an additional technical issue that has to be addressed.

At this moment, it is not possible to determine if all of these issues are truly critical to the technical feasibility of the SiC-based AHTR fuel/core concept or some are more like design issues for which design solutions exist, and if so to what extent.

#### Recommendations

Based on the analysis of critical feasibility issues, The following conclusions were reached regarding the directions of the near-term proof-of-principle study for potential application of SiC-based materials to AHTR are recommended. The recommendations are for the fuel cladding application and are listed in the order of priority.

- Corrosion of SiC in candidate liquid salt: Work needs to be performed to create a good thermodynamic foundation for the evaluation of silicon carbide in liquid fluoride salts with respect to the basicity/acidity of the salt and low partial oxygen pressures that are to be expected in reactor systems. Experimental data need to be generated to support the thermodynamic analyses and to shed light on the corrosion effects in systems with varying temperatures. Appropriate thermodynamic data enables evaluation of performance for a wide range of conditions.
- Prototypical demonstration: The real critical issue in this category is the time-dependent damaging and fracture due to very slow crack growth during the prolonged service. However, such damage processes are highly dependent on the architectural design of the component. Hence, prototypical components need to be designed and fabricated with SiC/SiC composite in the most promising architecture, and need to be evaluated for lifetime-limiting properties including stress rupture and static fatigue in prototypical conditions including the liquid salt environment.
- High dose radiation effect: Experimental data need to be generated to study if SiC/SiC components maintain fundamental integrity to doses and at temperatures beyond current experience and relevant to the AHTR. Dependable capability to estimate the temperature distribution changes and their consequence on the secondary stresses in fuel cladding needs to be established. Moreover, both the irradiation creep and the in-pile static fatigue behaviors of SiC in the medium to high dose regimes are need to be understood.

The above recommendations assume that the remaining critical issues are to be addressed in other on-going national or international programs which deal with various technical issues for nuclear applications of SiC-based materials. Therefore, it will be important to maintain awareness on technical and programmatic developments in those programs and stay being able to adjust to potential changes in their directions and scopes.

#### **1** INTRODUCTION

This study is an initial examination of the viability of using silicon carbide (SiC) as a fuel clad and component material in the liquid-salt-cooled Advanced High-Temperature Reactor (AHTR). The report reviews the literature to determine what is known and what is not known in the context of using SiC for this application. Recommendations are made on the path forward to determine the viability of SiC for this application. The recommendations include the types of SiC to consider for this application, the constraints and limitations, and a prioritized list of tasks to determine viability.

#### 1.1 BASIS FOR STUDY

The Advanced High-Temperature Reactor (AHTR) is a new reactor concept that uses a liquid fluoride salt coolant and a solid high-temperature fuel. The reactor has a thermal neutron spectrum that is almost identical to high-temperature helium-cooled reactors. Depending upon design goals, peak temperatures can vary from 700 to 1000°C. The baseline concept uses graphite-matrix coated-particle fuel in the form of a prismatic block. The fuel, except for some dimensional changes in coolant channels and fuel loading, is essentially identical to the fuel that has been used in several helium-cooled high-temperature reactors. A series of neutronic, thermohydraulic, and refueling studies indicate the technical viability of an AHTR with this fuel type. [1]

The initial AHTR studies were done with this fuel form based on several considerations. The fuel has been demonstrated in two high-temperature helium-cooled reactors; (1) the Fort St. Vrain that was built in the United States and later decommissioned and (2) the operating Japanese High-Temperature Test Reactor. The graphite-matrix fuel is compatible with the liquid-salt coolant. Lastly, the U.S. experience base for high-temperature reactor fuels is the graphite-matrix coated-particle prismatic fuel.

These studies showed that the AHTR (1) is technically viable, (2) could potentially have major safety advantages with the use of passive and inherent structures, systems, and components and (3) has the potential for lower capital costs than traditional light water reactors. The lower capital costs are primarily a consequence of using a low-pressure, high-volumetric-heat-capacity, low-chemically-reactive, high-temperature, liquid coolant that reduces equipment and plant size.

After the initial viability studies, a second set of trade studies were initiated to understand the range of potentially viable AHTR designs. These trade studies included examination of alternative fuel forms, the basis for selection of a specific fluoride salt, and evaluations of alternative safety systems. The goals of these studies were to identify the most promising AHTR concepts in terms of (1) economics, (2) safety, (3) fuel cycles [sustainability, non-proliferation, etc.], and (4) minimization of development costs. Studies were conducted at Oak Ridge National Laboratory, the University of California at Berkeley, Areva NP, Argonne National Laboratory, Idaho National Laboratory, and the University of Wisconsin. The studies identified and examined three fuel configurations that correspond to the three fuel configurations that have been used in high-temperature reactors.

- Prismatic-block graphite-matrix, coated particle fuel. This is the base case.
- Pebble-bed graphite-matrix, coated particle fuel. This is the same fuel type as the prismatic fuel; but it is in a different geometry. This option would simplify refueling and has several other advantages. There are neutronic design constraints with this fuel on the reactor design because the fuel to moderator ratio is partly fixed in a pebble bed reactor.
- Pin-fuel assemblies. The carbon-dioxide-cooled, graphite-moderated British Advanced Gas Reactor (AGR) uses a pin fuel assembly. A similar core design could be used for an AHTR, provided materials compatible with the liquid salts were chosen.

In the 1960s, the British built a series of AGR power plants. These are high-temperature reactors with a graphite moderator and pin-type fuel assemblies. The pins are made of stainless steel with uranium dioxide fuel. Multiple pins are assembled as a fuel assembly that is about a meter in length. Up to eight fuel assemblies are connected together as a stringer that is placed in a channel within the graphite core. The height of the AGR core makes it difficult to fabricate and use pins the full height of the reactor core — thus the connection of multiple bundles into a stringer. This feature and many other features of the AGR would likely be adopted for a pin-type fuel in an AHTR because of similar engineering constraints associated with graphite-moderated reactors with pin-type fuel assembles.

The pin-type fuel assembly has several potential advantages relative to coated-particle fuel in the AHTR. Preliminary evaluations by Areva NP indicate the potential for lower fuel fabrication costs.[2] If the pin assembly contained uranium oxide or another ceramic, the fuel assembly could have a higher loading of uranium compared to a coated-particle fuel. This would allow for lower fuel enrichments or higher burnups. A pin-type fuel assembly separates the graphite from the fuel. This greatly reduces the spent nuclear fuel (SNF) volume if the SNF is directly disposed of as waste. If the SNF is to be reprocessed, a pin-type assemble could be processed in the same way that light-water reactor fuel assembles are reprocessed. Last, a series of neutronic studies indicate that such a fuel may enable relatively efficient burning of actinides.

In parallel with the fuel trade studies, there were a series of evaluations of alternative decayheat removal systems. The new decay-heat removal systems that were identified and analyzed showed lower peak fuel temperature under severe accident conditions. These developments indicate that the same safety goals defined for an AHTR with coated-particle fuel can now be potentially met at lower temperatures with a pin-type fuel assemblies. Developments in AHTR safety systems have partly opened the door to alternative fuel types.

The above considerations indicated the need to consider in further depth the feasibility and viability of a pin-type fuel assembly for the AHTR. It has many advantages; but, it is not a demonstrated fuel type. The central technical challenge is the choice of clad and structural materials for the fuel assembly.

#### **1.2 BASIS FOR SIC EVALUATION**

The AHTR with a pin-type fuel assembly has multiple materials requirements for fuel clad, fuel assembly components, and other core components. These requirements include the ability to withstand very high temperatures, radiation resistance, compatibility with the liquid salt, and mechanical requirements, as well as availability and reasonable economics. The only high-temperature reactor fuels that have been fully developed and qualified are those that use a carbon matrix—the prismatic and pebble bed fuels. However, there are major questions about the viability of carbon-based materials for large pin-type fuel assemblies in liquid-salt systems. Alternative materials are needed if pin-type fuel assembles are to be considered.

There are many potential candidate materials. However, the development of a new clad and core material is an extremely difficult, time consuming, and expensive task. As a consequence, there are strong incentives to first examine available and mature materials. An initial examination indicated that SiC is a potential candidate material. It is being examined first based on the following considerations.

- Experience. There are multiple programs underway to develop SiC as a fuel clad and structural material in several types of reactors. This includes the use of SiC in the Next Generation Nuclear Plant (a helium-cooled very high-temperature reactor), gas-cooled fast reactors, light-water reactors, and fusion machines. In both the gas-cooled fast reactors and the light-water reactors, it is proposed to use SiC for fuel cladding—the same primary mission as proposed for the AHTR. These ongoing programs imply that if SiC is suitable, much of the development work can be shared.
- AGR. The British AGR program began development of SiC as a clad material for new generation of higher-temperature AGRs. This program included fuel irradiations. The program, as far as it went, was successful. The program was shut down when a decision was made to cancel the development of all advanced reactors in Great Britain. That decision was partly a consequence of the discovery of large natural gas deposits found in the North Sea. The AHTR with pin-type fuel assembles has a core design very similar to the British AGR with many of the same constraints and requirements. These similarities provide incentives to consider SiC for the AHTR.
- Salt experience. There is limited information indicating that SiC should be compatible with liquid salts.

Silicon carbide is not a single material. It is a class of materials that can be produced in different forms by different methods with different impurities and/or second phases. Some of those impurities and second phases are known to be incompatible with salt coolants while others should be compatible with salt coolants. It is similar to the use of nickel alloys with liquid salts. Some nickel alloys are extremely corrosion resistant while other alloys are not corrosion resistant (Note: most nickel alloys perform poorly in high-radiation fields and thus are not usually used for fuel cladding). The practical implications are that one must examine the requirements and the various types of SiC to make initial selections of SiC for possible use as a clad material before further analysis and testing can be initiated.

Objectives of this work were to evaluate potentials, identify suitable forms, and identify critical feasibility issues for SiC as the fuel cladding and core materials for the AHTR, ultimately to provide recommendations for the path-forward of critical viability evaluation. For these purposes, an extensive survey of research and development (R&D) activities of SiC for nuclear and fusion applications was conducted. The status of SiC R&D and the present understanding of SiC properties and behavior, particularly in nuclear environments, are reviewed in Chapter 2. Based on the review and the known or likely requirements for application in the AHTR fuel

assembly, potential critical issues for SiC are analyzed in Chapter 3. Finally, recommendations for the path-forward toward the assessment of critical viability issues are provided in Chapter 4.

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#### 2 SIC FOR NUCLEAR APPLICATIONS

Among various refractory engineering materials, silicon carbide (SiC) based ceramics and composites, often specifically continuous SiC-fiber reinforced SiC-matrix (SiC/SiC) composites, have been studied and developed for fusion and advanced fission energy applications. Benefits of using SiC-based material for nuclear applications come from the attractive properties of SiC; namely the excellent irradiation tolerance and safety features such as the inherent low activation/low decay heat properties and low tritium permeability, in addition to the other wellperceived thermo-physical/chemical properties [3-6]. Benefiting from non-nuclear programs that pursued issues such as manufacturing technology and testing development, the research and development (R&D) efforts in fusion energy and nuclear materials programs have been focusing on the essential feasibility issues, such as the fundamental performance of SiC/SiC composites under neutron irradiation and the development of radiation-resistant composite materials. As the fundamental radiation performance was being positively addressed, R&D programs have been initiated aiming at the well-defined evaluation of SiC/SiC composites and the designing of components out of them, for example, in support of the Test Blanket Module (TBM) development for ITER [7] and VHTR [8]. In this chapter, the present status of SiC ceramic and composite R&D for nuclear and fusion applications is reviewed in terms of fabrication techniques, reinforcing fiber development, composite design, typical properties, radiation effects, test standards, and non-destructive evaluation.

#### 2.1 FABRICATION TECHNIQUES

#### 2.1.1 Composite fabrication

SiC-based ceramics and composites are available in various forms including monolithic ceramics, continuous fiber reinforced composites, bonded fiber ceramics, chopped fiber composites, and particulate-reinforced composites. Those materials are compared in Table 1 for industrial availability, typical production routes, and typical values of fundamental properties. Monolithic SiC is commercially available as catalogue products from a number of venders; however, it is inherently a brittle ceramic which provides relatively low fracture toughness (~3.5 MPa-m<sup>1/2</sup>) among various engineering ceramics. Because of the low fracture toughness and the small fracture strain, monolithic SiC is very difficult to be made into shapes such as thin-walled tubular products with sufficient strength and structural integrity.

	Monolithic SiC	Continuous Fiber SiC/SiC	SiC Bonded Fiber Ceramic	Chopped Fiber SiC/SiC
Commercial availability	Catalogue products	Routine custom- order products	Routine custom- order products	Non-routine custom-order products
Production methods suitable for nuclear application	$CVD^1$	CVI <sup>2</sup> (NITE <sup>3</sup> )	Hot-press	CVI (, NITE)
Typical fracture / cracking stress at RT- 1000°C	Flexural stress 300-500 MPa	Tensile matrix cracking stress 100-200 MPa	Tensile initial cracking stress ~100 MPa	Data not available
Typical fracture toughness	$K_{Ic} \sim 3.5 \text{ MPa-m}^{1/2}$	K <sub>Ic</sub> 20-30 MPa-m <sup>1/2</sup>	Data not available	Data not available
Max. operating temperature in inert environment	~1800°C	~1600°C	~1600°C	~1600°C
Typical thermal conductivity at 800°C in transverse direction	~100 W/m-K	~15 W/m-K	~30 W/m-K	Data not available

Table 1: Comparison of SiC-based ceramics and composites in various forms.

1) Chemical Vapor Deposition, 2) Chemical Vapor Infiltration, 3) Nano-Infiltration and Transient Eutectic-phase process

Continuous fiber composites (SiC/SiC) are industrially the most matured form of composites. In this report it is assumed that the fuel cladding will require continuous fiber composites or SiC/SiC instead of other forms of SiC, due to several essential requirement factors (however, most of the assessments are common to different forms of SiC-based ceramics and composites). SiC bonded fiber composite is commercially available as Tyrannohex<sup>™</sup> from Ube Industries, LTd., Japan[9]. Tyrannohex<sup>™</sup> is a potential alternative to SiC/SiC for various nuclear applications. Chopped fiber composites and particulate-reinforced composites are unsuitable for fuel cladding fabrication for similar reasons with monolithic ceramics.

Properties critical to feasibility of SiC-based ceramic composites to various nuclear applications strongly depend on the fabrication routes. Processing routes presently available for industrial production of SiC composites are chemical vapor infiltration (CVI) [10], nano-infiltration and transient eutectic-phase process (NITE) [11-13], melt-infiltration (MI, or occasionally termed reaction sintering, RS, or liquid silicon infiltration, LSI) [14], and polymer-impregnation and pyrolysis (PIP) [15]. Typical attributes for these processing routes are compared in Table 2.

Table 2: Comparison of various process techniques for continuous SiC fiber, SiC matrix composites (SiC/SiC) for nuclear applications.

	CVI	NITE	PIP	MI
Matrix densification process	Pyrolytic reaction of gaseous precursor(s)	Pressurized transient eutectic phase sintering Pyrolytic reaction of polymer precursor(s)		Direct reaction of molten silicon and solid carbonaceous precursor
Typical matrix composition	High purity beta- phase SiC	Beta-phase SiC + small amount of yttria-alumina oxide	Nanocrystalline SiCO	Beta-phase SiC + fair amount of metallic Si
Radiation stability	Stable <sup>1)</sup>	Stable <sup>2)</sup>	Unstable	Unstable
Strength	High	High	Low	High
Thermal conductivity	Moderate	Moderate	Low	High
Hermeticity	Fair	Good	Poor	Poor
Shaping technology for thin-wall tubes	Established	Being developed	Established	Established
Commercial availability	Available from multiple US venders	Available from US sales representative	Available from multiple US venders	Available from US venders

Notes: 1) Data available up to  $\sim$ 12 dpa at  $<\sim$ 800°C, 2) Data available up to  $\sim$ 4 dpa at  $\sim$ 1000°C

The recent SiC/SiC development for nuclear environments has been directed toward stoichiometric, high purity, and fully crystalline SiC as the primary constituents, namely fibers and matrices [16]. This direction is based on the demonstrated radiation instability of common matrix second phases such as metallic silicon in the reaction-bonded matrices [17], sintering agent represented by boron in hot-pressed SiC [18], and the amorphous Si-C-O commonly obtained through preceramic polymer routes [19]. Therefore, CVI, which essentially is chemical vapor deposition of SiC onto reinforcing fiber surfaces, has been an obvious selection of the matrix densification technique for the feasibility studies on SiC/SiC for nuclear applications [10, 20]. Furthermore, CVI is an industrially established technique for fabrication of SiC/SiC components in various sizes and shapes and for various property requirements.

The Nano-Infiltration and Transient Eutectic-phase (NITE) process was developed by employing the liquid phase sintering (LPS) for silicide ceramics with a small amount of oxide additives. The development and fundamental characterization of the early-grade NITE SiC/SiC are summarized elsewhere [11, 13, 21]. NITE SiC/SiC is anticipated to be a potential alternative to CVI SiC/SiC, because the matrix consists mostly of highly crystallized beta-phase SiC. Based on achievement by the laboratory grade development, pilot commercial grade (PG) production was recently initiated [22]. During the PG productions, process improvement for higher density and improved strength was achieved.

Typical thermal and mechanical properties for CVI and NITE SiC/SiC are summarized in Table 3. Thermal properties for CVI SiC/SiC in Table 3 will be appropriate for use in technical feasibility assessment. More details will be discussed in later section. On the other hand, strength properties shown are for fast fracture (strain rate on the order of  $10^{-3}$  s<sup>-1</sup>), hence they may be substantially different from the appropriate design stresses for applications which require reliability during long term services. Design stress issues are also discussed in later sections in association with the fatigue phenomena and slow crack growth.

Vaunroportion	Unit —	Typical Property Values			
Key properties	Unit –	2D CVI	3D CVI	UD C/P NITE	
Thermal conductivity, through-thickness	[W/m-K]				
Non-irradiated, 500°C		~15	25-40	15-40	
Non-irradiated, 1000°C		~10	20-30	10-30	
Irradiated, 500°C		2-3*	5-8*	-	
Irradiated, 1000°C		4-6*	12-18*	-	
Tensile properties					
Ultimate tensile stress, 500-1000°C	[MPa]	~300	100-200	300-400	
Matrix cracking stress, 500-1000°C	[MPa]	~150	-	200-250	
Modulus, 500-1000°C	[GPa]	~250	~200	300-400	

Table 3: Typical properties of CVI and NITE SiC/SiC composites. [23]

\*Based on model calculation.

Neither polymer impregnation and pyrolysis nor melt-infiltration is not presently attracting much attention for nuclear applications due to the recognized significant challenges for materials obtained through these processes [15, 24]. For the PIP, radiation instability of the amorphous silicoxycarbide matrices has been anticipated. The matrices produced by the PIP method can be crystallized and made into near-stoichiometric SiC by combined very high temperature treatment and use of polymer precursors for near-stoichiometric SiC [15, 25]. However, high temperature-pyrolyzed PIP composites were then fabricated using several different precursors, leading to a conclusion that the loss of matrix integrity due to severe micro-cracking upon crystallization is a major issue [26]. This problem may possibly be overcome by employing precursors which shrink two-dimensionally into films surrounding fibers, instead of being severely cracked three-dimensionally upon ceramization [27]. As to the MI, a fine-tuned "reaction sintering (RS)" technique has been developed to produce monolithic SiC with the minimal amount of unreacted silicon which is finely distributed as a scattered second phase [28]. The most anticipated issues for conventional MI SiC were high temperature and irradiation instability of the networked silicon [24].

#### 2.1.2 Shaping

CVI SiC/SiC components are typically fabricated by matrix densification through chemical vapor deposition onto fiber preforms. The fiber preforms are made through weaving (2D, 3D, etc.), braiding, filament winding, and various other methods. Different preforming methods results in different fiber reinforcement structures which are called "architecture." The preforms are often supported by mandrels or other custom-fabricated supporting structures, usually made of coefficient of thermal expansion (CTE)-matched graphite, during the early or all period of CVI processing. Therefore, shapes consisting of walls and/or tubes are most easily made. As-CVI'ed components are often machined to target dimensions depending on the required dimensional precision, hence the preform shaping process is called "near-net shaping". Examples of CVI SiC/SiC components made on braided tubular preforms are shown in Figure 1. The photo on the left shows 6 inch-long, a half inch outer diameter tubular tensile test specimens produced in bi-axial braiding architecture for evaluation in the US NGNP Composite R&D Program. The photo on the right shows an example of CVI SiC/SiC nozzle for an aeronautic propulsion system, demonstrating the ability to shape varying diameter tubes with the tri-axial braiding architecture. The maximum size of the components which can be produced by CVI will be limited by the furnace size or the requirement of homogeneity over the component body. Typical manufacturers readily produce CVI SiC/SiC components with lengths up to ~1 meter. It is believed that production of substantially larger components is possible by a few industries in the world.

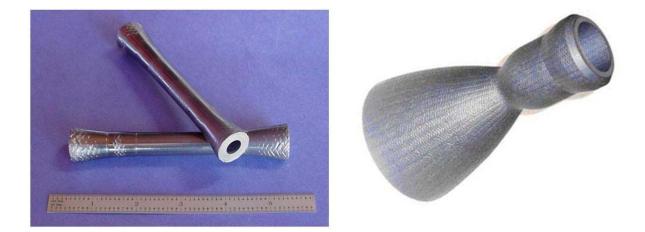
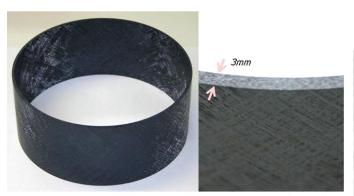


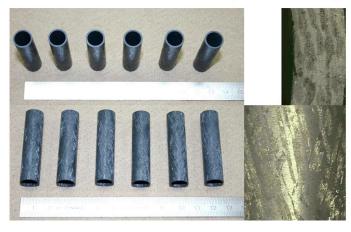
Figure 1: Examples of components fabrication with CVI SiC/SiC. Left: Tensile test specimens of small diameter, thin wall tubes.(ORNL Gen-IV Materials Program) Right: Rocket nozzle (Courtesy: Hypertherm High-Temperature Composites, Inc.)

Near net-shaping techniques for NITE SiC/SiC have also been demonstrated [22]. As shown in Figure 2, small (10mm inner diameter) tubes were successfully fabricated with the fiber angle of  $\pm 15^{\circ}$  and  $\pm 30^{\circ}$  in the filament winding architecture. The density of these tubes reached 3.02 g/cm<sup>3</sup>, which is close to 3.08 g/cm<sup>3</sup> for the commercial flat plate materials of NITE SiC/SiC. Diametral ring compression strength is reported to be 108 MPa. Also, 200 mm diameter cylinders for GFR fuel compartment were produced with a wall thickness of 3 mm. However, because the production of NITE SiC/SiC requires pressurization by hot-pressing or pseudo isostatic hot pressing, the tubes length has so far been limited to <10 times the inner diameter. Production of longer tubes has been demonstrated by interconnecting the NITE SiC/SiC short tubes by the NITE Joint method [29].

A section of 200 mm-OD, 3 mm-thick tube of NITE SiC/SiC



12 mm-OD tubes of NITE SiC/SiC developed for fuel cladding



Screw fastening interconnect and manifold for small diameter tubes made of NITE SiC/SiC



Figure 2: Examples of components fabrication with NITE SiC/SiC (Courtesy: Kyoto University)

#### 2.1.3 Joining

Self-joining SiC and/or SiC/SiC is among the major near-term technical objectives for nuclear ceramics R&D. Presently several joining methods, including diffusion bonding (by various approaches), transient eutectic phase (TEP) joining, and selective area CVD, are considered promising for radiation services. Methods for joining SiC to SiC are summarized in Table 4 with the reported typical joint strength, anticipated radiation stability of the joint, and the sites of ongoing or recent R&D activities.

	Diffusion bonding	Transient eutectic- phase joining	Glass- ceramics Joining	Brazing	Reaction bonding	Polymer joining	Selective area CVD
Typical or reported strength	~150 MPa shear	~250 MPa tensile	~250 MPa flexural	n/a	~30 MPa shear	~10 MPa shear	n/a
Radiation stability	Expectedly Good	Expectedly Good	Positive result from EU Extremat program	Expectedly poor	Expectedly poor	Expectedly poor	Good
On-going / recent R&D	NASA, Bettis, EU fusion	Kyoto Univ., etc.	Polytech. Torino	Snecma	NASA	PNNL, etc.	Univ. Conn, etc.

Table 4: Comparison of various techniques for joining and sealing SiC-based materials.[30-34]

The diffusion bonding is typically facilitated by inserting thin metallic foil(s) between the joining surfaces of SiC. Metals like titanium [30] and molybdenum [31] have successfully been applied as the filler material. The TEP joining takes advantage of reduced melting temperature for particular silica-bearing oxide systems (such as yttria-alumina-silica) to develop SiC-based joint material through the solution and re-precipitation process. The NITE joint belongs to this category, in which the joint material is ideally identical with the matrix material for the NITE SiC/SiC [32]. The selective area CVD is obviously the method that produces most radiation resistant joint of SiC [33]. Glass-ceramics joining is studied in the European Extremat program and positive results on low dose radiation performance are reportedly obtained [34].

General issues for joining ceramics for nuclear applications include those associated with CTE mismatch, radiation stability and other performances of joint materials and interfaces, and practical applicability of the joining methods limited by requirements of temperature, pressure, and atmosphere for successful joining. Joint of pure, crystalline SiC, such as obtained by selected area CVD, eliminates the first two issues but the third issue is often a problem. Joints incorporating non-SiC second phases or insufficiently crystallized SiC phases will have to be thoroughly studied for the first two issues.

#### 2.1.4 Coating

Oxidation resistance of SiC relies on the formation of a stable protective layer of silica, which is an oxidation product of SiC. Therefore, in the active oxidation condition in which the silica layer does not form or is readily removed, SiC can undergo substantial corrosion. Details of SiC corrosion is discussed in Section 3.3.

Detrimental effects of corrosion of SiC can be minimized by applying appropriate environmental barrier coating (EBC). EBC for SiC has extensively been studied for combustor and leading edge applications. Such EBC's typically comprise multiple layers of ceramics incorporating yttria, hafnia, and other rare earth oxides. However, oxide ceramics are considered unstable in liquid salts.

On the other hand, carbon is generally stable in fluoride salts even at very high temperatures. Graphite and carbon composites are often coated with pyrolytic SiC in order to add oxidative resistance. It is technically feasible to apply coating with carbon on SiC. CTE of isotropic graphite can be tailored to match with the CTE of SiC. However, there are critical issues for carbon as the protective coating on SiC for nuclear applications. Those issues will be identified in Section 3.6.

#### 2.2 FIBER AND ARCHITECTURE

In SiC/SiC composites, fiber, matrix, and interphase (interfacial substance between the fibers and the matrices) are the three constituents and are equally important. Fibers primarily carry the load, while the matrix primarily transfers the load to fibers. Silicon carbide fibers are commercially processed through many different routes. The most widely studied, developed and commercialized SiC fiber is derived from the polymer precursor process first introduced by Yajima [35]. The fibers are commercially available under the trade name of Nicalon<sup>TM</sup> (Nippon Carbon Co., Tokyo, Japan), Tyranno<sup>TM</sup> (Ube Industries, Ltd., Ube, Japan), and Sylramic<sup>TM</sup> (COI Ceramics, Inc., Salt Lake City). The first stage of the fiber process involves the low-temperature melt-spinning of the polycarbosilane (PCS) or polytitanocarbosilane (PTCS) polymer. These spun fibers, which are in the green state, are then stabilized by elevated temperature exposure to oxygen and successively ceramized in an inert atmosphere to a final temperature of ~1300°C. It is important to note that due to the presence of excess oxygen and carbon, these fibers are more correctly classified as SiC-based fibers, rather than SiC fibers. Nicalon<sup>TM</sup> NL-200 and Tyranno<sup>TM</sup> LoxM belong to this fiber category, or Generation-I SiC fibers, comprising a dispersion of beta-SiC crystallites of a few nanometers in size embedded in a continuum glassy silicon oxycarbide matrix (Si-O<sub>x</sub>-C<sub>y</sub>, where x+y is approximately 4). The Generation-I SiC fibers are highly unstable in radiation environment because further cross-linking takes place during irradiation.

The Nicalon<sup>TM</sup> fiber's thermomechanical properties have been improved by altering the method of cross-linking the spun polymer. Rather than curing the PCS in air, the polymer is subjected to ionizing radiation in an inert environment. This process reduces the atomic oxygen content from to less than 1% and is the process with which Hi-Nicalon<sup>TM</sup>, or Generation-II SiC fiber, is made [36]. The average SiC crystallite size for this product increases by more than a factor of two over the ceramic grade fiber, and the elastic modulus undergoes a large increase while the strength decreases slightly. The density of the Hi-Nicalon fiber is also increased from 2.55 g/cm<sup>3</sup> (NL-200) to 2.74 g/cm<sup>3</sup>, which is approximately 85% theoretical SiC density. Radiation stability of the Generation-II SiC fibers has been improved over that of the previous generation fibers, however, it exhibits serious radiation instability due to the presence of large amount of excess carbon.

Further improvement in the SiC fibers has been achieved. The Hi-Nicalon<sup>™</sup> process has been taken a step further, by incorporating decarburization process in an hydrogen environment, with the introduction of Hi-Nicalon<sup>™</sup> Type-S SiC fiber with a near theoretical density fiber and very low excess carbon and oxygen [37]. At the same time, the Tyranno<sup>™</sup> SA3 fiber was developed through a very different route of sintering with aluminum addition to the original polymer precursor, which resulted in similarly very low contents of excess carbon and oxygen [38]. Moreover, the Sylramic<sup>™</sup> SiC fiber was developed also by applying sintering technique but with an addition of boron to the polytitanocarbosilane polymer precursor [39]. All three SiC fibers, categorized as the Generation-III SiC fibers, are characterized by near-stoichiometry, high

crystallinity (crystallite sizes 50 to 200 nm), and low excess carbon and oxygen contents. It has been demonstrated that the Hi-Nicalon<sup>™</sup> Type S and Tyranno<sup>™</sup> SA3 fibers are highly resistant to neutron irradiation. A comparison of the properties of the various generations and brand SiC-based fibers is given in Table 5 [40-42].

	Nicalon NL- 200	Tyranno LoxM	Hi-Nicalon	Hi-Nicalon Type S	Tyranno SA3	Sylramic
Chemical	SiC <sub>1.31</sub>	SiC <sub>1.36</sub>	SiC <sub>1.39</sub>	SiC <sub>1.05</sub>	SiC <sub>1.07</sub>	SiC
composition	12% O	11%O 2%Ti	0.5% O	0.2% O	2% Al	TiB <sub>2</sub> , B
Fiber diameter (um)	14	11	14	11	7.5	10
Number of filaments	500	800	500	500	1600	800
Tensile strength (GPa)	3.0	3.3	2.8	2.6	2.8	3.2
Tensile modulus (GPa)	220	190	270	420	380	380
Elongation (%)	1.4	1.8	1.0	0.6	0.7	0.8
Density (g/cc)	2.55	2.48	2.74	3.10	3.10	3.1
Thermal conductivity (W/m-K)	3.0 (RT) 2.2 (500°C)	1.4 (RT)	7.8 (RT) 10 (500°C)	18 (RT) 16 (500°C)	65 (RT)	46 (RT)

Table 5: Generations of SiC-based commercial fibers. [40-42]

#### 2.3 INTERFACE / INTERPHASE DESIGN

The roles of interphase in ceramic matrix composites are to transfer load between the fibers and matrices when the composites are structurally intact, and to accommodate energy dissipation and the pseudo-ductile deformation through debonding and sliding once the matrix developed micro-cracks. Development of appropriate interphase takes very substantial R&D effort, not only because the fibers and the interphase have to be compatible with the interphase application process and matrix densification process, respectively, but also it requires extensive research to develop optimum functions for a particular fiber-interphase-matrix system. Several interphases presently employed or being developed for SiC/SiC are compared in Table 6.

	Pyrolytic Carbon	SiC/PyC Multilayer	Pseudo-porous SiC	BN	Monazite
Status of development	Industrially established	Industrially established	Industrially established	Industrially established	Developmental
Oxidation resistance	Poor	Fair	Poor	Fair	Good
Fiber coating technique	CVD	CVD	CVD	CVD	Slurry dipping
Radiation stability	Fair	Good	No data available	Poor	No data available

Table 6: Established and developmental interphases for SiC/SiC composites.

Presently, pyrolytic carbon (often termed pyrocarbon or "PyC") is most commonly used and extensively studied as the material for interphase in SiC/SiC. Pyrocarbon typically has a structure of glassy or turbostratic carbon and are produced by thermal decomposition of hydrocarbon gases such as methane or propane. The pyrocarbon interphase can easily be applied in an isothermal, isobaric CVI configuration onto the fiber surfaces as a coating. Since the oxidation resistance of pyrocarbon interphase will not be a critical issue for SiC/SiC for salt-cooled reactor application, pyrocarbon may be an appropriate interphase for reference materials to be used in the feasibility study phase.

The SiC/PyC multilayered interphase is composed of repeating layers of very thin pyrocarbon and thicker SiC phases. It is a variation of pyrocarbon interphase, because only the innermost pyrocarbon interphase layer contributes to the fast fracture mechanical properties of the composites. Outer pyrocarbon layers in the multilayered interphase are known to provide extended life in oxidative environments, whereas other potential benefits have not been demonstrated.

The BN interphase is also industrially established for SiC/SiC. The BN interphase provides enhanced oxidative resistance, which will not be a benefit for use in liquid salt. It has been proven that the burn-up of boron does serious harm to the composite strength [43]. Oxide ceramics, represented by various monazites, are considered potential novel interphase materials for ceramic matrix composites. Compatibility with the SiC fibers and the matrix deposition processes is a present issue for monazite interphases [44].

An example of interphase optimization is adjusting the interphase thickness for the optimum composite mechanical properties. However, as shown in Figure 3, fast fracture strength of CVI SiC/SiC composites with the Generation-III SiC fibers appeared insensitive to the pyrocarbon interphase thickness in a broad thickness range [45-46]. This is an additional benefit of using the

Generation-III SiC fibers, because the interphase may be optimized for other properties such as high dose radiation resistance and fatigue / creep lifetime. For example, very thin pyrocarbon interphase in SiC/SiC can be self-sealed with silica in a low oxygen activity environment.

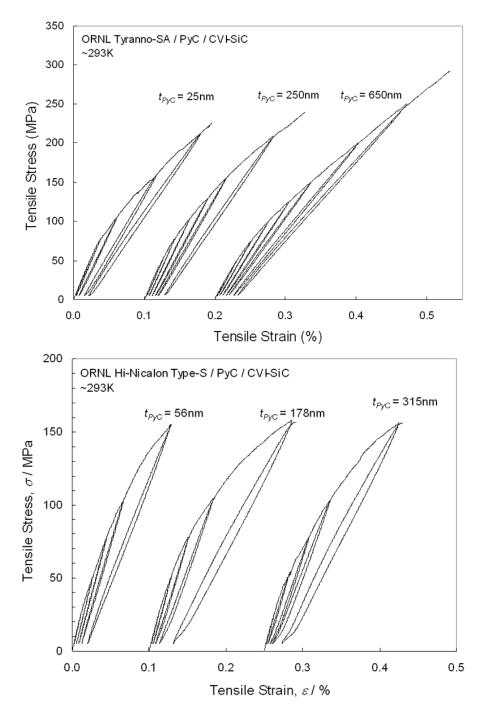


Figure 3: Influences of pyrocarbon interphase thickness on mechanical properties of CVI SiC/SiC composites. [45-46]

## 2.4 PROPERTIES

Properties of continuous fiber composite materials are generally anisotropic and dependent on the architecture and the properties of constituents. In other words, composite properties can generally be adjusted, to a limited extent, to meet the design requirements. However, because the fiber and the matrix have to be made of stoichiometric, crystalline SiC for the assumed application in this report, the properties of those main composite constituents may not be adjusted. Therefore, it is adequate here that the composite properties are determined primarily by its architecture. In Table 7, various mechanical, physical, and lifetime properties for the Generation-III SiC fiber, CVI SiC composites are compared for several representative architectures and orientations. Although the data availability is rather limited, it can be seen that most properties are not very strongly dependent on architecture or orientation, except for the fracture properties. Note that the apparent differences in transverse thermal conductivity are not inherent to the different in architecture but more strongly depend on the characteristics of interlaminar pores. Also it is important to note that these properties are substantially different from those for composites with previous generations SiC fibers, for which much more complete properties data bases are available.

		2D 0/90°	$2D \pm 45^{\circ}$	Braiding, bi- axial $\pm 55^{\circ}$	Braiding, tri- axial
In-plane tensile proportional limit stress	MPa	100-150 <sup>1)</sup>	100-150 <sup>1)</sup>	70-150 <sup>1)</sup>	~100 <sup>1)</sup>
In-plane tensile strength	MPa	250-400 <sup>1)</sup>	~200 <sup>1)</sup>	100-300 <sup>1)</sup>	~150 <sup>1)</sup>
In-plane tensile modulus	GPa	200-300 <sup>1)</sup>	200-300 <sup>1)</sup>	200-300 <sup>1)</sup>	200-300 <sup>1)</sup>
Poisson's ratio		$0.2^{1)}$	$0.2^{1)}$	0.21)	-
Trans-thickness tensile strength	MPa	$\sim 20^{2)}$	$\sim 20^{2)}$	~20 <sup>4)</sup>	~20 <sup>4)</sup>
In-plane flexural proportional limit stress	MPa	300-500 <sup>4)</sup>	-	-	-
In-plane flexural strength	MPa	500-800 <sup>4)</sup>	-	-	-
In-plane shear proportional limit stress	MPa	~40 <sup>5)</sup>	~40 <sup>5)</sup>	-	-
In-plane shear strength	MPa	~100 <sup>5)</sup>	~120 <sup>5)</sup>	-	-
Interlaminar shear strength	MPa	~40 <sup>5)</sup>	~40 <sup>5)</sup>	-	-
Fracture toughness	MPa-m <sup>1/2</sup>	$20-30^{2}$	$\sim 20^{2)}$	20-30 <sup>1)</sup>	~20 <sup>1)</sup>
Cyclic fatigue run-out stress (1000°C in argon, tension-tension)	MPa	~70 <sup>5)</sup>	-	-	-
Critical rupture stress (1200°C in air, tension)	MPa	<50 <sup>5)</sup>	-	-	-
Mass density	g/cm <sup>3</sup>	$2.6-2.8^{1}$	$2.6-2.8^{1}$	$2.6-2.8^{1}$	$2.6-2.8^{1}$
Thermal conductivity in none	W/m V	$\sim 30 (RT)^{2}$	$\sim 30 (RT)^{2}$	$\sim 40 (RT)^{1}$	$\sim 40 (RT)^{1}$
Thermal conductivity, in-pane	W/m-K	~18 (800°C)	~18 (800°C)	~25 (800°C)	~25 (800°C)
Themas leave duration to the management	W/m V	$\sim 15 (RT)^{2}$	$\sim 15 (RT)^{2}$	$\sim 35 (RT)^{1}$	$\sim 25 (RT)^{1}$
Thermal conductivity, transverse	W/m-K	~10 (800°C)	~10 (800°C)	~20 (800°C)	~18 (800°C)
Thermal expansion (0-1000°C)	ppm/K	~4.5 <sup>3)</sup>	~4.5 <sup>3)</sup>	~4.5 <sup>3)</sup>	~4.5 <sup>3)</sup>

 Table 7: Typical mechanical, physical, and lifetime properties of CVI SiC/SiC. [47]

\*Materials are Hi-Nicalon<sup>™</sup> Type-S, 150nm pyrocarbon interphase, CVI SiC matrix composites unless otherwise noted.

1) Data obtained in U.S. Gen-IV NGNP Composite R&D Program and affiliated SBIR programs.

2) Data obtained in Fusion Advanced Materials Program for materials in the same class.

3) Intrinsic properties for crystalline, stoichiometric SiC. Confirmative data exist.

4) Values guessed by the authors based on data for similar materials.

5) Data for CG-Nicalon<sup>™</sup>, pyrocarbon interphase, CVI SiC matrix composites.

#### 2.4.1 Flexural strength

Historically, the flexural test has been most frequently used to evaluate the strength of ceramic composites. The primary reason for the heavy utilization of flexural test is that it requires only very simple testing procedures and relatively small, simple-shaped specimens. An ASTM test standard exists for flexural testing of continuous fiber ceramic composites. In Figure 4, typical flexural stress-strain behavior of the Generation III CVI SiC/SiC is presented [48]. In this example, the materials are CVI composites with varied pyrocarbon interphase thickness and are reinforced with plain-weave Tyranno<sup>™</sup> SA3 fabrics and are tested in the 0/90° orientation. The composites exhibits 500 - 800 MPa ultimate flexural stresses with the proportional limit stresses typically ~70% of the ultimate stresses. The flexural strengths measured for fiber composites are generally substantially greater than the tensile strength. This is generally

explained that the neutral plane in the flexural specimen shifts toward the compressive side as the extensive cracking proceeds on the tensile side. Hence the apparent maximum stress becomes greater than the true stress near the tensile surface. Moreover, very large apparent failure strains are often exhibited in a flexural loading configuration as in Figure 4, because the preceding delamination failure allows large bending without complete fiber fracture. Because of these reasons, flexural strength data for ceramic composites should be used only for preliminary evaluation or when the stress states in the flexural test is relevant to the anticipated failure mode in specific applications.

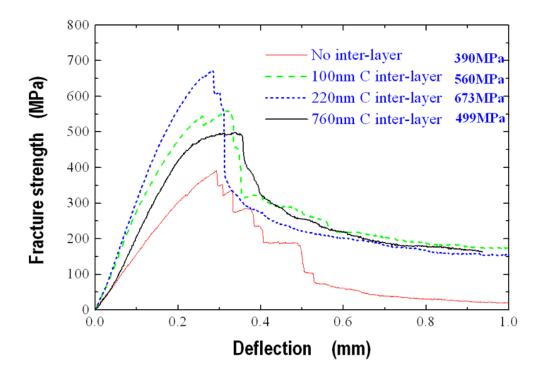


Figure 4: Flexural properties of CVI SiC/SiC. [48]

## 2.4.2 Tensile strength

Because of the above-stated shortcomings regarding general validity of the strength data obtained by flexural tests, it is highly desirable that the baseline strength data are obtained by tensile testing for ceramic composites. ASTM test standards exist for tensile testing of continuous fiber ceramic composites at ambient and elevated temperatures. Moreover, test techniques using small tensile specimens were recently established. In Figure 5, examples of stress-strain behavior of the Generation III CVI SiC/SiC are presented [46]. In these examples,

the materials are CVI composites with thin (<100 nm) pyrocarbon interphases and are reinforced with plain-weave Tyranno<sup>™</sup> SA3 or Hi-Nicalon<sup>™</sup> Type-S fabrics and are tested in the 0/90° orientation at ambient and elevated temperatures. The testing procedure employed involves incremental unloading/reloading sequences in order to determine the extent of matrix damages and interfacial properties [49]. The labels I, II, and III indicate stages of elastic/reversible deformation, matrix microcrack accumulation, and progressive fiber failure, respectively. The end of Stage I implies the initiation of matrix cracking and/or crack opening and the corresponding stress is termed proportional limit stress (PLS). The ultimate tensile stress (UTS) and PLS for the Generation III CVI SiC/SiC is typically 250 - 400 MPa and 100 -150 MPa, respectively. The tensile behavior at 1300°C in Figure 5 indicates the lack of strength decrease at the test temperature as compared with ambient temperature (strain was not correctly measured in that work at 1300°C).

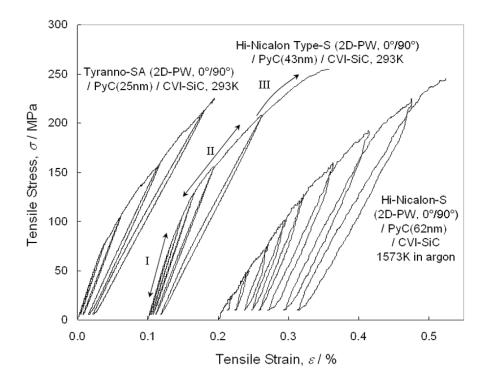


Figure 5: Examples of tensile stress-strain behavior of CVI SiC/SiC (testing procedure involves incremental unloading/reloading sequences to determine the extent of matrix damages and interfacial properties). The labels I – III indicate stages of tensile fracture of the composites in this class; namely I: elastic/reversible deformation, II: matrix micro-crack accumulation, and III: progressive fiber failure. The horizontal shifts are intentional for clarity. [46]

#### 2.4.3 Thermal conductivity

High thermal conductivity is preferred for most thermostructural applications, because it often lowers the highest temperature in the components, lowers thermal stress, and reduces thermal shock and/or thermal stress loads. These will be important considerations for use of SiC/SiC as the fuel cladding.

Thermal conductivity in SiC/SiC is usually highly anisotropic depending on architecture. It is governed by the thermal conductivity, volume fraction, shape, and orientation of the fibers, matrix, interphases, and pores. Typical thermal conductivities for the Generation III CVI SiC/SiC in two-dimensional and orthogonal three-dimensional architectures are shown as a function of temperature in Figure 6, where experimental data are compared with the model simulation [50].

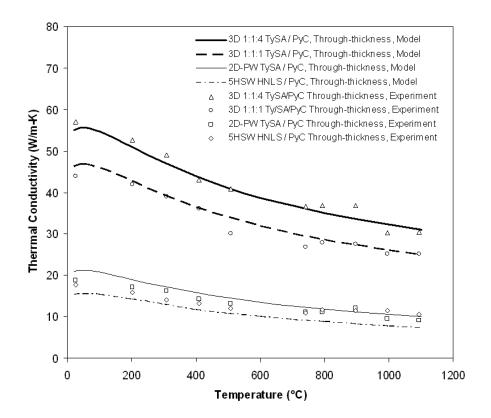


Figure 6: Thermal conductivity of CVI SiC/SiC. [50]

Thermal conductivity of CVI SiC matrix with hypothetical full density is often assumed to be 50 - 70 W/m-K. Among the Generation III SiC fibers, Tyranno<sup>TM</sup> SA3 gives the highest thermal conductivity of ~65 W/m-K at ambient temperature, as compared to ~18 W/m-K for Hi-Nicalon<sup>TM</sup> Type-S. Therefore, thermal conductivity of SA3 CVI SiC/SiC in major fiber directions falls in a 40 to 60 W/m-K range, and somewhat lower for Type-S CVI SiC/SiC. The low conductivity for the Type-S fiber is due to the presence of high concentration impurity and the smaller grain sizes. Thermal conductivity of SiC/SiC in lay-up type architectures (e.g., two-dimensional and braiding) in the transverse, or trans-thickness, direction is usually much lower than in in-plane directions. This is primarily because of the presence of interlaminar pores which are in planar shapes and are oriented so that transverse heat transport is effectively disrupted. Typical transverse thermal conductivity of two-dimensional and braided SiC/SiC wall is 15 - 20 W/m-K at ambient and 10 - 15 W/m-K at 800°C. It is important to note that neutron irradiation reduces the thermal conductivity of ceramics including SiC very effectively (see discussion in Section 2.5). The transverse thermal conductivity will also be reduced substantially when interlaminar cracks are introduced.

Constitutive modeling of thermal conductivity in SiC/SiC has been advanced during the last few years, and fairly sophisticated predictive capability is now developed including irradiation effect. In Figure 7, thermal conductivity and thermal stress are calculated for varied transverse fiber fractions in orthogonally three-dimensional Tyranno<sup>™</sup> SA3 CVI SiC/SiC and presented [50].

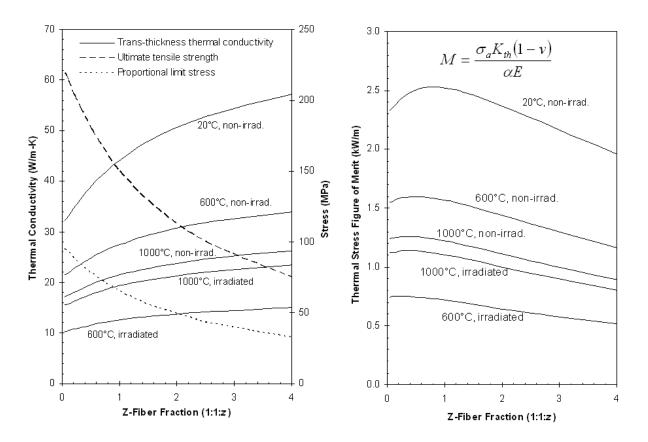


Figure 7: Strength and thermal property tailoring of 3D SiC/SiC. [50]

#### 2.4.4 Lifetime properties

Fatigue properties are important to determine the both the static and cyclic design stresses for ceramic composites. The static fatigue is deterioration of materials due to crack extension under static loading, whereas the cyclic fatigue occurs under repeating dynamic loading environments. The static fatigue in ceramic composites is often misconstrued as creep. Slow crack growth (SCG), or stress corrosion cracking (SCC), is an environmentally assisted form of the static fatigue (although environmentally assisted and non-assisted crack growth may not be clearly separated). Studies on fatigue or crack growth properties of the Generation III SiC fiber CVI SiC/SiC have been extremely limited. Therefore, such properties for SiC/SiC are briefly discussed below using data published for previous generation materials.

Static fatigue lifetime of SiC/SiC exhibits a negative correlation with the magnitude of applied stress above the stress at which matrix microcracking is introduced. Hence it often can be fit to a Larson-Miller expression, although the adequacy of the Larson-Miller fitting has not yet demonstrated. The Larson-Miller law will be useful once established, however, will require a

large amount of experimental data to establish. Moreover, a prudent consideration of environment effect will be required. In Figure 8, static fatigue property of Hi-Nicalon<sup>™</sup> CVI SiC/SiC is shown in a Larson-Miller plot [51]. The material studied in the work of Figure 8 exhibits an apparent PLS of approximately 150 MPa. It is important to note that the static fatigue failure occurs at stress levels lower than PLS. The reason is that PLS does not represent the stress at which the first matrix cracking occurs but is the stress at which detectable crack opening occurs. A very limited work on static fatigue of Tyranno<sup>™</sup>-SA3 CVI SiC/SiC has been performed by Riccardi et al to confirm the same trend [52].

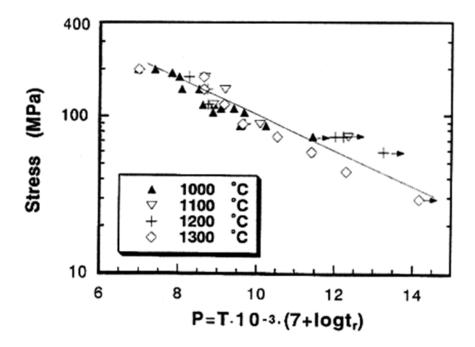


Figure 8: Static fatigue of CVI SiC/SiC. [51]

Static fatigue due to the environmentally assisted SCG is often an issue for SiC/SiC for use in oxidative environments. It has been proposed that the SCG is enabled by recession of interphase and/or creep, degradation, or rupture of fibers [53]. On the other hand, the liquid salt environment may be unique for that it may attack SiC (though it seems to depend on quality of SiC) rather than carbon interphase. The effect of liquid salt environment on SCG of SiC/SiC is unknown.

The cyclic fatigue limit stress for SiC/SiC may be substantially higher than the static fatigue limit. The stress – number of cycles (S-N) relationship determined for a material very similar to

the one used in the work of Figure 8 is presented in Figure 9 [54]. It is noted that the cyclic fatigue limit stress in Figure 9 is about ~140 MPa, whereas the static fatigue limit stress in Figure 8 appears approximately 70 MPa at <1200°C. To the contrary, a very limited work on cyclic fatigue of Tyranno<sup>™</sup>-SA3 CVI SiC/SiC performed by Riccardi et al implies the cyclic fatigue limit stress similar to the static fatigue stress [52]. This area needs more work for clarification.

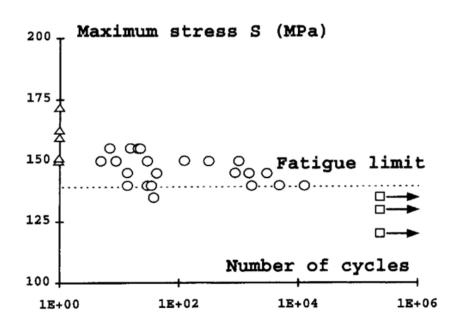


Figure 9: Cyclic fatigue of CVI SiC/SiC. [54]

#### 2.4.5 Anisotropy of properties

One of the important design aspects for the use of tubular composites is fiber architecture to ensure the best optimized margins against stresses generated by mechanical loading or thermal expansion in the axial and hoop directions, i.e., anisotropy issue. The anisotropy is obviously the most pronounced for unidirectional architecture. Multi-axial fiber reinforcement is often applied to relief composite anisotropy. There have been studies on anisotropic tensile properties of the previous generation SiC/SiC composites [55-56]. Recently, a limited study on anisotropic mechanical properties of the Generation III CVI SiC/SiC was performed [47].

The work is briefly summarized in Figure 10, showing tensile PLS and ultimate stress with respect to the off-axis loading angle. Key crack initiation mechanisms are supposed to be 1) transverse cracking perpendicular to the fiber longitudinal direction, i.e., matrix cracking, 2) in-

plane shear cracking within the fiber bundles along the longitudinal fibers, and 3) interlaminar shear cracking along the longitudinal fibers by fiber detachment. For off-axis tension, the applied stress can be separated into three stress elements: tensile stresses in the longitudinal fiber direction, in the transverse fiber direction, and in-plane shear stress on the plane parallel to the longitudinal fiber direction. The map indicates that cracking and failure occur when any of the stress components exceed the critical cracking and failure stresses in the respective mode.

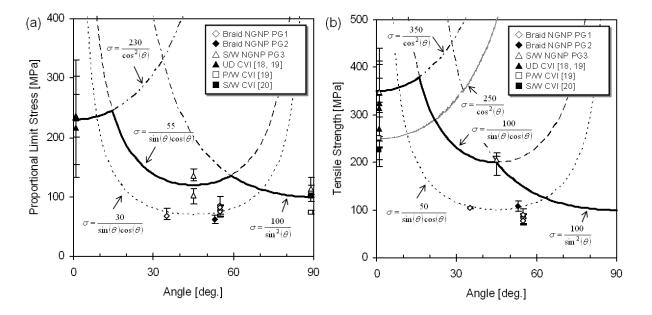


Figure 10: Anisotropy in mechanical properties of 2D SiC/SiC with regard to fiber orientation. [47]

#### 2.4.6 Properties of NITE SiC/SiC

As discussed above, NITE SiC/SiC is a potential alternative to CVI SiC/SiC. NITE SiC/SiC is attractive in terms of strength, thermal conductivity, gas tightness, etc., but is on the other hand less mature as an industrial material. Extensive characterization of the NITE SiC/SiC is being performed in Japanese GFR and nuclear heat exchanger programs. A comparison of NITE SiC/SiC with CVI SiC/SiC for baseline properties was provided in Table 3. Ambient temperature tensile property of the pilot commercial grade NITE SiC/SiC is shown in Figure 11 [22]. The NITE SiC/SiC possesses a near-full density matrix of beta-phase SiC and a small amount of products from the oxide additives. Therefore, it can be considered to be a similar material to CVI SiC/SiC except for lack of the high matrix porosity. The less porous matrix provides higher

strength, higher thermal conductivity, (both in an ideal condition,) and higher composite modulus.

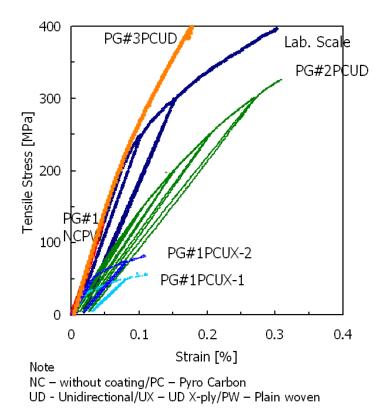


Figure 11: Mechanical property of NITE SiC/SiC. [29]

# 2.5 RADIATION EFFECT

## 2.5.1 Radiation effect on strength of pure SiC

Because the matrix and fiber constituents for the Generation III CVI SiC/SiC consist of betaprimarily phase SiC, the effect of neutron irradiation on the mechanical properties of monolithic beta-SiC is among the most important issues to understand irradiation effects on composites. For example, the matrix-cracking stress for SiC/SiC composites, which is related to both static and dynamic fatigue limits, can be subject to irradiation effect through modification of fracture energy and elastic moduli of the composites' constituents [57]. There have been several studies on the effect of neutron irradiation on the strength of high purity beta-SiC made through chemical vapor deposition. Generally, the high purity CVD SiC exhibits excellent neutron irradiation resistance [58]. The irradiation effect on flexural strength of Roam and Haas CVD SiC in a fluence range of 0.15-18.7 dpa is summarized in Figure 12 [59]. Note that 1 dpa approximately corresponds to a fast neutron fluence  $1 \times 10^{25} \text{ n/m}^2$  (E > 0.1 MeV) in HFIR flux trap, although the conversion factor varies depending on neutron spectrum. Irradiation-induced strengthening seems to be significant at 573–1273 K. There a few reported data points which imply potential deteriorating effect of irradiation. Such deterioration is considered exceptional and is supposed to have been caused by the second phase inclusion in the material used. Unfortunately, details of the material were not given in the original literature [60]. Relatively large scatter in flexural strength of brittle ceramics is inevitable, since the fracture strength is determined by the effective fracture toughness, morphology and characteristics of the flaw that caused the fracture. Irradiation possibly modifies both the flaw characteristics and the fracture toughness, through potential surface modification, relaxation of the machining-induced local stress, modifications of elastic properties and fracture energy.

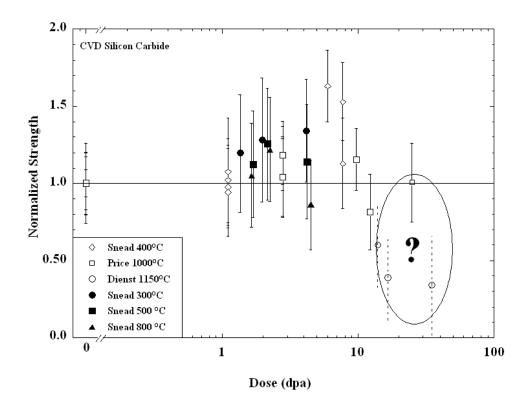


Figure 12: Effect of neutron irradiation on fracture strength of high purity CVD SiC. [59]

A change in the Weibull statistics, indicating a higher scatter in as-irradiated flexural strength has been observed, though the point could not be made convincingly due to limitations in the number of tests observed. In the earliest work known to the authors, Sheldon [61] noted a 14% decrease in crushing strength of highly irradiated CVD SiC shells with an increase of the coefficient of variation from 8% to 14%. Price [17] performed the 4-point flexural test with relatively thin (~0.6mm) strips of CVD SiC deposited onto a graphite substrate. In his work the flexural strength following an ~9.4 × 10<sup>25</sup> n/m<sup>2</sup> (E > 0.1 MeV) irradiation was unchanged within the statistical scatter, but the scatter itself increased from about 10% to 30% of the mean flexural strength as described assuming a normal distribution. Unfortunately, there was not sufficient number of samples in Price's work to infer Weibull parameters.

The statistically meaningful data-sets on the effect of flexural strength of CVD SiC have been reported by Newsome [43] and Katoh [58]. Figure 13 shows a compilation Weibull plot of flexural strength of non-irradiated and irradiated Rohm & Haas CVD SiC taken from the two separate irradiation experiments carried out by the two authors. The sample population was in excess of 30 for each case. In Figure 13(a) the data was arranged by irradiation temperature including data for non-irradiated and 1.5–4.6 ×  $10^{26}$  n/m<sup>2</sup> (E > 0.1 MeV) dose range. It is apparent that Weibull modulus decreased by irradiation and seemingly dependent on irradiation temperature.

Statistical scatter in fracture stress of brittle materials often increases due to harsh handling of the samples. Unfortunately, it is often inevitable to introduce undesired edge flaws during the capsule disassembly and handling of ceramic samples in a hot cell in neutron irradiation experiments. The work of Katoh, on identical material and irradiated at the same temperature as the Newsome work, is at a slightly higher irradiation dose than the data of Newsome. As seen in Figure 13(b) the effect on the Weibull modulus undergoes a similar trend to that of Newsome, though the modulus for the 773 K irradiation of Katoh is not in agreement, actually showing a slight increase. Given the data discussed on the effect of irradiation on the Weibull modulus and scale parameter of CVD SiC bend bars, it is clear that the material is somewhat strengthened whereas the effect of irradiation on the Weibull modulus of fracture stress remains unclear.

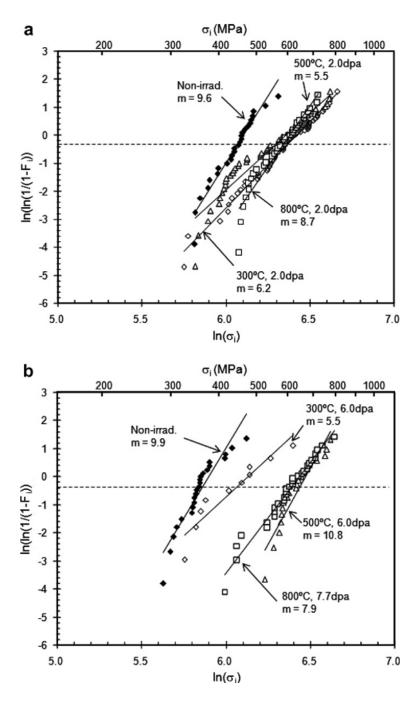


Figure 13: Effect of neutron irradiation on statistical fracture strength of high purity CVD SiC. [43, 58]

For additional information, the effect of neutron irradiation on statistical flexural strength of the matrix material of the NITE SiC/SiC is presented in Figure 14 [62]. The irradiation dose and temperature in that work were 0.6 dpa and 750°C, respectively. This result indicates minor yet statistically insignificant effect of radiation in this particular condition on the strength of the

NITE matrix material. Further study will be required in order to assess the radiation effect on NITE matrix material.

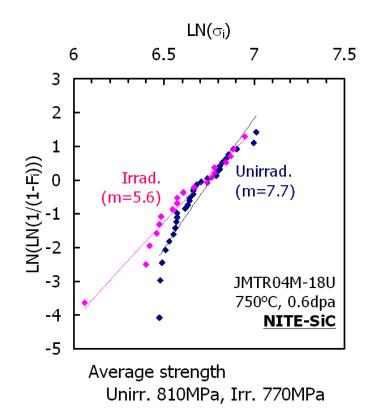


Figure 14: Effect of neutron irradiation on fracture strength of liquid phase sintered SiC. [62]

## 2.5.2 Radiation effect on strength of SiC/SiC

Published literature reporting the neutron irradiation effects on mechanical properties of the Generation III CVI SiC/SiC is summarized in Table 8 [25, 43, 63-67]. The first result was reported in 2000 by Snead et al. Recent work tends to involve a detailed properties evaluation by tensile testing and extended hysteresis analysis.

 Table 8: Summary of neutron irradiation effects on mechanical properties of advanced

 fiber CVI SiC/SiC. [25, 43, 63-66, 68]

Reference	Material	Irradiation Condition	Observation
Snead et al, 2000	Hi-Nicalon S / CVI	400°C, ~1 dpa	- Slight increase or no change in flexural strength
Hinoki et al, 2002	Hi-Nicalon S / CVI Tyranno-SA1 / CVI	300°C, 6 dpa 800°C, 7.7 dpa	<ul> <li>Slight increase or no change in flexural strength</li> <li>Slight increase or no change in flexural PLS</li> <li>Slight decrease in flexural modulus</li> </ul>
Nozawa et al, 2004	Hi-Nicalon S / CVI	800°C, 7.7 dpa	<ul> <li>Slight increase in UTS</li> <li>Slight decrease in tensile PLS</li> <li>No significant change in tensile modulus</li> </ul>
Hegeman et al, 2005	SA-Tyrannohex Hi-Nicalon S / CVI	600/900°C, ~2 dpa	<ul><li>Little change in flexural strength</li><li>No or slight decrease in dynamic modulus</li></ul>
Nozawa et al, 2005	Hi-Nicalon S / CVI	800/1000°C, 1 dpa	<ul> <li>Slight increase in UTS</li> <li>No or little change in tensile PLS</li> <li>Decrease in tensile modulus</li> </ul>
Ozawa et al, 2007	Hi-Nicalon S / CVI Tyranno-SA3 / CVI	750°C, 12 dpa	<ul> <li>No change in UTS</li> <li>Slight increase or no change in tensile PLS</li> <li>Slight decrease in tensile modulus</li> </ul>
Newsome et al, 2007	Hi-Nicalon S / CVI	300/500/800°C, <∼3.4 dpa	- Slight decrease in flexural strength, flexural PLS, and flexural modulus

The effect of neutron irradiation on strength of the Hi-Nicalon<sup>™</sup> Type-S, PyC interphase, unidirectional CVI composites irradiated in High Flux Isotope Reactor (HFIR) and Japan Materials Test Reactor (JMTR) was recently evaluated [66, 69]. In the HFIR experiment, the previous preliminary conclusion that SiC/SiC in this class likely maintains strength after neutron irradiation to  $7.7 \times 10^{25}$  n/m<sup>2</sup> (E > 0.1 MeV) at 800°C obtained by four-point flexural tests appeared to hold true [69]. The effect of neutron irradiation in 200 - 800°C temperature range on flexural strength of the SiC/SiC with fibers of the three generations is given in Figure 15, which shows no strength degradation for the Generation III materials. Whereas the previous flexural tests only indicated the lack of either the composite proportional limit stress (PLS) reduction or the severe fiber tensile strength degradation, the tensile tests revealed that in fact the composite UTS slightly increases while other properties such as PLS and modulus does not significantly degrade after irradiation. Moreover, the detailed analysis on the loading - unloading behavior provided insight into the effect of irradiation on the bonding and frictional properties of the fiber - matrix interface and the residual thermal stress. The result for the identical material irradiated in JMTR has shown that the irradiation effect at 1-2 x  $10^{25}$  n/m<sup>2</sup> (E > 0.1 MeV) at 800 and 1000°C on tensile properties is essentially same as that at the higher dose and 800°C [66].

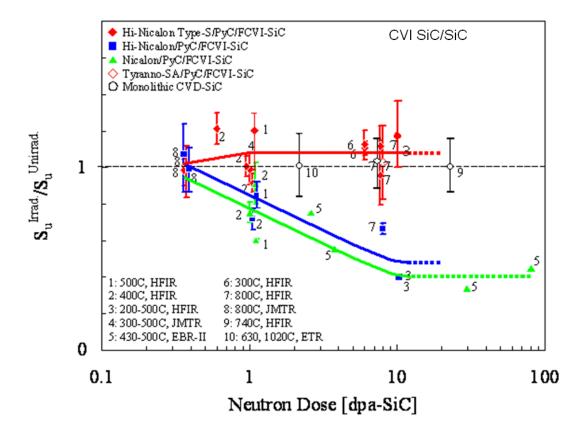


Figure 15: Effect of neutron irradiation on flexural strength of SiC/SiC. [70]

PIE of the Generation III SiC fiber composites neutron-irradiated to the highest dose so far has been completed in Japan. In that campaign, 2D Hi-Nicalon<sup>TM</sup> Type-S and Tyranno<sup>TM</sup>-SA3, PyC interphase, CVI composites infiltrated at ORNL had been irradiated in JOYO (JOYO is not an acronym) fast breeder reactor (Japan Atomic Energy Agency, Oarai, Japan) to the maximum dose of  $1.5 \times 10^{26}$  n/m<sup>2</sup> (E > 0.1 MeV) at 750°C [67]. As seen in the representative stress - strain curves in Figure 16, the test result indicated no significant changes in tensile properties for composites with both types of fibers, demonstrating the competitiveness of Tyranno-SA3 fiber composites in terms of stability under neutron irradiation. The tensile hysteresis analysis and fractography did not imply a major degradation in interfacial frictional stress. However, as a major degradation of graphite strength is expected to take place at about this dose level, irradiation to even higher dose will be essential to determine the very high dose effect on the composite strength.

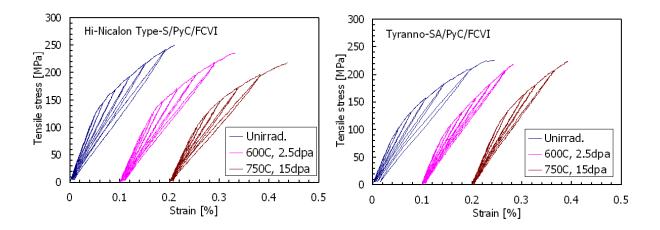


Figure 16: Effect of neutron irradiation on tensile properties of SiC/SiC. [68]

#### 2.5.3 Thermal conductivity

Due to a low density of valence band electrons, thermal conductivity of most ceramic materials, SiC in particular, is based on phonon transport. For a ceramic at the relatively high temperature associated with nuclear applications the conduction heat can be generally described by the strength of the individual contributors to phonon scattering: grain boundary scattering (1/K<sub>gb</sub>), phonon-phonon interaction (or Umklapp scattering 1/K<sub>u</sub>), and defect scattering (1/K<sub>d</sub>). Because scattering for each of these elements occurs at differing phonon frequencies and can be considered separable, the summed thermal resistance for a material can be simply described as the summation of the individual elements; i.e.,  $1/K = 1/K_{gb} + 1/K_u + 1/K_d$ . The non-irradiated thermal conductivity of SiC is highly dependent on the nature of the material (grain size, impurities, etc.) and the temperature. While materials can be optimized for low intrinsic defect, impurity, and grain boundary scattering, the temperature dependent phonon scattering cannot be altered and tends to dominate at high temperature (above about 673 K for SiC).

The effect of irradiation on SiC in the temperature range of ~ 423–1073 K (the point defect regime discussed in Section 2.5.4) is to produce simple defects and defect-clusters that very effectively scatter phonons. For ceramics possessing high thermal conductivity the irradiation-induced defect scattering quickly dominates, with saturation thermal conductivity typically achieved by a few dpa (or a few  $x10^{25}$  n/m<sup>2</sup> of fast fluence). Moreover, as the irradiation-induced defect scattering exceeds the phonon-phonon scattering, the temperature dependence of thermal conductivity is much reduced or effectively eliminated. In recent work by Snead [71], the degradation in thermal conductivity has been analyzed in terms of the added thermal resistance caused by the neutron irradiation. CVD SiC was shown to have a similar accumulation of

thermal defect resistance as a hot-pressed form of SiC with substantially lower (~90 W/m·K) non-irradiated thermal conductivity. The utility of this finding is that if the thermal defect resistance is mapped as a function of irradiation temperature and dose for a form of high purity CVD SiC it can be applied to determine the thermal conductivity to any high-purity CVD SiC, independent of starting thermal conductivity.

The thermal conductivity degradation discussed to this point has been for the point defect regime. For irradiation above this temperature (the non-saturatable void swelling regime), the thermal properties are not expected to saturate (at least at low dpa). The primary reason for this is that the formation of voids and other complex defects in the high temperature regime contribute to phonon scattering, and these defects will not saturate. The thermal conductivity degradation in the high temperature regimes is less dramatic. This is opposite to the behavior in the lower temperature, saturatable regime, where high swelling corresponds to extreme reduction in thermal conductivity. Unfortunately, the data on thermal conductivity reduction in the non-saturatable regime is limited, and given the lack of knowledge of the specific defects governing the phonon scattering, it is not possible to predict behavior outside of the existing data-set.

The thermal conductivity of irradiated composites is determined by the irradiated thermal conductivity of the constituents in exactly the same manner with the non-irradiated thermal conductivity of composites. Examples of the measured thermal conductivities for high purity CVD SiC and Hi-Nicalon Type-S CVI SiC/SiC are shown as a function of irradiation dose in Figure 17. In this experiment, thermal conductivity was measured at room temperature. The data clearly indicate that the thermal conductivity degradation saturates by 1 or a few dpa in this temperature regime. It should be noted that the composite used represents the lowest thermal conductivity materials in this material class. Constitutive models described in Section 2.4.3 have successfully demonstrated their capability to explain the irradiated composite thermal conductivity.

Data presented thus far have been limited to measurement of thermal conductivity at roomtemperature. For non-irradiated ceramics, there is a dramatic dependence of thermal conductivity on measurement temperature. Temperature dependence of defect scattering is not presently understood.

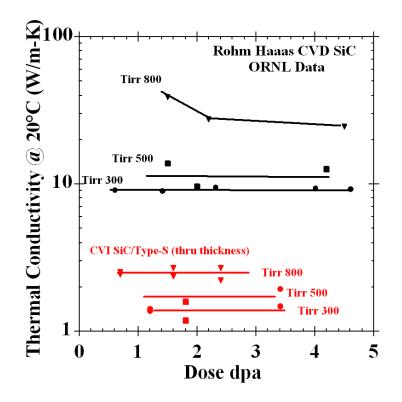


Figure 17: Effect of neutron irradiation on thermal conductivity of SiC/SiC. [72]

## 2.5.4 Radiation-induced swelling and microstructure

The neutron-induced swelling of SiC has been well studied for low and intermediate temperatures (~293–1273 K). The irradiation-induced microstructural evolution of CVD SiC is to some extent understood and has been reviewed recently by Katoh et al. [73] However, the contribution of the defects themselves to the swelling in SiC is less understood. For irradiation temperatures less than about 423 K, accumulation of strain due to the irradiation-produced defects can exceed a critical level above which the crystal becomes amorphous. This has been shown for both self-ion irradiation and under fast neutron irradiation [74-76]. As shown by Katoh et al., the swelling at 323 K under self-ion irradiation increases logarithmically with dose until amorphization occurs. The swelling of neutron- and ion-amorphized SiC has been reported to be 10.8% for 343 K irradiation. However, there is evidence that the density of amorphous SiC will depend on the conditions of irradiation (dose, temperature, etc.) [77].

For temperatures above the critical amorphization temperature ( $\sim$ 423 K) the swelling increases logarithmically with dose until it approaches saturation, with a steady decrease in the saturation swelling level with increasing irradiation temperature. The dose exponents of swelling during the logarithmical period are in many cases close to 2/3 (until saturation is reached), as

predicted by a kinetic model assuming planar geometry for interstitial clusters [78-79]. The saturation of swelling, in addition to other irradiation-induced phenomena such as thermal conductivity change and Young's modulus decrease, is believed to be due to the strong sink effect provided by self defects that homogeneously accumulate in the matrix at extremely high densities. This temperature regime is generally referred to as the point-defect swelling regime and can be roughly set between 423 and 1273 K. Swelling in this range approaches the saturation before a few dpa is reached. The saturated swelling in CVD SiC is plotted in Figure 18 as a function of irradiation temperature. The swelling of SiC is highly temperature dependent. For the data given in Figure 18, the saturation values of swelling at 473 K are several times that for 1073 K irradiation. This reduced swelling with increasing irradiation temperature is primarily attributed to enhanced recombination of cascade produced Frenkel defects due to lower interstitial clustering density for higher temperatures. The second characteristic swelling behavior to note is that the swelling saturates at a relatively low dose. For damage levels of a few dpa (typically months in a fission power core) the swelling in the point-defect recombination range has found its saturation value. The significant scatter of the data points for >1473K in Figure 18 implies the onset of fluence-dependent swelling at around that temperature.

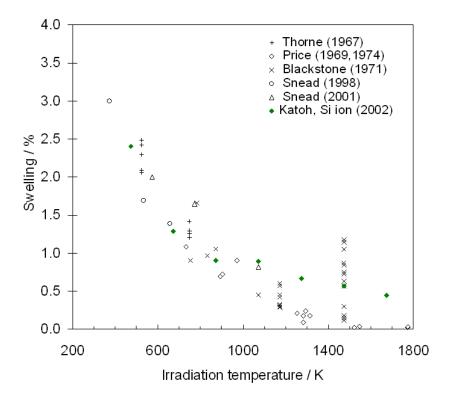


Figure 18: Effect of neutron irradiation on saturatable swelling of SiC/SiC. [80]

At higher temperatures such as 1173–1673 K [81-82], Frank faulted loops of interstitial type become the dominant defects observed by transmission electron microscopy. It has also been reported that Frank faulted loops appear for lower temperature neutron irradiation at extremely high doses approaching 200 dpa [83]. At temperatures where vacancies are sufficiently mobile, vacancy clusters can be formed. Three-dimensional cavities (or voids) are the only vacancy clusters known to commonly develop in irradiated SiC. Positron annihilation and electron paramagnetic resonance studies have shown that the silicon vacancy in cubic SiC becomes mobile at 1073–1173 K [84-85]. Therefore, it would not be surprising for void swelling to take place at as low as ~1273 K at high doses, particularly for low damage rate irradiations.

Recent work has been carried out in the ~1173–1773 K range for high purity CVD SiC irradiated in HFIR. Of particular significance to that experiment is the confidence in irradiation temperature owing to the melt-wire passive thermometry. Recent TEM imaging by Kondo [86] clearly shows the evolution of complex defects, e.g., significant growth of voids commences at 1723 K, well faceted voids appeared to be tetrahedrally bounded by {111} planes, which likely provides the lowest surface energy in cubic SiC. In many cases, voids appeared to be aligned on stacking faults at all temperatures. However, intragranular voids unattached to stacking faults were also commonly observed at 1723 K. Evolution of the dislocation loops into dislocation networks was confirmed for irradiation at 1723 K.

Figure 19 plots both historical data and published and previously unpublished data from a recent high-temperature irradiation study [87]. A divergence from point-defect "saturated" swelling to non-saturated swelling is observed in the 1073–1473 K range, though additional data in this temperature range as a function of fluence would be required to precisely define such behavior. Above 1273 K, there exists a clear non-saturated swelling behavior for CVD SiC. The two divergent curves drawn in Figure 19 represent data taken at nominally ~2 and ~5 × 10<sup>25</sup> n/m<sup>2</sup> (E > 0.1 MeV) (assumed 2 and 5 dpa). In the 1373–1473 K temperature range, volumetric swelling is apparently at a minimum though it likely increases with dose. Clearly the swelling in this temperature range has not saturated by 5 dpa. This finding is somewhat surprising given the apparent mobility of the silicon vacancy above 1273 K found experimentally in cubic SiC (the CVD SiC of this study is highly faulted FCC). Above this minimum in swelling the data indicates a continual swelling increase to the highest irradiation temperature of ~1773–1873 K. It was also noted in the study by Snead et al. [87] that for ~1773 K surface reaction between SiC and the graphite holder had taken place. Though, a loss of silicon from the surface can not be ruled out.

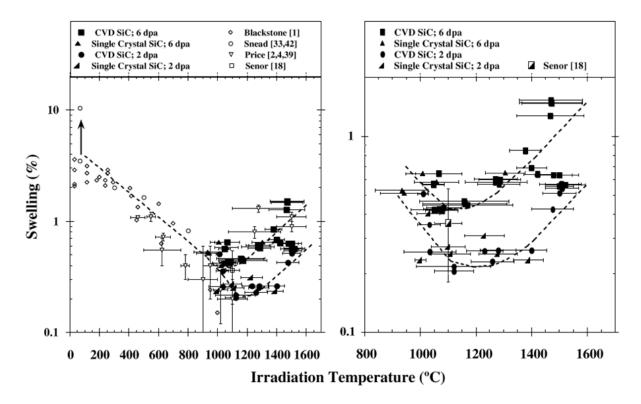


Figure 19: Effect of neutron irradiation on unstable swelling of SiC/SiC. [87]

As mentioned earlier, the microstructural evolution of irradiated SiC is to limited extent understood, at least for temperatures up to ~1373 K for neutron irradiation and up to ~1673K for self ion irradiation. The swelling near the critical amorphization temperature (~423 K) is classically described as the differential strain between the single interstitial, or tiny interstitial clusters, immobile vacancies, and antisite defects. As the temperature increases above the critical amorphization temperature the number of defects surviving the cascade are reduced and the mobility of both silicon and carbon interstitials becomes significant. For temperatures exceeding ~1273 K microstructural studies have noted the presence of both Frank loops and tiny voids indicating limited mobility of vacancies.

A map of microstructural development in high purity CVD SiC during irradiation is presented in Figure 20.[59] The map shows the summary of microstructural features observed after neutron and self ion irradiation as a function of irradiation temperature and fluence. Note that this compilation is intended to present a general trend rather than results of strict interpretation of detailed microstructural examination, and will be subject to future revision when more data are made available. It should be noted that 1) Price claimed small dislocation loops produced at <1000°C to be Frank loops but did not present an explicit evidence. Price reported only voids for >1200°C, which does not mean that no dislocation features were observed; 2) Irradiation temperatures for >50 dpa data in Yano et al work is highly uncertain; 3) Senor, et al., speculated small dislocation loops produced at 1100°C to be Frank loops but did not present evidence; and 4) Self ion irradiated microstructures may have been influenced by the dose rate effect, particularly at very high temperatures.

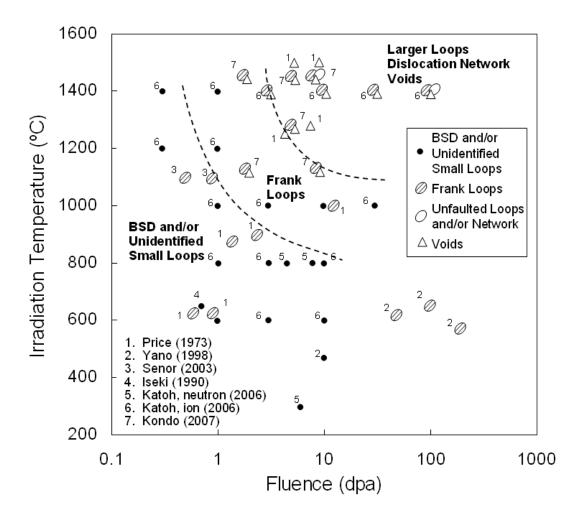


Figure 20: Microstructural development in high purity CVD SiC during neutron irradiation. [59]

#### 2.5.5 Irradiation Creep

The definition of irradiation creep is the difference in dimensional changes between a stressed and an unstressed sample irradiated under identical conditions. Irradiation creep is important for structural materials for nuclear services since it is a major contributor to the dimensional instability of irradiated materials at temperatures where thermal creep is negligible. However, studies on irradiation creep of SiC (-based materials) have so far been very limited, though it is of high importance for understanding the behavior of load-bearing components.

Price published the result of the irradiation creep study on CVD SiC in 1977 [88]. In that work, elastically bent strip samples of CVD SiC were irradiated in a fission reactor, and the steady-state creep compliance was estimated to be in the order of  $10^{-38}$  [Pa·dpa/m<sup>2</sup> (E > 0.18 MeV)]<sup>-1</sup> at 1053–1403 K. Scholz and co-workers measured the transient creep deformation of SCS-6 CVD SiC-based fiber, which was torsionally loaded under penetrating proton or deuteron beam irradiation [89-92]. They reported several important observations including the linear stress and flux dependency of the tangential primary creep rate at 873 K, and the negative temperature dependence of primary creep in Rohm and Haas CVD SiC and Hoya monocrystalline 3C-SiC during irradiation in HFIR and JMTR at 673–1353 K [93].

In the BSR irradiation creep experiment by Katoh et al., creep strain for CVD SiC exhibited weak temperature dependence at <0.7 dpa in the ~673–~1303 K temperature range, whereas a major transition at higher doses likely exists between ~1223 and ~1353 K. Below ~1223 K, the creep strain appeared highly non-linear with neutron fluence due to the early domination of the transient irradiation creep. The transient creep was speculated to have been caused by the rapid development of defect clusters and the structural relaxation of as-grown defects during early stages of irradiation damage accumulation. At ~1353 K, irradiation creep mechanisms which are common to metals are likely operating.

In metals, steady-state irradiation creep rates are generally proportional to the applied stress and neutron (or other projectiles) flux,  $\phi$  [94-95], and therefore irradiation creep compliance, *B*, has been conveniently introduced:  $\dot{\varepsilon}_{ic} = \sigma (B\phi + D\dot{S})$ , where *S* is void swelling and *D* is a coefficient of swelling-creep coupling. Ignoring the swelling-creep coupling term, preliminary estimations of the steady-state irradiation creep compliance of CVD SiC were given to be 2.7 ± 2.6 × 10<sup>-7</sup> and 1.5 ± 0.8 × 10<sup>-6</sup> (MPa- dpa)<sup>-1</sup> at ~873–~1223 K and ~1353 K, respectively. If linear-averaged, creep compliances of 1–2 x 10<sup>-6</sup> (MPa-dpa)<sup>-1</sup> were obtained for doses of 0.6-0.7 dpa at all temperatures. Monocrystalline 3C SiC samples exhibited significantly smaller transient creep strain by 0.7 dpa and greater subsequent deformation when loaded along <011> direction.

To better define the irradiation creep behavior of SiC and the underlying physical mechanisms, it will be essential to further examine the stress dependence, dose dependence, effect of

crystallographic orientation, microstructures of the crept samples, and the coupling between irradiation creep and swelling.

## 2.5.6 Summary of radiation effect issues

As the summary, irradiation effect issues for SiC/SiC composites and their constituents are listed in Table 9 along with the present status of studies and assessment. All the issues are relevant to application of SiC/SiC to salt-cooled reactor fuel assembly; some of them are likely linked with the critical feasibility and/or critical design issues.

Finally, the synergistic effects of neutron irradiation and chemical environment in salt-cooled reactors are unknown. This issue will also have to be examined.

# Table 9: Irradiation effect issues in SiC-based ceramics and composites and present status of assessment activities.

Issue	Status of Assessment
Irradiation effect on strength	<ul> <li>No significant strength degradation confirmed up to 12 dpa at 750°C or 5 dpa at 1100°C.</li> <li>High dose (&gt;10 dpa) irradiation effect on intrinsic mechanical properties of high purity SiC has not been confirmed.</li> <li>Potential mechanical property issue exists due to anticipated structural instability of carbon interphase at high doses.</li> <li>Constitutive models for mechanical properties of irradiated SiC/SiC are being developed.</li> </ul>
Thermal conductivity degradation	<ul> <li>Fairly comprehensive experimental data for intrinsic thermal conductivity of irradiated high purity SiC have been published and/or available for low dose (&lt;10 dpa) at 200-1500° C.</li> <li>High dose (&gt;10 dpa) data are not available.</li> <li>Constitutive models for thermal conductivity of irradiated SiC/SiC are being developed.</li> <li>Origin of thermal conductivity degradation is not exactly known.</li> </ul>
Point-defect swelling	<ul> <li>Fairly comprehensive experimental data for irradiated high purity SiC have been published and/or available for low dose (&lt;~4 dpa) at 200-1000°C.</li> <li>Higher dose data are not available.</li> <li>Point-defect swelling is anticipated to cause secondary stress and/or deformation when significant temperature gradient exists.</li> <li>Source of point-defect swelling is not exactly known.</li> </ul>
Void swelling	<ul> <li>High-purity, beta-phase CVD SiC has been confirmed to develop significant void swelling at &gt;1200°C at &lt;10 dpa.</li> <li>Fairly comprehensive data available from neutron and ion irradiation experiments.</li> <li>Little is known about driving/control mechanisms, effect of microstructures, high dose phenomena, etc.</li> </ul>
Irradiation creep	<ul> <li>Very little is known about irradiation creep phenomena and mechanisms for high purity SiC. Steady-state irradiation creep is particularly unknown.</li> <li>Study on transient irradiation creep in SiC has recently been initiated.</li> </ul>
Irradiation- enhanced slow crack growth	<ul> <li>Slow crack growth in SiC/SiC in oxidative, non-irradiation environment is being studied.</li> <li>Recent modeling work suggests that fiber irradiation creep may dictate slow crack growth in SiC/SiC.</li> </ul>

# 2.6 TEST STANDARDS AND NON-DESTRUCTIVE EVALUATION

Compared to structural metallic alloys, SiC/SiC are relatively new materials. Although highstrength SiC fibers first became available in the 1960's, today's SiC/SiC composites are the result of significant R&D efforts during the last 20 years in the aerospace and power generation industries. Unlike other structural materials, initial standardization efforts for SiC/SiC composites were concurrent with their development because it was recognized that their commercial diffusion and industrial acceptance could be hampered by lack of standard test methods, databases or design codes [96]. Numerous standardized mechanical testing methodologies have been developed for characterizing the mechanical properties of engineering materials. Noteworthy are the standards of the ASTM International. Typically these standards are based on testing experience including both independent research and round-robin evaluations. Such standards, so developed, are the result of consensus on the part of ASTM participants and therefore address the needs of the participants at the time the standards are developed.

In the United States, sub-committee C28.07 on Ceramic Matrix Composites of the ASTM International has spearheaded the widespread introduction of standard test methods for SiC/SiC and other ceramic matrix composites [96]. These standards have primarily concentrated on the evaluation of test coupons to determine the intrinsic mechanical properties of these materials and little work has been focused on the development of standards for the evaluation of ceramic matrix composite components. Table 10 lists the standardized test methods developed by ASTM's subcommittee C28.07 on Ceramic Matrix Composites.

Standard	Title
C1275-00	Standard Test Method for Monotonic Tensile Behavior of Continuous Fiber-Reinforced Advanced Ceramics with Solid Rectangular Cross-Section Test Specimens at Ambient Temperature
C1292-00	Standard Test Method for Shear Strength of Continuous Fiber-Reinforced Advanced Ceramics at Ambient Temperatures
C1337-96	Standard Test Method for Creep and Creep Rupture of Continuous Fiber-Reinforced Ceramic Composites under Tensile Loading at Elevated Temperatures
C1341-06	Standard Test Method for Flexural Properties of Continuous Fiber-Reinforced Advanced Ceramic Composites
C1358-05	Standard Test Method for Monotonic Compressive Strength Testing of Continuous Fiber Reinforced Advanced Ceramics with Solid Rectangular Cross-Section Test Specimens a Ambient Temperatures
C1359-05	Standard Test Method for Monotonic Tensile Strength Testing of Continuous Fiber- Reinforced Advanced Ceramics With Solid Rectangular Cross-Section Test Specimens a Elevated Temperatures
C1360-01	Standard Practice for Constant-Amplitude, Axial, Tension-Tension Cyclic Fatigue of Continuous Fiber-Reinforced Advanced Ceramics at Ambient Temperatures
C1425-05	Standard Test Method for Interlaminar Shear Strength of 1-D and 2-D Continuous Fiber- Reinforced Advanced Ceramics at Elevated Temperatures
C1468-06	Standard Test Method for Transthickness Tensile Strength of Continuous Fiber-Reinforc Advanced Ceramics at Ambient Temperature
C1469-00	Standard Test Method for Shear Strength of Joints of Advanced Ceramics at Ambient Temperature

Table 10: ASTM test standards for ceramic matrix composites.

The design rules will likely involve the mandatory use of non-destructive evaluation (NDE) for fuel cladding. There have been substantial efforts for developing and applying various NDE techniques for SiC/SiC composites. However, NDE scheme for SiC/SiC has not been seriously considered for specific applications in nuclear services. Table 11 lists the typical NDE modalities for the continuous fiber composites and their attributes [97].

Modalities	Attributes		
	Non-invasive		
Ultrasonics	Direct spatial imaging		
Infrared thermography	Spatial resolution in 2D/3D		
Eddy currents	3D volumetric discrimination		
X-ray computed tomography	Virtual sectioning and segmentation		
X-ray tomosynthesis	Cognitive visualization		
Digital X-rays	Quantitative metrology		
(Proof testing)	Volumetric damage mapping		
	Portability		

Table 11: NDE techniques for SiC/SiC: candidate modalities and main attributes. [97]

# 2.7 ONGOING PROGRAMS

R&D of SiC/SiC composite materials and their application technologies are conducted for various nuclear and fusion energy applications, in small to medium scales, in the US, the Generation IV International Forum (GIF) parties, and the international fusion energy research communities. The recent interests attracted to SiC/SiC from nuclear energy programs are based on the successful development of radiation-resistant composites in the US and Japanese fusion materials program. Table 12 lists the ongoing and recently finished programs involving R&D of SiC/SiC technologies for nuclear and fusion applications.

Envisioned system (component)	Country	Lead contacts	Main focuses
VHTR (control rod, etc)	US (France, etc.)	L.L. Snead (US) C. Colin (FR)	<ul><li>Proof-of-principle irradiation</li><li>Test standard development</li></ul>
LMR, VHTR (IHX)	Japan	S. Konishi (JP)	<ul> <li>Liquid metal loop compatibility testing</li> <li>Production / shaping technology development for compact HX</li> </ul>
GFR (core assemnly)	France, Japan	M. Le Flem (FR) A. Kohyama (JP)	<ul> <li>Material processing and fundamental properties</li> <li>Near-net shaping development</li> <li>Conceptual core design</li> <li>Irradiation effects</li> </ul>
GFR (fuel matrix)	Japan	T. Hinoki (JP)	<ul> <li>Fundamental technology development for SiC composite-matrix nitride fuel production</li> </ul>
LWR (fuel cladding)	US	H. Feinroth (US)	<ul> <li>Feasibility assessment of SiC composite cladding nitride fuel for advanced LWR</li> </ul>
Fusion (blanket)	Fusion Internation H. Hegeman (EU) A Hasegawa (IP)		<ul> <li>Fundamental aspects of irradiation effects in SiC ceramics and composites, both experimental and theoretical modeling</li> <li>Evaluation of SiC composite as Pb-Li flow channel insert material</li> </ul>

Table 12: Ongoing SiC/SiC R&D activities for nuclear applications and their focuses.

## 2.7.1 Fusion

SiC/SiC composites have been considered for application to the fusion blanket/first wall structures since early 1990's [98]. These components consisted typically of panels with coolant channels in them, requiring resistance against very high-fluence neutron irradiation, high thermal stress, low induced radioactivity, low tritium permeation/retention, and other demanding attributes [99]. Presently, SiC/SiC composites are among the three competing candidate material classes for this application, together with the reduced-activation ferritic/martensitic steels (mostly for near-term applications) and the vanadium alloys [100]. SiC/SiC composites stand out among the candidates in terms of the anticipated energy cost competitiveness and favorable safety features associated with the high operating temperature and low activation.

The proposed blanket designs in which the use of SiC/SiC composites is assumed are listed in Table 13 and are based on either self-cooled lead-lithium (SCLL) or helium-cooled ceramic breeder (HCCB) concepts [99, 101]. Design studies of EU Power Plant Conceptual Study (PPCS) Model D [102] and the US ARIES (Advanced Reactor Innovation and Evaluation Study)-AT [103], which are the latest SCLL conceptual designs, assume the highest operating temperatures for SiC/SiC structures of ~1000°C, yielding a power conversion efficiency of ~60% for the blanket circuit. The DREAM (stands for "DRastically EAsy Maintenance") HCCB blanket concept of Japan assumes outlet helium temperatures of  $\sim 900^{\circ}$ C with a gross thermal efficiency of  $\sim 50\%$  [104].

	ARIES-AT	EU PPCS Model D	DREAM	EU PPCS Model C	ARIES-ST	
Component	FW/blanket structure	FW/blanket structure	FW/blanket structure	Flow channel insert	Flow channel insert	
Coolant	Pb-Li	Pb-Li	He	He/Pb-Li	He/Pb-Li	
Breeder	Pb-Li	Pb-Li	Lithium ceramics	Pb-Li	Pb-Li	
Max. temperature of SiC/SiC component	~1000°C	~1000°C	~900°C	~700°C	~700°C	
Coolant or Pb-Li pressure	1 MPa	1.5 MPa	10 MPa	15 MPa	Not specified	
Cycle efficiency	59%	60%	45%	42%	45%	
Neutron wall load, average	3.2 MW/m <sup>2</sup>	2.2 MW/m <sup>2</sup>	3.0 MW/m <sup>2</sup>	2.4 MW/m <sup>2</sup>	4.2 MW/m <sup>2</sup>	

Table 13: Fusion blanket designs assuming use of SiC/SiC. [99, 101-104]

Figure 21 depicts the US and the European examples of blanket designs assuming the use of SiC/SiC as the structural material. Key properties for SiC/SiC assumed in these conceptual studies are summarized and compared with typical values for materials through the two most promising processing routes in Table 14. It is noted that many of the assumed base-line property requirements are already satisfied or addressed for the design solutions.

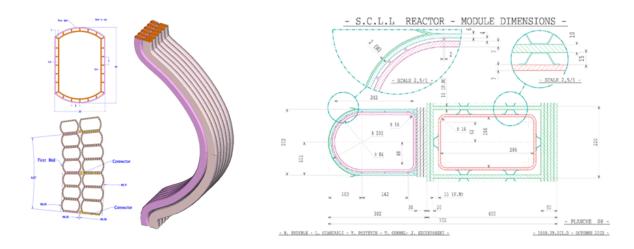


Figure 21: Example of blanket designs assuming use of SiC/SiC as structural material. [99, 103]

Key properties		Blanket structure	Flow channel insert
Thermal conductivity, through-thickness	[W/m-K]		
Non-irradiated, 500°C		>~20	<2
Non-irradiated, 1000°C		>~20	-
Irradiated, 500°C		>~20	<2
Irradiated, 1000°C		>~20	-
Electrical conductivity	[S/m]		
Non-irradiated, 500-1000°C		<~500	<~100
Irradiated, 500-1000°C		<~500	<~100
Tensile properties			
Ultimate tensile stress, 500-1000°C	[MPa]	300	-
Matrix cracking stress, 500-1000°C	[MPa]	-	>~100
Modulus, 500-1000°C	[GPa]	200-300	200-300

 Table 14: Key requirements for SiC/SiC for blanket structural and functional applications in fusion reactors. [3]

In a very different way from the structural application, the use of SiC/SiC as the insulating lining material for lead-lithium (Pb-Li) flow channels (flow channel insert; FCI) has been proposed in the EU advanced lead-lithium blanket concept [105] and US ARIES-ST blanket design [106]. In this application, an FCI serves as an electrical and thermal insulator in order to mitigate the MHD pressure drop and to allow a significantly higher coolant outlet temperature than the upper temperature limit for the metallic duct structure. The dual-cooled Pb-Li blanket concept (DCLL), in which Pb-Li supplies the tritium fuel while conveying a certain fraction of

heat, has been adopted by the proposed US TBM and Chinese late-stage TBM for the ITER [7, 107]. The design illustrations showing the proposed use of SiC/SiC FCI in the EU Pb-Li blanket concept and the US DCLL TBM are presented in Figure 22. The design inlet/outlet Pb-Li temperatures for the EU PPCS Model C DCLL blanket are 480/700°C. The outlet temperature corresponds approximately to the inside temperatures for the FCI, whereas the outside temperature will be maintained to be compatible with the ferritic steel structure [108].

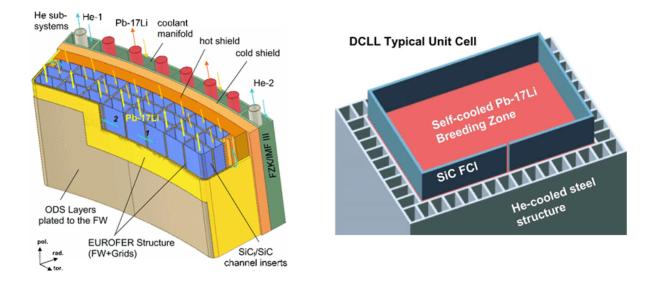


Figure 22: Examples of blanket designs assuming use of SiC-based flow channel inserts. [23, 109]

# 2.7.2 NGNP / VHTR

Most of the international partners in the GIF consider SiC/SiC composites as viable materials for control rod sleeves and intermediate heat exchangers (IHX) of very high temperature reactor (VHTR) and potentially for gas-cooled fast reactors (GFR) and other advanced reactor concepts. Table 15 lists the R&D task items to which individual GIF parties presently perform or plan R&D activities.

	US	France	South Africa	Canada	Switzer- land	Korea	Japan	EU/JRC
Ceramic IHX								
Design	Х	Х	Х	х				
Baseline test	Х	Х	Х		Х	Х		
Environmental test	Х	х	Х	х	Х			
Modeling	Х		Х	Х				
Acceptability test			Х					
Composite Control Rod								
Design and manufacture	Х	х	Х		Х	Х	х	Х
Baseline properties	Х	Х	Х		Х	Х	х	Х
Environmental test	Х	х	Х		Х	Х	х	Х
Irradiation test	Х	Х	Х		Х	Х	Х	Х
Modeling	Х	Х	Х		Х			
Acceptability test			Х				Х	

Table 15: Elements of US NGNP Composite R&D program and comparison withinternational R&D plans.

The VHTR is one of the six advanced nuclear reactor concepts presently pursued in the Generation IV (Gen-IV) nuclear power programs. The U.S. Department of Energy has selected VHTR for the NGNP Project, which will demonstrate the use of nuclear power for electricity and hydrogen production without greenhouse gas emission [8]. In the Gas Turbine-Modular Helium Reactor (GT-MHR, Figure 23) design from General Atomics, which is a type of VHTR, the control rod cladding and guide tubes receive fast neutrons up to  $\sim 1 \times 10^{25} \text{ n/m}^2/\text{year}$  at the maximum in a temperature range 600 - 1100°C during the normal operation, whereas the mechanical loading will not be very significant [110-111]. In the scenario of a depressurized conduction cool-down accident, i.e. a complete loss of the forced coolant flow, the maximum temperature may reach ~1500°C. Since it is very likely that refractory composites will need to be used for these applications, the U.S. NGNP Composite Program conducts R&D for qualification and testing of the SiC/SiC composites, as the primary option, and carbon fiber / carbon matrix (C/C) composites.

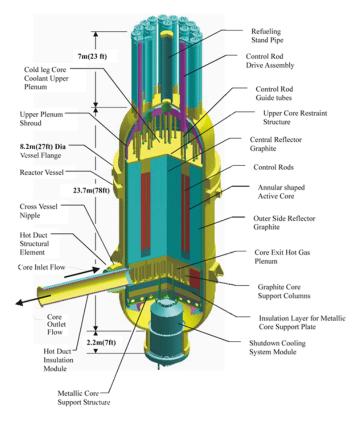


Figure 23: Illustration of reactor vessel and components for GT-MHR. [8]

The program comprises specific tasks for 1) confirmative feasibility issues for SiC/SiC including irradiation effect and fabricability, 2) key technical issues governing the lifetime envelope such as irradiation creep and time-dependent fracture, and 3) providing support to test standards and design code development in the framework of ASTM International and American Society of Mechanical Engineers (ASME) [110].

Figure 24 illustrates an example concept of proposed control rod segment, namely tubular sleeve, end caps, and an articulating joint segment) to be made of composite materials. This example assumes the use of C/C composite and of approximate dimensions 1 m-long x 10 cm-diameter. The NGNP program activity has identified a potentially very severe irradiation-induced dimensional instability issue for C/C control rod. Because of this, it is unlikely that C/C can be used as the control rod material except for the location where very small neutron dose is anticipated. For SiC/SiC control rods, mechanical designs employing structural spines are considered as well as designs with cylindrical sleeve for both structure and containment of the neutron absorbing material. The spine approach is generally advantageous in that it enables simpler articulating joint structures and less significant secondary loading to structural

components, whereas the bending strength of spine-based control rods may be inferior to that of structural sleeves. The Japanese High Temperature Test Reactor (HTTR) employs the spine concept (Figure 25).

Figure 24: An example of proposed control rod segment (tubular sleeve, end caps, and articulating joint) made of composite materials. This example assumes the use of C/C composite and approximate dimensions of 1 m-long x 10 cm-diameter.

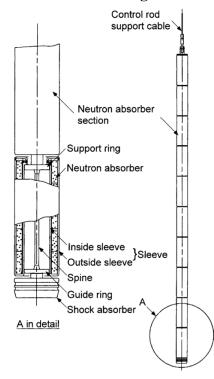


Figure 25: Spine-based design approach for control rod in Japanese HTTR. [112]

## 2.7.3 GFR

SiC and SiC/SiC are studied as the candidate materials for fuel cladding and core structures for the GFR, which is also one of the six Gen-IV concepts and features a fast-neutron spectrum, helium-cooled reactor and closed fuel cycle [113]. General feasibility issues still exist for SiC-based materials for use in the GFR environment, including the effect of very high-fluence neutron irradiation at temperatures far exceeding 1000°C. Among the GIF countries, France and Japan are particularly active in R&D of selected technical elements to establish feasibility of GFR concept. Preliminary specifications for the Japanese conceptual GFR core design with SiC/SiC structures are shown in Table 16. Fabrication of SiC/SiC components with attributes implied by Table 16 is feasible with existing facilities at most venders. However, as shown in Table 17, the operating temperature of fuel cladding which is presently assumed to be in 1300 - 1600°C imposes a major challenge to SiC/SiC. Overall, the operating environment presently envisioned in the GFR core is very challenging for any existing material.

Table 16: Preliminary specifications for Japanese conceptual GFR core with SiC composite
structures. [113]

Component	Attribute	Specification	
Fuel pellet	Material	Enriched nitride	
Cladding	Material	SiC/SiC	
	Outer diameter	9.15 / 9.5 mm (inner/outer core regions)	
	Inner diameter	7.15 / 7.5 mm	
	Wall thickness	1 mm	
Fuel pin	Column length	950 mm	
	Smear density	84%TD	
Spacer	Material	SiC/SiC	
	Туре	Grid mesh	
	Number of stages	10	
Wrapper tube	Material	SiC/SiC	
	Outer apothem	94.15 mm	
	Wall thickness	5 mm	
Fuel assembly	Number of fuel pins	271	
	Fuel pin interval	10.7 mm	
	Gap between assemblies	5.5 mm	

# Table 17: Operating conditions and assumed thermal properties for cladding material for nominally 1300°C GFR core design. [114]

Coolant outlet / inlet temperatures	1300°C / 460°C
Maximum clad temperature, nominal / hot spot	1600°C / 1760°C
Linear power density and clad temperature, assumed thermal conductivity	
(upper core)	150 W/cm, 1600°C, 13.9 W/m-K
(midplane)	250 W/cm, 1030°C, 15.6 W/m-K
(lower core)	200-250 W/cm, 460°C, 5.1 W/m-K

There are two other programs related with the opportunity for SiC/SiC primarily in GFR in Japan. One is a program for the SiC composite inert fuel matrix. [114] The program develops the fabrication technologies for the mixed nitride fuel pellet made of high density SiC with submillimeter cylindrical holes which accommodate fuel material surrounded by a porous SiC buffer layer (Figure 26). The fuel pellet specifications which were identified optimum in the Japanese program are presented in Table 18.

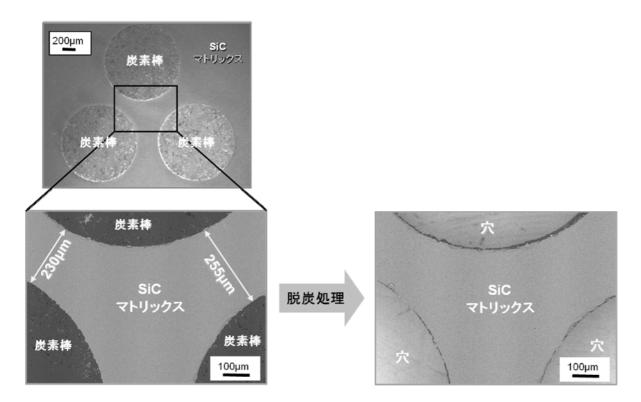


Figure 26: Sintered SiC fuel matrix element with submillimeter fuel containing channels developed in Japanese NERI program on GFR fuel R&D.In this figure, process for producing sintered SiC matrix with axial penetrating holes to accommodate fuel microrods is shown. [114]

 Table 18: Optimum specifications determined for mixed nitride fuel in Japanese GFR fuel

 program. [114]

Fuel pellet diameter	9 mm
Fuel pellet height	10 mm
Fuel core diameter	1.2 mm
Pu enrichment	28 wt.%
SiC buffer layer thickness	0.42 mm
SiC buffer layer density	20%TD
Fuel core interspacing	0.2 mm

In the other Japanese program, development of IHX made of NITE SiC/SiC is being attempted [115]. The program assumes the maximum IHX operating temperature of ~900°C and various coolant media including helium, carbon dioxide, and liquid metals. The major R&D elements include development of fabrication technologies, fabrication of prototypical heat exchanger units, testing in coolant loop facilities, conceptual designing of thermal systems, and identification of adequate NDE schemes. The conceptual illustrations of the IHX assembly and the heat exchange element studied in the Japanese ceramic IHX program are shown in Figure 27.

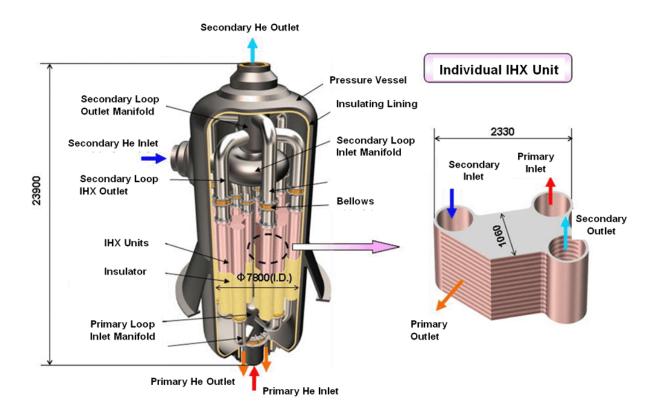


Figure 27: Conceptual illustration of intermediate heat exchanger and heat exchange element studied in Japanese ceramic IHX program. [115]

#### 2.7.4 Advanced LWR cladding

SiC and SiC/SiC are considered as attractive materials to supplement or replace zirconium alloy cladding presently used for LWR fuels [116]. The chemical inertness and strength of SiC at elevated temperatures are expected to offer improved safety features, reduced contamination of the primary circuit, and a simplified disposal process. Key issues for SiC-based cladding for LWR include the fabrication of very long, thin-walled tubes, irradiated effects (generally more pronounced dimensional and strength change at low temperatures of water reactor), hermeticity, and corrosion by water environment and fission products. Research on the key issues is being conducted in several countries, including the U.S. [117]

#### **3** SIC/SIC FOR AHTR: ANALYSIS OF ISSUES

In this chapter, analysis of issues associated with the potential use of SiC/SiC (or any other forms of SiC-based materials) in Advanced High Temperature Reactor (AHTR) core components. The AHTR is a liquid-salt-cooled high-temperature reactor [1, 118-120] that uses the coated-particle graphite-matrix fuel. The optically transparent liquid-salt coolant is a mixture of fluoride salts with atmospheric boiling points >1200°C. The reactor operates at near-atmospheric pressure, and at operating conditions, the liquid-salt heat-transfer properties are similar to those of water. Several different salts can be used as the primary coolant, including lithium-beryllium, sodium-beryllium, and sodium-zirconium fluoride salts. The peak coolant operating temperatures are envisioned to be in between 700 and 950°C, with reactor outputs up to 4000 MW(t).

The AHTR fuel is basically the same fuel that has been developed for high-temperature gascooled reactors. All of the proposed high-temperature fuel assemblies use coated-particle fuels where the uranium oxide or carbide is in the form of small microspheres coated with multiple layers that provide the cladding. The microspheres are then incorporated into some type of graphite matrix. The graphite matrix can be formed into different geometries. The result is a fuel that can operate under normal conditions with peak temperatures up to 1250°C. Under accident conditions, peak temperatures can approach ~1600°C for limited periods of time without significant fuel failure.

Three fuel-assembly geometries, namely 1) prismatic, 2) pebble bed, and 3) stringer, are being considered to optimize fuel performance [2, 120]. A detailed comparison of the three fuel type options provided elsewhere concludes that the choice of fuel assembly design involves complex design trade-offs [118]. The prismatic core requires very long fuel pin with thin-walled cladding, which means a very challenging feasibility issue in terms of fabrication technology in addition to technical issues common to three options. Pebble may be excluded because it is unique in terms of fabrication requirement for cladding. It should be noted that there recently was a DOE-funded Phase-I SBIR project for development of fabrication technology for CVI SiC/SiC fuel cladding for the pebble bed concept. Hereafter in this report, the stringer core is discussed as the primary option. The fuel assembly and main components for the stringer core option are illustrated in Figure 28. Critical components for the stringer core are mostly of simple shapes, such as straight cylinders and flat plates, and the pins and wrapper tubes are assumed to be of length of ~1 m, hence no serious critical issue is anticipated regarding fabrication and refueling operation (except for the tie bars).

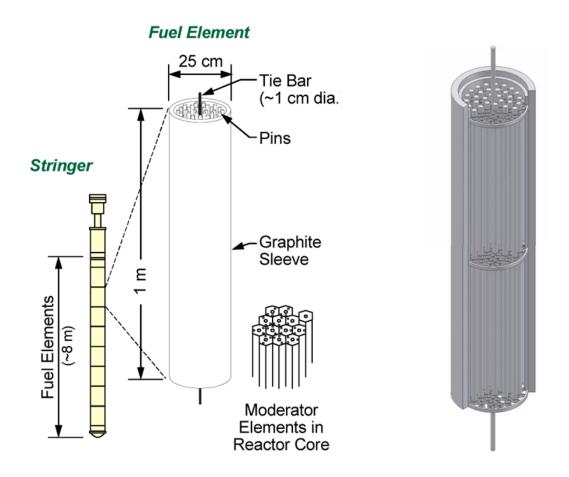


Figure 28: Illustrations of stringer type core and fuel assembly proposed for LS-VHTR. [2]

## 3.1 AHTR STRINGER FUEL COMPONENTS AND REQUIREMENTS

#### **3.1.1 Fuel cladding**

The main functions of fuel rod cladding are to confine radioactive materials within the fuel element, to maintain structural and dimensional integrity of the fuel rod, and to transfer heat generated within the fuel to the cooling medium. Therefore, the primary requirements to the cladding materials are 1) to maintain mechanical integrity and hermeticity, 2) to maintain dimensional stability, 3) to be sufficiently corrosion resistant to both the fission products and the coolant material, and 4) to maintain sufficient thermal conductivity, throughout the service life. Radiation governs or influences properties of cladding materials related with all of these requirements in one way or another. Requirements for the fuel rod cladding application are generally most demanding to materials among various fuel assembly components.

#### **3.1.2** Other fuel assembly components

Other fuel assembly components for the string core option include the sleeve, the grid disk, and the tie bar. These components will be required to 1) maintain mechanical integrity, 2) maintain dimensional stability, and 3) be sufficiently corrosion resistant to the coolant. Requirement of radiation resistance will be similar to that for fuel rod cladding. Other requirements for cladding such as hermeticity, corrosion resistance against fission products, and thermal conductivity may not apply to these components.

Figure 28 assumes the use of graphite as the material for the sleeve. Also, preliminary conceptual work on AHTR anticipates that graphite or carbon composite may be used for the tie bar. However, viability of carbon-based materials for use in AHTR core is questionable. The coolant temperature in AHTR is anticipated to be higher than 700°C and approaching 1000°C in an aggressive option. Although the neutron dose anticipated to be received by these components is not exactly known, it may be reasonable to assume equivalent with or greater than the typical dose (~6 x  $10^{25}$  n/m<sup>2</sup>, E > 0.1 MeV) for TRISO fuel coatings. With such doses at the anticipated operation temperatures, carbon-based materials may undergo very substantial dimensional changes accompanied with major modification in other physical and mechanical properties, in particular when mechanical stress is applied. Since the sleeves and the grid disks presumably require dimensional stability, SiC-based materials will be the prime candidate for those components.

#### **3.1.3 Reaction control system**

The reaction control systems, in particular for the components which stay in the reactor core region for a long period, will likely be operated in a condition similar to that for the fuel assembly. Examples of such components are the near-edge segments and joints of the control rods. For the same reasons with the fuel assembly components, those control rod components will require the use of SiC-based composites provided the specific feasibility issues for SiC are resolved.

For the VHTR control rods, SiC/SiC, C/C, and a nickel-based superalloy Alloy 800H are the candidate materials for their structural components. However, the use of Alloy 800H has to be limited to the portion where the conditions of radiation exposure and off-normal maximum temperature are both mild, as the alloy almost completely loses rupture stress, life, and elongation at elevated temperatures after neutron irradiation. It is extremely unlikely for Alloy

800H to be qualified for use as the AHTR control rod, at temperatures higher than 700°C in a high radiation environment. On the other hand, C/C composites are known to undergo severe dimensional instability as noted above. Recent irradiation campaign performed for the US NGNP program demonstrated that a high quality balanced weave graphite composite experiences anisotropic linear deformation on the order of 2% after neutron irradiation to ~4 x 10<sup>25</sup> n/m<sup>2</sup> (E > 0.1 MeV) at 800°C. This result essentially rules out the possibility of using this particular material for the AHTR control rod, leaving SiC/SiC composites as the only option.

#### **3.2 FABRICATION**

## 3.2.1 Fuel rod cladding

Assuming that the fuel rod cladding of AHTR stringer core will be a straight cylindrical tube of ~1 m-long, 1 cm-diameter, and 1 mm-wall thickness, fabrication of the cladding itself will not be a critical issue for CVI processing of SiC/SiC. Fiber architectures such as braiding, filament winding, and pin-weave will be the candidates for fabricating the preform. Candidate preforming methods for small diameter tubes are listed in Table 19 [121]. However, other fiber architectures may have to be explored depending on the result of further detailed analysis of requirements.

Architecture	Fiber angles <sup>(1)</sup>	Typical $V_{f}^{(2)}$	Remarks
Jelly-roll	0°/90° (, ±45°, etc.)	35%	Low cost
Braid, bi-axial	$\pm  heta$	30%	Freedom of diametral variation Tailorable axial/hoop strength
Braid, tri-axial	$0^{\circ}$ / $\pm  heta$	25%	Diametral variation possible Tailorable axial/hoop strength Added axial strength
Filament-winding	$\pm  heta$	30%	Tailorable axial/hoop strength
Pin-weave	0° / ~90°	35%	Diametral variation possible

 Table 19: Common fabric architectures for fibrous ceramic composites.
 [121]

(1) Fiber angle from tube axis, (2) Fiber volume fraction,  $\theta$ : braid or screw angle, arbitrary to a limited extent

Requirement of hermeticity or gas tightness is an obvious challenge and critical for SiC/SiC, because SiC/SiC has not been industrially used where gas tightness is required, within the knowledge of the authors. It is possible that an additional measure for securing gas tightness is needed. Such measures include but are not limited to the additional CVD SiC coating and metal lining inside the tube. Hermetic sealing at the both ends of the cladding tube is an additional technical issue that has to be addressed. The hermeticity requirement imposes not only the fabrication issue but all the irradiation and environmental effect issues applicable to the additional materials or interface elements.

There will be trade-offs among the architectures, in terms of strength, thermal conductivity, life time properties, anisotropy, surface smoothness, and compatibility with the potential additional processes to provide/secure hermeticity. It is preferable that such trade-offs are thoroughly studied in order to identify the best optimized fabrication processes.

With the hermeticity requirement being such a challenging issue, it is recommended to consider alternative fuel design options which incorporate inert matrix (such as SiC) fuel pellets or compacts retaining the fission products and non-hermetic fuel cladding.

#### 3.2.2 Other fuel assembly components

There has been no critical fabricability issue identified for the grid and the sleeve to be made of CVI SiC/SiC, provide that the grid is a flat round plate with a number of circular holes in it, and the sleeve is a straight cylindrical tube of  $\sim$ 1 m-long and  $\sim$ 25 cm-diameter.

Fabricating a long (e.g.,  $\sim 8$  m) single rod component which is assumed for the tie bar in the preconceptual stringer core design will be very difficult for SiC/SiC. R&D of such fabrication is being planned in the advanced LWR fuel program; however, the technical feasibility has not been established. In this case it appears that the tie bar has to be made of a SiC-based material, other design options will likely need to be considered. Such design options may include a series of shorter rods interconnected or different ways to hold the sleeves together.

#### 3.2.3 Control rod

Assuming that the requirements for control rod materials are not too dissimilar between VHTR and AHTR, there has been no critical issue identified associated with the fabrication of

control rod components with CVI SiC/SiC. However, in case that intrusion of liquid salt to inside the control rod sheath is strictly prohibited, hermeticity becomes an issue.

#### 3.3 CORROSION

The extent of the corrosion behavior of materials in liquid fluoride salts is related, to some extent, to the acid-base chemistry of the salt. An explanation of this Lewis acid-base effect is presented in ORNL/TM-2006/140 [120]. Thus, while materials' corrosion performance may be ranked in the same order in different liquid fluoride salts, the extent of corrosion of a particular material can be significantly different in different liquid fluoride salts. Overall, because the dependence on a stable, compact, very slowly growing surface layer for corrosion protection does not obtain in liquid fluoride salts due to the fluxing nature of these salts, structural containment materials must be as near as possible in equilibrium with the fluoride salts. An additional factor that must be considered for heat transfer loops is the change in oxidation state with temperature for species that are redox sensitive, which can provide impetus for compatibility issues. However, it has been shown that control of the liquid salt oxidation potential can significantly reduce the extent of compatibility associated issues [122]. While there is a large body of work that can be drawn upon to understand the compatibility issues associated with metallic materials in liquid fluoride salts, especially below 750°C [123], there is very little information available on the behavior of silicon carbide in liquid fluoride salts. However, it is anticipated that the need for thermodynamic equilibrium and redox control will hold true for silicon carbide in the presence of liquid fluoride salts at high temperature and under flow conditions. Alas, while there is a significant body of thermodynamic information on fluoride systems associated with the liquid salt reactor fuels [124], there is a need to extend this information to include other fluoride salts of interest and nonmetallic materials such as silicon carbide.

While a significant amount of work has been performed on the behavior of silicon carbide at high temperatures in various environments, there is a dearth of information on the compatibility of silicon carbide in fluoride salts. The fusion energy community has shown some interest in the behavior of silicon carbide in LiF-BeF<sub>2</sub> (FLiBe) (ratio of 2 LiF to 1 BeF<sub>2</sub>) [125]. Nishimura et al. [126], evaluated the performance of silicon carbide in FLiBe at 550°C in a nickel crucible. Neither the purity of the FLiBe, formed by mixing LiF and BeF<sub>2</sub>, nor that of the flowing cover gas, argon, was provided.[126] Also, the authors did not indicate any pretest cleaning procedure for the nickel crucible. Nishimura et al., did observe "black deposits," identified as Ni<sub>31</sub>Si<sub>12</sub>, on

the surface of the silicon carbide specimens after exposures for three and ten days. The nickel silicide,  $Ni_{31}Si_{12}$ , was postulated as forming from a reaction between nickel and silicon carbide. Such a reaction would give rise to a second reaction product, carbon, and an implied degradation of the silicon carbide. As a result of this sparsity of data, the behavior of silicon carbide in liquid fluoride salts can only be inferred from its behavior in other environments.

Tressler et al. [127] evaluated the behavior of hot pressed and reaction bonded silicon carbide in sodium sulfate, sodium chloride, and a 63%/37% mixture of said materials in a fused silica crucible at 1000°C in air for times up to 120 h. The silicon carbide specimens experienced a slight increase in weight that was attributed to the formation of a silicon dioxide surface layer. It was stated that the silicon dioxide layer was not affected by the liquid sodium chloride because the melt was not sufficiently basic (the free-oxide ion activity was not high enough). This interfacial oxidation control of the corrosion of alpha-silicon carbide (surface layer formation of silicon dioxide) and dissolution of the silicon dioxide layer have been demonstrated also by Pickrell et al.,[128] at 950 to 1100°C in dry air with 0.98 vol.% sodium nitrate. In these tests, in the presence of sodium oxide, the silicon dioxide reacts to form a sodium silicate (liquid) and the overall reaction kinetics is linear.

The work cited previously indicates the formation of silicon dioxide. The work of Gulbransen and Jansson [129] has demonstrated a critical oxygen pressure for the transition from active (formation of silicon oxide and carbon monoxide with linear oxidation kinetics) at low oxygen potentials to passive (formation of self-healing silicon dioxide with parabolic oxidation kinetics) oxidation of silicon carbide. Antila and Warburton[130] demonstrated the active to passive oxidation of beta-silicon carbide occurs below 10<sup>-6</sup> atm at 1000°C. Ervin [131] has presented eight possible reactions between silicon carbide and oxygen to produce silicon dioxide, silicon oxide, and silicon that have negative free energy changes within the temperature range of interest and are, therefore, thermodynamically possible. Hence, unless the silicon carbide is thermodynamically stable in the liquid fluoride salt, control of the oxygen partially pressure is critical to long-term performance of silicon carbide.

Song et al.,[132] reported on the corrosion of alpha-silicon carbide in the presence of sodium sulfate under flowing argon ( $\geq$ 99.9999%) and argon-oxygen ( $\geq$ 99.99 [sic]). The weight loss in sodium sulfate under flowing argon (oxygen partial pressure of  $1.01 \times 10^{-6}$  atm) followed linear kinetics and the surface of the specimens showed significant pitting. It was also reported that the corrosion in argon was more severe than in the argon-oxygen mixture (oxygen partial pressure of 0.20 atm). This significant change with oxygen partial pressure was also reported by McKee and Chatterji [133] who examined the behavior of beta-silicon carbide in the presence of air, O<sub>2</sub>,

 $Na_2SO_4$ ,  $Na_2SO_4 + Na_2CO_3$ ,  $Na_2SO_4 + Na_2O$ ,  $Na_2SO_4 + NaNO_3$ , and  $Na_2SO_4 + Na_2S$ , among other environments at 900°C. Their work concluded that in the presence of liquid salts, corrosion occurred at high oxygen partial pressures in the presence of basic salt melts and at very low oxygen partial pressures regardless of the basicity/acidity of the salt melts, and little corrosion (passivation) occurred at high oxygen partial pressures and in the presence of acidic or neutral salt melts. These modes of corrosion are presented in Figure 29. This effect for silicon carbide with respect to basic salts is consistent with the conclusions presented for metallic materials in ORNL/TM-2006/140 [120], which concludes that FLiNaK, a strongly basic salt, supports a higher degree of corrosion as compared to the other fluoride salts evaluated.

HIGH $P_{02}$ $SiO_2 - 7/7/SiC 7/7/7$ ACID OR NEUTRAL HIGH $P_{02}$ SALT MELT $SiO_2 - 7/7/SiC 7/7/7$	PASSIVATION	FORMS PROTECTIVE SIO2 SCALE, ALMOST INERT TO SALT MELT	
$\begin{array}{c} \text{BASIC} \\ \text{SALT} \\ \text{MELT} \\ \text{SiO}_2 \\ \hline \end{array} \\ \begin{array}{c} \text{HIGH } P_{O_2} \\ \text{SiO}_2^2 \\ \hline \end{array} \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array} $ \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \\ \end{array}  \\ \\ \begin{array}{c} \text{SiO}_2 \\ \hline \end{array} \\ \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \end{array} \\ \end{array}  \\ \\ \begin{array}{c} \text{SiO}_2 \\ \end{array} \\ \\ \end{array}  \\ \\ \begin{array}{c} \text{SiO}_2 \\ \end{array} \\ \\ \end{array}  \\ \\ \end{array}  \\ \begin{array}{c} \text{SiO}_2 \\ \end{array} \\ \\ \end{array}  \\ \\ \\ \end{array}  \\ \\ \\ \end{array}  \\ \\ \\ \end{array}  \\ \\ \\ \\	ACCELERATED CORROSION	FORMATION OF SOLUBLE IONIC SPECIES	
O2 DEPLETED LOW PO2 SALT MELT 777/Sic 777	GASIFICATION AND ACTIVE CORROSION	FORMATION OF SIO, SIO <sub>2</sub> SCALE ABSENT OR RUPTURED, SILICATE FORMATION IN MELT	

Figure 29: Three modes of silicon carbide corrosion in liquid salts. Based on McKee and Chatterji information. [133]

While the majority of work in the literature on silicon carbide corrosion in liquid salts is with salts other than halides, the sodium sulfate corrosion information in combination with the information from the two papers on halides, raise issues that must be evaluated for the use of liquid fluoride salts with silicon carbide. Work needs to be performed to create a good thermodynamic foundation for the evaluation of silicon carbide in liquid fluoride salts with

respect to the basicity/acidity of the salt and low partial oxygen pressures that are to be expected in reactor systems. Experimental data need to be generated to support the thermodynamic analyses and to shed light on the corrosion effects in systems with varying temperatures.

## 3.4 MECHANICAL INTEGRITY

As mentioned in Section 2.4.4, it is likely that the static fatigue dictates the mechanical integrity of SiC/SiC components during a long term service, as the static fatigue limit stress may be substantially lower than the fast fracture PLS. The states and magnitudes of stresses anticipated in the fuel rod cladding (and other components) are not defined but will be determined by the contributions from the fuel swelling, internal gas pressure, thermal gradient, swelling of cladding itself, and any other external loading. In case any of the anticipated stress component may reach 30 to 50% of the PLS in the corresponding failure mode, mechanical integrity may become a critical feasibility/design issue. These are also strongly related with the radiation effects on SiC/SiC including radiation creep, radiation-assisted/enhanced static fatigue, thermal conductivity change, and radiation-induced strength modification.

## 3.5 RADIATION EFFECTS

As reviewed in Section 2.5, effects on radiation on materials integrity are many-fold and related each other as well as related with non-radiation effect issues. The Generation III CVI SiC/SiC is considered highly tolerant against neutron irradiation at temperatures of current interest, however, the highest dose those materials have ever been irradiated to and tested is so far slightly more than 10 dpa [3, 68]. This fluence may be marginal for cladding of the thermal spectrum reactor fuel but will be far short of dose anticipated for the fast spectrum reactors. Moreover, it is likely that most of the core components will need to stay in service for substantial periods for economic reasons. Therefore, it will be important to confirm the fundamental radiation stability to doses far beyond 10 dpa, in order to assess the feasibility of SiC/SiC for the AHTR core applications.

The long-term radiation effect issues for fuel cladding are irradiation creep and irradiationenhanced/assisted static fatigue. Assuming the internal pressurization by fuel swelling and/or fission gas production as the primary source of mechanical loading onto the fuel cladding, irradiation creep and static fatigue may lead to unacceptable extents of diametral swelling and/or uneven cross-sectional deformation. Moreover, the static fatigue failure may lead to a loss of fission product confinement. Therefore, understanding irradiation creep and irradiated static fatigue are both critically important technical issues. There has not been a study conducted for addressing high dose creep or static fatigue behavior of SiC ceramics or composites during irradiation [3, 23]. These issues are also design issues as the thermomechanical design effort may be able to reduce the extent of criticalness.

Thermal conductivity change is unavoidable for ceramics in nuclear environments. This means that the effectiveness of material development effort to further increase the in-service thermal conductivity of SiC/SiC will be rather limited [50, 134]. The thermal conductivity degradation issue of fuel cladding is at least three-fold: 1) reduced thermal conductivity results in increased fuel and fuel/cladding interface temperatures, 2) reduced thermal conductivity causes thermal stress within cladding, and 3) temperature gradient within cladding imposes new issues associated with differential swelling.

In Figure 30, the approximately estimated relationship among the cladding thermal conductivity, the linear power density, and the temperature drop across the cladding wall is plotted. For the estimation in Figure 30, fuel power density of 10 W/cm<sup>3</sup> corresponds to linear power density for fuel assembly of 250 W/cm in this calculation. It was also conservatively assumed that all the generated power is transferred as heat from inside to outside cladding across the cladding wall. Thermal conductivity of two-dimensional or braided SiC/SiC through the wall is anticipated to be 2 – 3 W/m-K at 700°C and ~5 W/m-K at 1000°C during and after irradiation [50]. The calculation implies that the combination of 250 W/cm linear power density and thermal conductivity 3 W/m-K will results in temperature drop by ~50K. The maximum thermal stress in a thin-walled tube with the trans-wall temperature drop  $\Delta T$  can be approximated as  $\sigma = \alpha E \Delta T/2(1-\nu)$  where  $\alpha$  = coefficient of thermal expansion (CTE), E = Young's modulus,  $\nu$  = Poisson's ratio. With appropriate values for these parameters, the maximum thermal stress is estimated to be ~70 MPa for  $\Delta T$  = 50K. The preliminary estimation here implies that the thermal stress is from other sources, may be a critical issue for the assumed conditions.

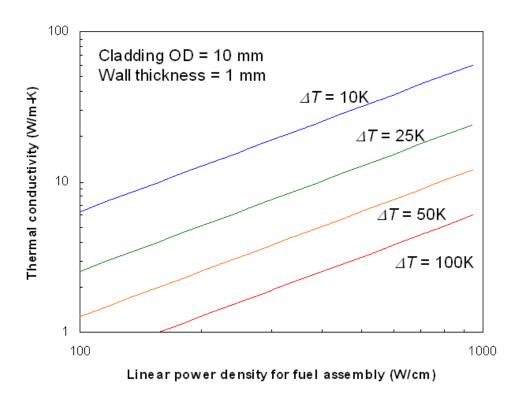


Figure 30: Influences of heat flux and thermal conductivity on magnitude of temperature drop across SiC/SiC clad wall with given thickness.

Another issue related with the temperature gradient is associated with differential swelling. As shown in Figures 18 and 19, SiC undergoes saturatable swelling in the temperature range of interest and the magnitude of the swelling is dependent on irradiation temperature. The existing data implies the temperature coefficient for linear swelling for SiC to be ~-6 ppm/K at 700°C, which magnitude is slightly greater than the CTE ~5 ppm/K at the same temperature. In this case, if irradiation creep does not at all mitigate the differential swelling-induced stress, it will be slightly greater than the thermal stress in magnitude. The contribution from irradiation creep to the swelling-induced stress can not be estimated because of the complete lack of relevant irradiation creep data. However, in Figure 31, the evolutions of swelling-induced stress for three extreme irradiation creep cases estimated in the fusion FCI are presented [135]. This estimation implies that the swelling-induced stress may possibly be mitigated to a large extent when the likely creep-swelling coupling mechanism operates.

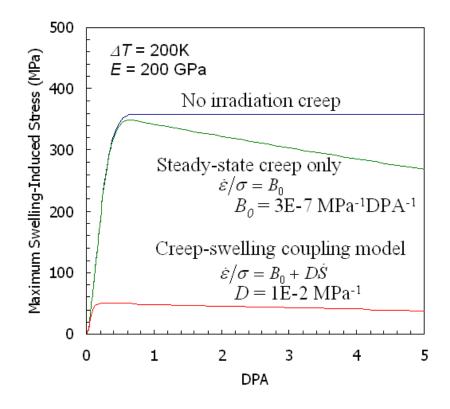


Figure 31: Influence of temperature drop by 200K across clad wall on evolution of maximum swelling-induced internal stress in fully constrained clad during operation with three extreme hypothetical irradiation creep cases. Stress magnitude is roughly estimated for Young's modulus 200 GPa. Note that understanding of irradiation creep behavior of SiC-based materials is presently very insufficient. [136]

Finally, radiation effect issues are again very complex themselves and, moreover, are related with other typically non-nuclear material issues in a complex manner. As SiC ceramics and composites are not sufficiently experienced in nuclear service, it will have to be admitted that there may be radiation effect issues which are not known yet.

#### 3.6 COATING

Coating is a common engineering solution for corrosion and other environmental compatibility issues for materials for non-nuclear applications. In case SiC appears unacceptably corrosive in the candidate salt systems for AHTR, it is natural to consider applying corrosion barrier coating on the surface of SiC-based components. In particular, carbon is a refractory material and is

generally considered to be chemically compatible with liquid salt at elevated temperatures. Hence, carbon-based materials are the prime candidate for the coating system.

Techniques and capacity to apply pyrolytic carbon onto the SiC/SiC surfaces are industrially available. The microstructures and properties of the carbon coating may be adjusted to a certain extent. Such adjustment may be performed for CTE matching with the SiC substrate and to minimize porosity in the coating. It is even feasible to produce a functionally graded coating in which a transition of chemical composition from SiC to carbon occurs in the coating layer. The functionally graded coating is an ideal coating technique, when available, which minimizes the CTE mismatch issue.

Main issues for the carbon coating include the radiation effect and the effectiveness as the corrosion barrier. The radiation effects issue is rather obvious because the carbon-based materials are susceptive to neutron irradiation damage at relatively low doses at high temperatures [137-146]. Under neutron irradiation, carbon materials initially densify, and then after a certain dose, start swelling. Once swelling initiates, it continues until the material completely loses integrity in an unstable manner. The dose at which the transition from densification to swelling occurs is often considered the life time, and is lower at a higher temperature. An approximate life time of isotropic graphite at 700 - 1000°C is ~10 dpa.

As for the effectiveness as the corrosion barrier, carbon is generally highly porous as fabricated, incorporating numerous micro- and submicro-pores and cracks. Highly graphitized carbon contains the minimal porosity but is highly anisotropic and consequently its radiation-induced dimensional instability also is highly anisotropic. Pyrolytic carbon is in general substantially permeable by helium and other gas media. Permeability of liquid salts in carbon materials is not known within the authors' knowledge.

## 3.7 TEST STANDARDS AND DESIGN CODIFICATION

The potential use of SiC/SiC composites in the AHTR fuel assembly will require the existence of: 1) design codes, which list "rules" and guidelines for designing and testing SiC/SiC composite components and incorporating them into advanced designs; 2) design codes which regulate the certification procedures for processing materials, fabricating components, and assembling final designs and 3) databases that provide statistically significant and complete material properties and performance. Appropriate full-consensus test standards will be needed for all the design properties. Additionally, the design rules will likely involve the mandatory use

of non-destructive evaluation (NDE) for fuel cladding. The design codes will have to be very significantly different from those for metallic alloys due to the need for brittle failure criteria.

#### 4 PATH-FORWARD

This chapter defines the prioritized efforts which are required to establish technical feasibility of the liquid salt-cooled AHTR fuel assembly with the SiC/SiC composite as the primary material for the components. The critical feasibility issues identified in the previous chapter are first listed in Table 20 along with the evaluation of relative importance. The evaluation in Table 20 assumes the operating temperature and the maximum neutron dose of 800°C and 30 dpa, respectively, which may correspond to a relatively less severe condition regime for the AHTR core. A similar evaluation is provided for the provisional operating temperature significantly higher than 800°C in Table 21, which is a subset of Table 20. Explanations for the individual feasibility study items are given below. As the conclusion, the suggested directions of the near-term proof-of-principle study for application of SiC/SiC to AHTR fuel cladding is summarized in Table 22.

Table 20: Critical issues and their relative importance for application of SiC/SiC to saltcooled high temperature reactor fuel cladding. Application temperature and maximum neutron fluence are assumed to be 800°C and 30 dpa, respectively.

Issue	Description	Feasibility Study Phase	
Corrosion of SiC with or without C-coating in molten salt	Published data on corrosion of SiC and SiC-based materials in molten salt are extremely limited and incomplete.	Very high: critical feasibility / design issue	None known
Effects of high dose irradiation on fundamental properties	High dose neutron irradiation may affect mechanical properties. Credible data available only up to 10+ dpa.	High: critical feasibility / design issue	Very limited work in fusion and Gen-IV VHTR / GFR programs
Static fatigue failure of composite under loading	Ceramic composites may fail due to slow crack growth under stress in corrosive and/or radiation environment, in a highly architecture-dependent manner.	High: critical feasibility / design issue	Very limited work in fusion and Gen-IV VHTR program
Irradiation creep at medium temperatures	Irradiation creep may impose dimensional instability issue, but also may mitigate thermal stress issue.	High: critical feasibility / design issue	Low dose, fundamental study in fusion program
Fabrication technology	Long, small diameter, thin wall tube fabrication with SiC-based materials does not have sufficient industrial experience. Hermeticity requirement adds challenge.	Medium: important engineering issue	Limited work proposed for advanced LWR cladding development
Radiation effect on thermal conductivity	Radiation-induced thermal conductivity reduction may limit core power density.	Low: design issue but unlikely imposes severe limitation	Some work in US fusion program
Test standards and design codification	Licensing process will require design codes. Design codification drives test standard development.	Low: important qualification issue in later phase	Limited tube strength test standardization work in Gen-IV VHTR program
Non-destructive evaluation	Licensing process will require NDE scheme established.	Low: important qualification issue in later phase	Work proposed in Gen-IV VHTR program

# Table 21: Critical issues and their relative importance for application of SiC/SiC to saltcooled high temperature reactor fuel cladding at temperatures significantly higher than 800°C.

Issue	Description	Relative Importance in Feasibility Study Phase	Work in Other Programs
Irradiation creep at high temperatures	Irradiation creep may impose dimensional instability issue, but also may mitigate thermal stress issue.	Medium: critical issue if temperature exceeds 1000°C with significant stress applied	Work in US/Japan fusion programs
Void swelling	Void swelling may become significant at $T > \sim 1100^{\circ}C$ .	Low: critical feasibility issue if temperature largely exceeds 1100°C	Work in US fusion program
Corrosion by fission products	Corrosion by fission produces may be significant at very high temperatures	Medium: critical feasibility issue if fuel temperature exceeds typical HTGR TRISO temperatures	Fair amount of work in previous HTGR fuel programs

# Table 22: Suggested directions of near-term proof-of-principle study for application of SiCbased materials to salt-cooled high temperature reactor fuel cladding.

Subject	Description	Priority	Relation with Other Programs
Corrosion of SiC in candidate molten salt	Perform thermodynamics assessment for SiC - salt systems and experimental validation work for candidate systems.	1	None
Prototypical demonstration	Identify appropriate architectures methods to secure hermeticity. Produce prototypical components and evaluate. Fabricate prototype components and evaluate for static fatigue both in inert and simulated environments.	2	None
High dose radiation effect issues	Determine fundamental properties of candidate materials after irradiation in relevant conditions. Design and perform in-pile creep and static fatigue experiment.	3	Complement fusion and Gen-IV works

# 4.1 CORROSION OF SIC IN LIQUID SALTS

Work needs to be performed to create a good thermodynamic foundation for the evaluation of silicon carbide in liquid fluoride salts with respect to the basicity/acidity of the salt and low partial oxygen pressures that are to be expected in reactor systems. Experimental data need to be generated to support the thermodynamic analyses and to shed light on the corrosion effects in systems with varying temperatures.

Task 1: Thermodynamic Stability Assessment

Work needs to be performed to create a good thermodynamic foundation for the evaluation of silicon carbide in liquid fluoride salts with respect to the basicity/acidity of the salt and low partial oxygen pressures that are to be expected in reactor systems. This would extend the formula of Bates [124].

# Task 2: Experimental Assessment of Silicon Carbide for Liquid-Salt Service

Experimental data need to be generated to support the thermodynamic analyses. In addition, corrosion effects of silicon carbide for liquid salt service must be evaluated so that: the identity and concentration of material element(s) migrating (thermodynamic stability effects) into the salt can be ascertained; the effect of oxygen partial pressure and other impurities on corrosion with respect to acid, basic or neutral salts can be determined; and the effect of activity gradients of dissimilar materials in the salt on corrosion can be ascertained.

Task 3: Assessment of Temperature-Gradient-Assisted Corrosion Effects

Because an operating reactor system is not isothermal, corrosion reactions driven by thermodynamic considerations or impurity reactions, which can have different solubility limits at various temperatures, or for which a change in oxidation state with temperature for species that are redox sensitive, can result in significant differential mass transport. This is particularly detrimental to piping and heat exchangers.

# 4.2 PROTOTYPICAL DEMONSTRATION

# Task 1: Design and fabricate prototypical fuel rod cladding

Designing an adequate composite cladding takes substantial trade-off study among various candidate architectures. In other words, the tube architecture will influence various fundamental properties of the cladding. Moreover, without defining the tube architecture, realistic design consideration associated with mechanical, thermal, and life time properties of the materials will be extremely limited. Therefore, the reference cladding architecture should be defined during the early period of the project. Once the reference component is designed, prototypical component should be fabricated and evaluated for fundamental characteristics.

# Task 2: Stress rupture / static fatigue evaluation

Tubular components of SiC/SiC do not have industrial experience to serve for a prolonged period in conditions relevant to the AHTR fuel cladding, e.g., with internal pressurization or other mechanical loading. Therefore, a prototypical component will need to be tested for its ability to withstand the mechanical loading in prototypical conditions. The testing will likely be a stressrupture type experiment in order to evaluate primarily the static fatigue behavior. The next stage of this task will be the same testing in the candidate salt environment.

# 4.3 EFFECTS OF HIGH DOSE IRRADIATION ON FUNDAMENTAL PROPERTIES OF SIC/SIC

## Task 1: High dose radiation effect on strength of SiC/SiC

Experimental data need to be generated to study if SiC/SiC components maintain fundamental integrity to doses and at temperatures relevant to the AHTR fuel cladding and other fuel assembly components. The ongoing irradiation campaign in the NGNP Composite R&D program will cover up to 30 dpa at 800°C. In case the NGNP irradiation condition appears insufficient, the AHTR project may have to consider its own irradiation program.

## Task 2: Radiation effect on thermal conductivity of SiC/SiC

Transverse thermal conductivity of SiC/SiC fuel cladding under irradiation is of crucial importance both for fuel temperature control and secondary stress issues within the cladding itself. The phenomenological understanding of neutron irradiation-induced thermal conductivity

degradation in SiC ceramics and the constitutive modeling of composite thermal conductivity have matured, however, they have not reached the status so that the model estimation may be used to quantitatively evaluate the fuel temperature and the magnitude of secondary stresses. Moreover, there are no experimental data at doses greater than a few dpa. As the studies on thermal conductivity issues are ongoing in the US fusion materials program, it is suggested to advance those studies in collaboration with the fusion program.

Task 3: Irradiation creep and in-pile static fatigue

Both the irradiation creep and the in-pile static fatigue behaviors of SiC ceramics and composites in the medium to high dose regimes are completely unknown. Fortunately, a low-cost irradiation technique for studying both phenomena is being developed in naval reactor materials and fusion materials program primarily for metallic materials research. It is highly preferable to perform a small experiment on high dose irradiation creep at 800°C, as the first step, to determine if serious irradiation creep issue may exist or not at the temperature.

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